

An intranasal lentiviral booster reinforces the waning mRNA vaccine-induced SARS-CoV-2 immunity that it targets to lung mucosa

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1 An intranasal lentiviral booster reinforces the waning mRNA vaccine-induced SARS-CoV-2 2 immunity that it targets to lung mucosa 3 Running title: Boosting mRNA-induced SARS-CoV-2 immunity with a lentiviral-based nasal 4 5 vaccine 6 Benjamin Vesin^{1,£}, Jodie Lopez^{1,£}, Amandine Noirat^{1,£}, Pierre Authié^{1,£}, Ingrid Fert¹, Fabien Le 7 Chevalier¹, Fanny Moncoq¹, Kirill Nemirov¹, Catherine Blanc¹, Cyril Planchais², Hugo Mouquet², 8 Françoise Guinet³, David Hardy⁴, Francina Langa Vives⁵, Christiane Gerke⁶, François Anna¹, 9 Maryline Bourgine¹, Laleh Majlessi^{1,\$,*}, ■, and Pierre Charneau^{1,\$,*} 10 11 ¹ Pasteur-TheraVectys Joint Lab, Institut Pasteur, Virology Department, 28 rue du Dr. Roux, 12 13 Paris F-75015, France ² Laboratory of Humoral Immunology, Université de Paris, Immunology Department, Institut 14 15 Pasteur, INSERM U1222, Paris F-75015, France ³ Lymphocytes and Immunity Unit, Université de Paris, Immunology Department, Institut 16 Pasteur, Paris F-75015, France 17 ⁴ Histopathology platform, Institut Pasteur, Paris F-75015, France 18 ⁵ Mouse Genetics Engineering, Institut Pasteur, Paris F-75015, France 19 ⁶ Institut Pasteur, Université de Paris, Innovation Office, Vaccine Programs, Institut Pasteur, 20 Paris F-75015, France 21 22 23 [£]These authors contributed equally 24 \$Senior authors 25 26 □ Corresponding author: laleh.majlessi@pasteur.fr 27 **Keywords** 28 29 Intranasal Vaccination / Lentiviral Vaccine / SARS-CoV-2 Emerging Variants of Concern /

Mucosal Immunity / Mucosal Booster Vaccine / Waning anti-COVID-19 Immunity

Abstract

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As the COVID-19 pandemic continues and new SARS-CoV-2 variants of concern emerge, the adaptive immunity initially induced by the first-generation COVID-19 vaccines starts waning and needs to be strengthened and broadened in specificity. Vaccination by the nasal route induces mucosal, humoral and cellular immunity at the entry point of SARS-CoV-2 into the host organism and has been shown to be the most effective for reducing viral transmission. The lentiviral vaccination vector (LV) is particularly suitable for this route of immunization due to its noncytopathic, non-replicative and scarcely inflammatory properties. Here, to set up an optimized cross-protective intranasal booster against COVID-19, we generated an LV encoding stabilized Spike of SARS-CoV-2 Beta variant (LV::S_{Beta-2P}). mRNA vaccine-primed and -boosted mice, with waning primary humoral immunity at 4 months post-vaccination, were boosted intranasally with LV::S_{Beta-2P}. Strong boost effect was detected on cross-sero-neutralizing activity and systemic T-cell immunity. In addition, mucosal anti-Spike IgG and IgA, lung resident B cells, and effector memory and resident T cells were efficiently induced, correlating with complete pulmonary protection against the SARS-CoV-2 Delta variant, demonstrating the suitability of the LV::S_{Beta-2P} vaccine candidate as an intranasal booster against COVID-19. LV::S_{Beta-2P} vaccination was also fully protective against Omicron infection of the lungs and central nervous system, in the highly susceptible B6.K18-hACE2^{IP-THV} transgenic mice.

Introduction

Considering: (i) the sustained pandemicity of coronavirus disease 2019 (COVID-19), (ii) weakening protection potential of the first-generation vaccines against Severe Acute Respiratory Syndrome beta-coronavirus 2 (SARS-CoV-2), and (iii) the ceaseless emergence of new viral Variants of Concerns (VOCs), new effective vaccine platforms can be critical for future primary or booster vaccines ¹. We recently demonstrated the strong performance of a non-integrative lentiviral vaccination vector (LV) encoding the full-length sequence of Spike glycoprotein (S) from the ancestral SARS-CoV-2 (LV::S), when used in systemic prime followed by intranasal (i.n.) boost in multiple preclinical models ². LV::S ensures complete (cross) protection of the respiratory tract against ancestral SARS-CoV-2 and VOCs ³. In addition, in our new transgenic mice expressing human Angiotensin Converting Enzyme 2 (hACE2) and displaying unprecedented permissiveness of the brain to SARS-CoV-2 replication, an i.n. boost with LV::S is required for full protection of the central nervous system ³. LV::S is intended to be used as a booster for individuals who already have been vaccinated against and/or infected by SARS-CoV-2, to reinforce and broaden protection against emerging VOCs with immune evasion potential ⁴.

Vaccine LVs are non-integrating, non-replicative, non-cytopathic and negligibly inflammatory ^{5,6}. These vectors are pseudotyped with the heterologous glycoprotein from Vesicular Stomatitis Virus (VSV-G) which confers them a broad tropism for various cell types, including dendritic cells. The latter are mainly non-dividing cells and thus usually hardly permissive to gene transfer. However, LVs possess the crucial ability to efficiently transfer genes to the nuclei of not only dividing but also non-dividing cells, therefore making efficient transduction of non-dividing immature dendritic cells possible. The resulting endogenous antigen expression in dendritic cells, with their unique ability to activate naïve T cells ⁷, correlates with a strong induction of high-quality effector and memory T cells ⁸ Importantly, the VSV-G pseudo-typing of LVs prevents them from being targets of preexisting vector-specific immunity in humans, which is key in vaccine development ^{5,6}. The safety of LVs has been established in humans in a phase I/IIa Human Immunodeficiency Virus-1 therapeutic vaccine trial, although the LV used in that clinical trial had been an integrative version ^{10,11}. Because of their non-cytopathic and non-inflammatory properties ^{10,11}, LVs are well suited for mucosal vaccination. The i.n. immunization approach is expected to trigger mucosal IgA responses, as well as resident B and T lymphocytes in the respiratory tract ¹². This immunization route has also been shown to be the most effective at reducing SARS-CoV-2 transmission in both hamster and macaque preclinical models ¹³. Induction of mucosal immunity by i.n. immunization allows SARS-CoV-2 neutralization, directly at the gateway to the host organism, before it gains access to major infectable anatomical sites².

The duration of the protection conferred by the first generation COVID-19 vaccines is not yet well established, hardly predictable with serological laboratory tests, inconsistent among individuals and against distinct VOCs. Despite high vaccination rates, the current exacerbation of the world-wide pandemic indicates that repeated booster immunizations will be needed to ensure individual and collective immunity against COVID-19. In this context, the safety and potential adverse effects of multiple additional homologous doses of the first generation COVID-19 vaccines, for instance related to allergic reaction to polyethylene glycol (PEG) contained in mRNA vaccines, have to be considered ¹⁴. Importantly, a heterologous prime-boost vaccine delivery method has been proven to be a more successful strategy than the homologous prime-boost approach in numerous preclinical models of various infectious diseases ¹⁵⁻¹⁷. Therefore, new efficient vaccination platforms are of particular interest to develop heterologous boosters against COVID-19. The LV::S vaccine candidate has potential for prophylactic use against COVID-19, mainly based on its powerful capacity to induce not only strong neutralizing humoral responses, but also and most importantly, robust protective T-cell responses which preserve their immune detection of spike from SARS-CoV-2 VOCs, despite the accumulation of escape mutations ³. The basis for the immunogenicity of LVs is usually the genetic message they deliver to host cells and that encodes the targeted antigen. However, in the particular case of viral envelope proteins used as antigens, that comprise the transmembrane and the tail segments, it cannot be excluded that the LV is pseudotyped by both VSV-G and the viral envelope protein they encode. In the context of LV::SFL, the possible Spike glycoprotein on the LV surface may contribute to the induction of immune responses, in addition to the genetic message which is translated and expressed by the antigen presenting cells. LV::S is remarkably suited to be used, as a heterologous i.n. booster vaccine, to reinforce and broaden protection against the emerging VOCs, while collective immunity in early vaccinated nations is waning a few months after completion of the initial immunizations, and while new waves of infections are on the rise ⁴.

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In the present study, toward the preparation of a clinical trial, we first generated an LV encoding the down-selected S_{CoV-2} of the Beta variant, stabilized by $K^{986}P$ and $V^{987}P$ substitutions in the S2 domain of S_{CoV-2} (LV:: $S_{Beta-2P}$). In mice, primed and boosted intramuscularly (i.m.) with mRNA vaccine encoding for the ancestral S_{CoV-2} ^{18,19} and in which the (cross) sero-neutralization potential was progressively decreasing, we investigated the systemic and mucosal immune responses and the protective potential of an i.n. LV:: $S_{Beta-2P}$ heterologous boost.

Results

Antigen design and down-selection of a lead candidate

To select the most suitable S_{CoV-2} variant to induce the greatest neutralization breadth based on the known variants, we generated LVs encoding the full length S_{CoV-2} from the Alpha, Beta or Gamma SARS-CoV-2 VOCs. C57BL/6 mice (n = 5/group) were primed i.m. (wk 0) and boosted i.m. (wk 3) with 1×10^8 TU/mouse of each individual LV and the (cross) neutralization potential of their sera was assessed before boost (wk 3) and after boost (wk 5) against pseudoviruses carrying various S_{CoV-2} (Figure 1A). These pseudo-viruses are LV particles that carry the Spike of interest on their surface but do not have the genetic message for Spike and should not be confused with the LV-based vaccine that carries the genetic message encoding Spike. Immunization with LV::S_{Alpha} generated appropriate neutralization capacity against S_{D614G} and S_{Alpha} but not against S_{Beta} and S_{Gamma} (Figure 1B). Between LV::S_{Beta} and LV::S_{Gamma}, the former generated the highest cross sero-neutralization potential against S_{D614G}, S_{Alpha} and S_{Gamma} variants. In accordance with previous observations using other vaccination strategies, in the context of immunization with LV, the $K^{986}P$ - $V^{987}P$ substitutions in the S2 domain of $S_{\text{CoV-2}}$ improved the (cross) sero-neutralization potential (Figure 1C), probably due to an extended half-life of $S_{CoV-2-2P}$ ²⁰.

Taken together these data allowed to down select $S_{Beta-2P}$ as the best cross-reactive antigen candidate to be used in the context of LV (LV:: $S_{Beta-2P}$) to strengthen the waning immunity previously induced by the first generation COVID-19 vaccines, like mRNA. Although, the comparison between WT and 2P forms was performed here with the D614G sequence, stabilization by the 2P substitution is so well documented 20 that an extrapolation to S_{Beta} seemed well founded.

Follow-up of humoral immunity in mRNA-primed and -boosted mice and effect of $LV::S_{Beta-2P}$ i.n. boost

We analyzed the potential of LV:: $S_{Beta-2P}$ i.n. boost vaccination to strengthen and broaden the immune responses in mice which were initially primed and boosted with mRNA and in which the (cross) sero-neutralization potential was decreasing. C57BL/6 mice were primed i.m. at wk 0 and boosted i.m. at wk 3 with 1 μ g/mouse of mRNA (**Figure 2A**). In mRNA-primed mice, serum anti- S_{CoV-2} and anti-RBD IgG were detected at wk 3, increased after mRNA boost as studied at wk 6 and 10, and then decreased at wk 17 in the absence of an additional boost (**Figure S1A**).

Longitudinal serological follow-up demonstrated that at 3 wks post prime, cross-neutralization activities against both S_{D614G} and S_{Alpha} were readily detectable (**Figure 2B**). Cross seroneutralization was also detectable, although to a lesser degree, against S_{Gamma} , but not against

- 147 S_{Beta}, S_{Delta} or S_{Delta+}. At wk 6, i.e., 3 wks post boost, cross sero-neutralization activities against all
- 148 S_{CoV-2} variants were detectable, although at significantly lesser extents against S_{Beta}, S_{Delta} and
- S_{Delta+}. From wk 6 to wk 10, cross sero-neutralization against S_{Beta}, S_{Delta+} or S_{Delta+} gradually and
- significantly decreased. At wk 10, half of the mice lost the cross sero-neutralization potential
- against S_{Beta} , S_{Delta} , or S_{Delta+} (Figure 2B).
- 152 At wk 15, groups of mRNA-primed and -boosted mice were injected i.m. with 1 μg of mRNA
- vaccine or PBS. The dose of 1 µg of mRNA per mouse has been demonstrated to be fully
- protective in mice ²¹. In parallel, at this time point, mRNA-primed and -boosted mice received i.n.
- 155 1×10^9 Transduction Units (TU)/mouse of an empty LV (LV Ctrl) or escalating doses of 1×10^6 ,
- 1×10^7 , 1×10^8 , or 1×10^9 TU of LV::S_{Beta-2P} (**Figure 2A**). Unprimed, age-matched mice received
- i.n. 1×10^9 TU of LV::S_{Beta-2P} or PBS.
- In the previously mRNA-primed and -boosted mice, injected at wk 15 with a third dose of
- mRNA or with 1×10^8 or 1×10^9 TU of LV::S_{Beta-2P}, marked anti-S_{CoV-2} IgG titer increases were
- observed (Figure 2C). The titers of anti- S_{CoV-2} IgA were higher in the mice injected with 1×10^9
- 161 TU of LV::S_{Beta-2P} than those injected with a third 1 μg dose of mRNA vaccine (Figure 2C). In
- agreement with these results, (cross) sero-neutralization activity increased in a dose-dependent
- manner with LV::S_{Beta-2P} i.n. boost given at wk 15, as studied at wk 17 (Figure 2D). Of note, cross
- $164 \quad \text{sero-neutralization activity against $S_{Omicron}$-carrying pseudo-viruses was very low in mRNA-}$
- primed and -boosted mice injected at week 15 with a third dose of mRNA via i.m. or with 1×10^8
- TU of LV::S_{Beta-2P} via i.n.. At the mucosal level, at this time point, titers of anti-S_{CoV-2} and anti-
- RBD IgG in the total lung extracts increased in a dose-dependent manner in LV::S_{Beta-2P}-boosted
- mice, and the titer obtained with the highest dose of LV::S_{Beta-2P} was comparable to that after the
- third 1 µg i.m. dose of mRNA vaccine (Figure S1B). Importantly, significant titers of lung anti-
- 170 S_{CoV-2} IgA were only detected in LV::S_{Beta-2P}-boosted mice (**Figure S1B**).
- 171 At the lung cellular level, CD19⁺ B cells which are class-switched and thus surface IgM⁻/IgD⁻
- plasma cells, and which express CD38, CD62L, CD73 and CD80, can be defined as lung resident
- B cells (Brm) ^{22,23} (Figure 3A). The proportion of these B cells increased in a dose-dependent
- manner in the lungs of mice boosted i.n. with LV::S_{Beta-2P} (Figure 3B). Mucosal anti-S_{CoV-2} IgA
- and Brm were barely detectable in the mice boosted i.m. at wk15 with 1 µg of mRNA, which was
- the single dose of RNA tested in our experiment and thus serves only as indication.
- 177 Systemic and mucosal T-cell immunity after i.n. LV::S_{Beta-2P} boost in previously mRNA-
- 178 **primed and -boosted mice**

Mice were primed and boosted with 1 µg mRNA-vaccine and then boosted i.n. at wk15 with escalating doses of LV::S_{Beta-2P}, according to the above-mentioned regimen (Figure 2A). At wk 17, i.e., two wks after the late boost, systemic anti-S_{CoV-2} T-cell immunity was assessed by IFN-γ-specific ELISPOT in the spleen of individual mice after in vitro stimulation with individual S:256-275, S:536-550 or S:576-590 peptide, encompassing immunodominant S_{CoV-2} regions for CD8⁺ T cells in H-2^b mice ². Importantly, the weak anti-S CD8⁺ T-cell immunity, detectable in the spleens of mRNA-primed-boosted mice at wk 17, largely increased following i.n. boost with 1×10^8 and 1 $\times 10^9$ TU of LV::S_{Beta-2P}, similarly to the increase after i.m. mRNA boost (Figure 4).

In parallel, in the same animals, the mucosal anti- S_{CoV-2} T-cell immunity was assessed by intracellular Tc1 and Tc2 cytokine staining in T cell-enriched fractions from individual mice after in vitro stimulation with autologous bone-marrow-dendritic cells loaded with a pool of S:256-275, S:536-550 and S:576-590 peptides (**Figure 5**). In previously mRNA-primed and -boosted mice, only a few S_{CoV-2} -specific IFN- γ /TNF/IL-2 CD8⁺ T-cell responses were detected in the lungs (**Figure 5**). However, the i.n. administration of LV:: $S_{Beta-2P}$ boosted, these Tc1 responses in a dose dependent manner. Sizable percentages of these Tc1 cells were induced with 1×10^8 or 1×10^9 TU of LV:: $S_{Beta-2P}$. mRNA (1 µg) i.m. administration had a substantially lower boost effect on mucosal T cells (**Figure 5**). Tc2 responses (IL-4, IL-5, IL-10 and IL-13) were not detected in any experimental group (**Figure S2**), as assessed in the same lung T-cell cultures.

Mucosal lung resident memory T cells (Trm), CD8⁺ CD44⁺ CD69⁺ CD103⁺ which are one of the best correlates of protection in infectious diseases 24 , were readily detected in the mice boosted i.n. with 1×10^8 or 1×10^9 TU of LV::S_{Beta-2P} (**Figure 6A, B**). No Trm were detected in the lungs of mice boosted late with 1 µg mRNA i.m..

Features of lungs after LV::S_{Beta-2P} i.n. administration

To identify the immune cell subsets transduced in vivo by LV after i.n. administration, C57BL/6 mice were immunized i.n. with the high dose of 1×10^9 TU of LV::GFP or LV::nano-Luciferase (LV::nLuc) as a negative control. Lungs were collected at 4 days post-immunization and analyzed by cytometry in individual mice. CD45⁻ cell subset was devoid of GFP⁺ cells. Only very few GFP⁺ cells were detected in the CD45⁺ hematopoietic cells (**Figure S3A-C**). The CD45⁺ GFP⁺ cells were located in a CD11b^{hi} subset and in the CD11b^{int} CD11c⁺ CD103⁺ MHC-II⁺ (dendritic cells) (**Figure S3B**).

To evaluate possible lung infiltration after LV i.n. administration, C57BL/6 mice were injected i.n. with the high dose of 1×10^9 TU of LV::S_{Beta-2P} or PBS as a negative control. Lungs were collected at 1, 3 or 14 days post-injection for histopathological analysis. H&E histological sections

displayed minimal to moderate inflammation, interstitial and alveolar syndromes in both experimental groups, regardless of the three time points investigated. No specific immune infiltration or syndrome was detected in the animals treated i.n. with 1×10^9 TU of LV::S_{Beta-2P}, compared to PBS (**Figure S4A**, **B**).

Protection of lungs in mRNA-primed and -boosted mice, and later boosted i.n. with

 $LV::S_{Beta-2P}$

We then evaluated the protective vaccine efficacy of LV::S_{Beta-2P} i.n. in mRNA-primed and -boosted mice. At wk 15, mRNA-primed and -boosted mice received i.m. 1 μ g of mRNA or PBS. In parallel, mRNA-primed and -boosted mice received i.n. 1 \times 10⁸ TU of LV::S_{Beta-2P} or control empty LV. (**Figure 7A**). The choice of this dose was based on our previous experience in which this dose was fully effective in protection in homologous LV::S prime-boost regimens ^{2,3}, even though it does not result in the strongest immune responses. Unvaccinated, age-and sex-matched controls were left unimmunized. Five weeks after the late boost, i.e. at wk 20, all mice were pre-treated with 3 \times 10⁸ Infectious Genome Units (IGU) of an adenoviral vector serotype 5 encoding hACE2 (Ad5::hACE2) to render their lungs permissive to SARS-CoV-2 replication (**Figure 7A**). Four days later, mice were challenged with SARS-CoV-2 Delta variant, which at the time of this study, i.e. November 2021, was the dominant SARS-CoV-2 variant worldwide.

At day 3 post infection, primary analysis of the total lung RNA showed that hACE2 mRNA was similarly expressed in all mice after Ad5::hACE2 *in vivo* transduction (**Figure 7B**). Lung viral loads were then determined at 3 dpi by assessing total E RNA and sub-genomic (Esg) E_{CoV-2} RNA qRT-PCR, the latter being an indicator of active viral replication ²⁵⁻²⁷. In mice initially primed and boosted with mRNA-vaccine and then injected i.n. with the control LV or i.m. with PBS, no significant protective capacity was detectable (**Figure 7C**). In contrast, the LV::S_{Beta-2P} i.n. boost of the initially mRNA vaccinated mice drastically reduced the total E RNA content of SARS-CoV-2 and no copies of the replication-related Esg E_{CoV-2} RNA were detected in this group (**Figure 7C**). In the group which received a late mRNA i.m. boost, the total E RNA content was also significantly reduced and the content of Esg E_{CoV-2} RNA was undetectable in 3 out of 5 in this group.

Therefore, a late LV:: $S_{Beta-2P}$ heterologous i.n. boost, given at wk 15 after the first injection of mRNA, at the dose of 1×10^8 TU/mouse resulted in complete protection, i.e. total absence of viral replication in 100% of animals, against a high dose challenge with SARS-CoV-2 Delta variant.

Full cross-protective capacity of LV::S_{Beta-2P} against SARS-CoV-2 Omicron BA.1 variant

Given the timing of the lengthy preparation of mRNA-primed and -boosted mice, again boosted i.n. with LV::S_{Beta-2P} at wk 15 (July-November 2021), and the emergence of the Omicron

variant (December 2021), it was not possible for us to evaluate the anti-Omicron cross-protection potential of LV::S_{Beta-2P} used as a late i.n. boost in parallel. However, we evaluated the protective efficacy of LV::S_{Beta-2P} in the very sensitive B6.K18-hACE2^{IP-THV} transgenic mice, which are prone to SARS-CoV-2 infection in the lung, and in addition display unprecedented brain permissiveness to SARS-CoV-2 replication ³. B6.K18-hACE2^{IP-THV} mice (n = 5-8/group) were primed i.m. with 1×10^8 TU/mouse of LV::S_{Beta-2P} or an empty LV at wk 0 and then boosted i.n. at wk 3 with the same dose of the same vectors (**Figure 8A**). Mice were then challenged (i.n.) with 0.3×10^5 TCID₅₀ of a SARS-CoV-2 Omicron BA.1 variant ²⁸ at wk 5. Lung and brain viral RNA contents were then determined at day 5 post infection by using Esg E_{CoV-2} RNA qRT-PCR. LV::S_{Beta-2P} vaccination conferred sterilizing protection against SARS-CoV-2 Omicron in the lungs and brain *versus* high rates of viral replication in the sham-vaccinated controls (**Figure 8B**). Of note, even if 2 mice out of 8 did not show cervical infection with Omicron, the six others showed significant replication in the brain.

Therefore the LV: $S_{Beta-2P}$ displays a full cross-protective capacity against the Omicron variant, which is fully comparable to its efficiency against the ancestral 2,3 or the Delta variant.

Discussion

With the weakly persistent prophylactic potential of the immunity initially induced by the first-generation COVID-19 vaccines, especially against new VOCs, administration of additional vaccine doses becomes essential ¹. As an alternative to additional doses of the same vaccines, combining vaccine platforms in a heterologous prime-boost regimen holds promise for gaining protective efficacy ²⁹. Compared to homologous vaccine dose administrations, heterologous prime-boost strategies may reinforce more efficiently specific adaptive immune responses and long-term protection ³⁰. Furthermore, the sequence of the Spike antigen has to be adapted according to the dynamics of SARS-CoV-2 VOC emergence in order to induce the greatest neutralization breadth. Protection against symptomatic SARS-CoV-2 infection is mainly related to sero-neutralizing activity, while CD8⁺ T-cell immunity, with their ability to cytolyze virus-infected cells, especially control the virus replication and result in resolution of viral infection ³¹. Therefore, an appropriate B- and T-cell vaccine platform, including an adapted S_{CoV2} sequence, is of utmost interest at the current step of the pandemic.

The LV-based strategy, is highly efficient, not only in inducing humoral responses but also, and particularly, in establishing high quality and memory T-cell responses 8. This makes it a suitable platform for a heterologous boost, even if it is also largely efficacious on its own as a primary COVID-19 vaccine candidate ^{2,3}. Furthermore, LVs are non-cytopathic, non-replicative and scarcely inflammatory. They can thus be used to perform non-invasive i.n. boost to efficiently induce sterilizing mucosal immunity, which protects the respiratory system as well as the central nervous system ^{2,3}. The i.n. route of vaccination has been shown by several teams to be the best at reducing viral contents in nasal swabs and nasal olfactory neuroepithelium ^{32,33}, which can contribute to blocking the respiratory chain of SARS-CoV-2 transmission. One of the advantages of LV-based immunization is the induction of strong T-cell immune responses with high crossreactivity of T-cell epitopes from Spike of diverse VOCs. Therefore, when the neutralizing antibodies fail or wane, the T-cell arm of the response remains largely protective, as we recently described in antibody-deficient, B-cell compromised uMT KO mice ³. This property is relative to a high-quality and long-lasting T-cell immunity induced against multiple preserved T-cell epitopes, despite the mutations accumulated in the Spike of the emerging VOCs ³, including the Omicron variant.

In the present study, we down-selected the $S_{Beta-2P}$ antigen which induced the greatest neutralization breadth against the main SARS-CoV-2 VOCs and designed a non-integrative LV encoding a stabilized version of this antigen. The induction of highly cross-reactive neutralizing antibodies by SARS-CoV-2 Beta variant has been well documented 34 . The notable ability of S_{Beta}

to elicit cross-reactive antibodies correlates with: (i) the shared genetic and structural features of these antibodies, (ii) their preferential use of specific germline sequences, such as VH1-58 and VH4-39, and (iii) their relatively low number of somatic hypermutations. Collectively, it seems that there exists some common paratopes in such S_{Beta} -elicited cross-reactive antibodies which interact with the Y501 mutation found in RBD of Alpha, Beta, and Gamma 35 .

In mice primed and boosted with mRNA vaccine (encoding the ancestral S_{CoV-2} sequence), with waning (cross) sero-neutralization capabilities, we used escalating doses of LV::S_{Beta-2P} for an i.n. late boost. We demonstrated a dose-dependent increase in anti-S_{CoV-2} IgG and IgA titers, and a broadened sero-neutralization potential both in the sera and lung homogenates against VOCs. No anti-S_{CoV-2} IgA was detected in the lungs of mice injected with the third dose of 1 μg of mRNA given via i.m. injection. Increasing proportions of non-circulating Brm, defined as class-switched surface IgM⁻/IgD⁻ plasma cells, with CD38⁺ CD73⁺ CD62L⁺ CD69⁺ CD80⁺ phenotype ^{22,23}, were detected in a dose-dependent manner, in the lungs of mice boosted i.n. with LV::S_{Beta-2P}.

Spike-specific, effector lung CD8⁺ Tc1 cells were largely detected in the initially mRNAprimed and boosted mice which received a late i.n. LV::S_{Beta-2P} boost. These lung CD8⁺ T cells did not display Tc2 phenotype. Increasing proportions of lung CD8⁺ CD44⁺ CD69⁺ CD103⁺ Trm were also detected, in a dose-dependent manner, only in LV::S_{Beta-2P} i.n. boosted mice. The systemic CD8⁺ T-cell responses against various immunogenic regions of S_{CoV-2} were also increased with 1 $\times~10^8~\text{or}~1~\times~10^9~\text{TU}$ doses of LV::S $_{\text{Beta-2P}}$ i.n. boost in the initially mRNA-primed and -boosted mice. The highest i.n. dose of of LV::S_{Beta-2P} was comparable to the third injection of 1 µg/mouse of mRNA given by i.m. injection. The fact that the i.n. administration of LV::S_{Beta-2P} had a substantial boost effect on the systemic T-cell immunity indicates that this boost pathway is not at the expense of the induction of systemic immunity. As we recently determined by epitope mapping and cytometric analysis, LV::S immunization only induced CD8⁺ - but not CD4⁺ - T cells against $S_{\text{CoV-2}}^{\ \ 2}$. This results from direct transduction of antigen-presenting cells by LVs and thus efficient antigen routing to the MHC-I machinery but not to the endocytic and MHC-II presentation pathway (our unpublished observation). Furthermore, as LVs are not cytopathic, the initially transduced antigen-presenting cells should not generate marked amounts of cell debris that could be taken up by secondary antigen-presenting cells for MHC-II presentation.

Evaluation of the protection in mRNA-primed and -boosted mice, showed that 20 wk after the first injection of mRNA vaccine, there was no protection detectable in the lungs against infection with the SARS-CoV-2 Delta variant. Importantly, an i.n. boost at wk 15 with the dose of 1×10^8 TU/mouse LV::S_{Beta-2P} resulted in full inhibition of SARS-CoV-2 replication in the lungs upon challenge with the Delta variant at wk 20. In the mice receiving an 1 μ g mRNA i.m. boost at wk

15 the lung SARS-CoV-2 RNA contents was reduced in a statistically comparable manner, albeit without total inhibition of viral replication in all mice.

The lack of protection against the Delta variant infection only four months after the initial systemic prime-boost by mRNA vaccine, as we observed in the preclinical model in this study, may be explained by the weak efficiency of the ancestral S_{CoV-2} sequence to induce long-lasting neutralizing antibodies against the recent VOCs. In addition, it can be hypothesized that the adaptive immune memory induced by i.m. mRNA immunization is likely to be localized in secondary lymphoid organs at anatomical sites located far from the upper respiratory tract. In such a context, the extraordinary rapid replication of new VOCs, such as Delta or Omicron, in the upper respiratory tract would not leave enough time for the reactivation of immune memory from remote anatomical sites and the recruitment of the immune arsenal from these sites. In human populations, such scenario would lead to a high possibility of viral replication and variable levels of its transmission, which would prevent the epidemic from being completely contained by mass vaccination through the systemic route.

It is not yet known if a single third booster will extend and maintain the protective potential, or whether semi-regular boosters will be required against COVID-19 in the future. The LV::S_{Beta-2P} i.n. boost strengthens the intensity, broadens the VOC cross-recognition, and targets B-and T-cell immune responses to the principal entry point of SARS-CoV-2, that is, to the mucosal respiratory tract of the host organism preventing the infection of main anatomical sites. It is interesting to note that in rodents only a pair of cervical ganglia exists versus a large network of such ganglia in humans ³⁶. Following i.n. immunization, this anatomical feature in humans may provide an even more consistent site of immune response induction and local memory maintenance, at the vicinity of to the potential site of airway infection. In addition, nasopharynx-associated lymphoid tissue is a powerful defense system composed of: (i) organized lymphoid tissue, i.e., tonsils, and (ii) a diffuse nose-associated lymphoid tissues, where effector and memory B and T lymphocytes are able to maintain long-lasting immunity ³⁷. This mucosal immune arsenal deserves to be explored in the control of SARS-CoV-2 transmission in the current context of the pandemic. A phase I clinical trial is currently in preparation for the use of i.n. boost by LV::S_{Beta-2P} in previously vaccinated humans or in COVID-19 convalescents. Although LVs cause very little or nearly no inflammation ^{10,11}, to ensure that i.n. vaccination with LV::S_{Beta-2P} will not result in brain inflammation, we plan to evaluate the toxicity of the preclinical GMP batch in regulatory preclinical assays. We will pay particular attention to: (i) biodistribution of LV::S_{Beta-2P}, to be assessed by PCR, and (ii) histopathology in as many organs as possible, including the brain, after i.n. administration of the

highest dose planned for the clinical trial and according to kinetics pre-established in non-regulatory preclinical experiments.

We have completed a technology transfer to an industrial partner and are now able to produce LVs in large quantities for clinical trials that will be starting soon. After successful completion of phase I trials, we plan to move to fermenter production, which is now possible for LV production in adherent HEK293-T cells. We have also established the high stability of the LVs if appropriate conservation buffers are used. Compared to adenoviral vectors, LVs have the advantage of being only scarcely inflammatory and not being targets of pre-existing immunity in human populations 6,10 . LVs have a particular tropism for dendritic cells, which generates endogenous antigen expression in these antigen presenting cells, whereas adenoviral vectors preferentially target epithelial cells which requires indirect and cross-presentation of antigens. This may be the reason why LVs are effective at much lower doses compared to adenoviral vectors. The doses of 1×10^8 or 1×10^9 TU are optimal in mice. However, *Mus musculus* species underestimates the efficacy of HIV-based LVs because of restriction factors which reduces the transduction efficiency of LVs in the murine cells. In larger animals such as piglets 38 , horses (our unpublished results) and macaques 39 , as well as in humans 9 , the same range of LV doses are largely effective for the induction of T-cell responses and protection versus 1×10^{13} adenoviral active particles for human vaccination 6 .

Materials and Methods

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Mice immunization and SARS-CoV-2 infection

Female C57BL/6JRj mice were purchased from Janvier (Le Genest Saint Isle, France), housed in individually-ventilated cages under specific pathogen-free conditions at the Institut Pasteur animal facilities and used at the age of 7 wks. Mice were immunized i.m. with 1 µg/mouse of mRNA-1273 (Moderna) vaccine. The Moderna vaccine was provided by the Institut Pasteur Medical Center. These were leftover unusable vaccines in thawed vials that were not authorized to be pooled for human vaccination and would have been destroyed. Thus, the doses used in this study did not deprive any individual of a vaccine dose during the pandemic. For i.n. injections with LV, mice were anesthetized by i.p. injection of Ketamine (Imalgene, 80 mg/kg) and Xylazine (Rompun, 5 mg/kg). For protection experiments against SARS-CoV-2, mice were transferred into filtered cages in isolator. Four days before SARS-CoV-2 inoculation, mice were pretreated with 3 x 108 IGU of Ad5::hACE2 as previously described ². Mice were then transferred into a level 3 biosafety cabinet and inoculated i.n. with 0.3×10^5 TCID₅₀ of the Delta variant of SARS-CoV-2 clinical isolate 40 contained in 20 µl. B6.K18-hACE2^{IP-THV} mice ³ were primed i.m. and boosted i.n. by LV::_{SBeta-2P} and then inoculated with 0.3×10^5 TCID₅₀ of the Omicron BA.1 variant of SARS-CoV-2 clinical isolate ²⁸. Mice were then housed in filtered cages in an isolator in BioSafety Level 3 animal facilities. The organs recovered from the infected animals were manipulated according to the approved standard procedures of these facilities.

Ethical approval of animal experimentation

Experimentation on animals was performed in accordance with the European and French guidelines (Directive 86/609/CEE and Decree 87-848 of 19 October 1987) subsequent to approval by the Institut Pasteur Safety, Animal Care and Use Committee, protocol agreement delivered by local ethical committee (CETEA #DAP20007, CETEA #DAP200058) and Ministry of High Education and Research APAFIS#24627-2020031117362508 v1, APAFIS#28755-2020122110238379 v1.

Construction and production of vaccinal LV::S_{Beta-2P}

First, a codon-optimized sequence of Spike from the Ancestral, D614G, Alpha, Beta or Gamma VOCs were synthetized and inserted into the pMK-RQ_S-2019-nCoV_S501YV2 plasmid. The S sequence was then extracted by BamHI/XhoI digestion to be ligated into the pFlap lentiviral plasmid between the BamHI and XhoI restriction sites, located between the native human ieCMV promoter and the mutated *atg* starting codon of Woodchuck Posttranscriptional Regulatory Element (WPRE) sequence (**Figure S5**). To introduce the K⁹⁸⁶P-V⁹⁸⁷P "2P" double mutation in S_{D614G} or

S_{Beta}, a directed mutagenesis was performed by use of Takara In-Fusion kit on the corresponding 413 414 pFlap plasmids. Various pFlap-ieCMV-S-WPREm or pFlap-ieCMV-S_{2P}-WPREm plasmids were amplified and used to produce non-integrative vaccinal LV, as previously described ^{2,6}. The 415 envelope plasmid, encodes VSV-G under ieCMV promoter and the packaging plasmid contains 416 417 gag, pol, tat and rev genes. The integrase resulting from this plasmid carries a missense amino acid 418 in its catalytic triad, i.e., the D64V mutation, that prevents the integration of viral DNA into the host 419 chromosome. Without integration, the viral DNA remains in an episomal form, very effective for gene expression 2,6 . 420

Analysis of humoral and systemic T-cell immunity

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- Anti- S_{CoV-2} IgG and IgA antibody titers were determined by ELISA by use of recombinant stabilized S_{CoV-2} or RBD fragment for coating. Neutralization potential of clarified and decomplemented sera or lung homogenates was quantitated by use of lentiviral particles pseudotyped with S_{CoV-2} from diverse variants, as previously described ^{2,41}.
- T-splenocyte responses were quantitated by IFN- γ ELISPOT after in vitro stimulation with S:256-275, S:536-550 or S:576-590 synthetic 15-mer peptides which contain S_{CoV-2} MHC-I-restricted epitopes in H-2^d mice ². Spots were quantified in a CTL Immunospot S6 ultimate-V Analyser by use of CTL Immunocapture 7.0.8.1 program.

Phenotypic and Functional cytometric analysis of lung immune cells

Enrichment and staining of lung immune cells were performed as detailed previously ^{2,3} after treatment with 400 U/ml type IV collagenase and DNase I (Roche) for a 30-minute incubation at 37°C and homogenization by use of GentleMacs (Miltenyi Biotech). Cell suspensions were then filtered through 100 µm-pore filters, centrifuged at 1200 rpm and enriched on Ficoll gradient after 20 min centrifugation at 3000 rpm at RT, without brakes. The recovered cells were co-cultured with syngeneic bone-marrow derived dendritic cells loaded with a pool of A, B, C peptides, each at 1 µg/ml or negative control peptide at x µg/ml. The following mixture was used to detect lung Tc1 (45-0031-82, eBioScience), eF450-anti-CD4 cells: PerCP-Cv5.5-anti-CD3 (48-0042-82, eBioScience) and APC-anti-CD8 (17-0081-82, eBioScience) for surface staining and BV650-anti-IFN-g (563854, BD), FITC-anti-TNF (554418, BD) and PE-anti-IL-2 (561061, BD) for intracellular staining. The following mixture was used to detect lung Tc2 cells: PerCP-Cy5.5-anti-CD3 (45-0031-82, eBioScience), eF450-anti-CD4 (48-0042-82, eBioScience), BV711-anti-CD8 (563046, BD Biosciences), for surface staining and BV605-anti-IL-4 (504125, BioLegend Europe BV), APC-anti-IL-5 (504306, BioLegend Europe BV), FITC-anti-IL-10 (505006, BioLegend Europe BV), PE-anti-IL-13 (12-7133-81, eBioScience) for intracellular staining. The intracellular

- staining was performed by use of the Fix Perm kit (BD), following the manufacturer's protocol.
- Dead cells were excluded by use of Near IR Live/Dead (Invitrogen). Staining was performed in the
- presence of FcyII/III receptor blocking anti-CD16/CD32 (BD).
- To identify lung resident memory CD8⁺ T-cell subsets, a mixture of PerCP-Vio700-anti-CD3
- 450 (130-119-656, Miltenyi Biotec), PECy7-CD4 (552775, BD Biosciences), BV510-anti-CD8
- 451 (100752, BioLegend), PE-anti-CD62L (553151, BD Biosciences), APC-anti-CD69 (560689, BD
- 452 Biosciences), APC-Cy7-anti-CD44 (560568, BD Biosciences), FITC-anti-CD103 (11-1031-82,
- eBiosciences) and yellow Live/Dead (Invitrogen) was used. Lung Brm were studied by surface
- 454 staining with a mixture of PerCP Vio700-anti-IgM (130-106-012, Miltenyi), and PerCP Vio700-
- anti-IgD (130-103-797, Miltenyi), APC-H7-anti-CD19 (560143, BD Biosciences), PE-anti-CD38
- 456 (102708, BioLegend Europe BV), PE-Cy7-anti-CD62L (ab25569, AbCam), BV711-anti-CD69
- 457 (740664, BD Biosciences), BV421-anti-CD73 (127217, BioLegend Europe BV), FITC-anti-CD80
- 458 (104705, BioLegend Europe BV and yellow Live/Dead (Invitrogen).
- Cells were incubated with appropriate mixtures for 25 minutes at 4°C, washed in PBS containing
- 3% FCS and fixed with Paraformaldehyde 4% after an overnight incubation at 4°C. Samples were
- acquired in an Attune NxT cytometer (Invitrogen) and data analyzed by FlowJo software (Treestar,
- 462 OR, USA).

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Determination of viral RNA content in the organs

circulating SARS-CoV-2 was prepared from lungs as described previously ². Lung homogenates were prepared by thawing and homogenizing in lysing matrix M (MP Biomedical) with 500 µl of PBS using a MP Biomedical Fastprep 24 Tissue Homogenizer. RNA was extracted from the supernatants of organ homogenates centrifuged during 10 min at 2000g, using the Qiagen Rneasy kit. The RNA samples were then used to determine viral RNA content by E-specific qRT-PCR. To determine viral RNA content by Esg-specific qRT-PCR, total RNA was prepared using lysing matrix D (MP Biomedical) containing 1 mL of TRIzol reagent (ThermoFisher) and homogenization at 30 s at 6.0 m/s twice using MP Biomedical Fastprep 24 Tissue Homogenizer. The quality of RNA samples was assessed by use of a Bioanalyzer 2100 (Agilent Technologies). Viral RNA

Organs from mice were removed and immediately frozen at -80°C on dry ice. RNA from

- 474 contents were quantitated using a NanoDrop Spectrophotometer (Thermo Scientific NanoDrop).
- The RNA Integrity Number (RIN) was 7.5-10.0. SARS-CoV-2 E or E sub-genomic mRNA were
- 476 quantitated following reverse transcription and real-time quantitative TagMan® PCR, using
- 477 SuperScriptTM III Platinum One-Step qRT-PCR System (Invitrogen) and specific primers and
- 478 probe (Eurofins), as recently described ³.

Lung histology

Left lobes from lungs were fixed in formalin and embedded in paraffin. Paraffin sections (5-µm thick) were stained with Hematoxylin and Eosin (H&E). Slides were scanned using the AxioScan Z1 (Zeiss) system and images were analyzed with the Zen 2.6 software. Histological images were evaluated according to a score of 0 to 5 (normal, minimal, mild, moderate, marked, severe).

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Author Contributions

- Study concept and design: BV, JL, CG, MB, LM, PC, acquisition of data: BV, JL, AN, PA, IF,
- 617 FLC, FM, KN, MB, LM, construction and production of LV and technical support: AN, FM, CB,
- 618 FA, histology: FG, DH, recombinant Spike protein: CP, HM, collaborative generation of B6.K18-
- 619 hACE2^{IP-THV} transgenic mice: FLV, analysis and interpretation of data: BV, JL, FA, MB, LM, PC,
- drafting of the manuscript: LM.

Conflict of Interests

- PC is the founder and CSO of TheraVectys. BV, AN, PA, IF, FLC, FM, KN and FA are
- employees of TheraVectys. LM has a consultancy activity for TheraVectys. Other authors declare
- ocompeting interests. PA, IF, JL, BV, FA, MB, LM and PC are inventors of pending patents
- directed to the potential of i.n. LV::S vaccination against SARS-CoV-2.

Figure 1

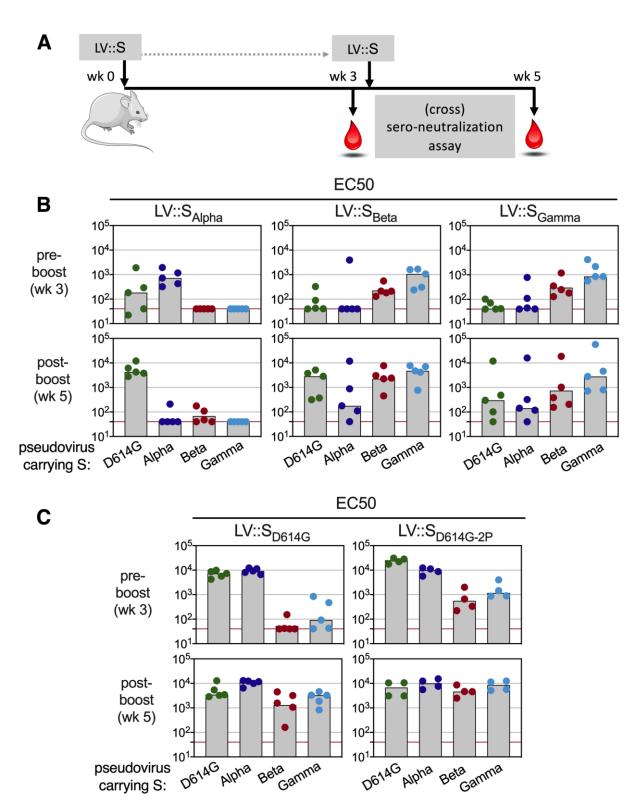


Figure 1. Down-selection of a S_{COV-2} variant with the highest potential to induce cross seroneutralizing antibodies. (A) Timeline of prime-boost vaccination with LV:: S_{Alpha} , LV:: S_{Beta} or LV:: S_{Gamma} and (cross) sero-neutralization assays in C57BL/6 mice (n = 4-5/group). (B) EC50 of neutralizing activity of sera from vaccinated mice was evaluated before and after the boost, against pseudo-viruses carrying S_{CoV-2} from D614G, Alpha, Beta or Gamma variants. (C) EC50 of sera from C57BL/6 mice, vaccinated following the regimen detailed in (A) with LV encoding for S_{D614G} , either WT or carrying the $K^{986}P$ - $V^{987}P$ substitutions in the S2 domain. EC50 was evaluated before and after the boost, as indicated in (B).

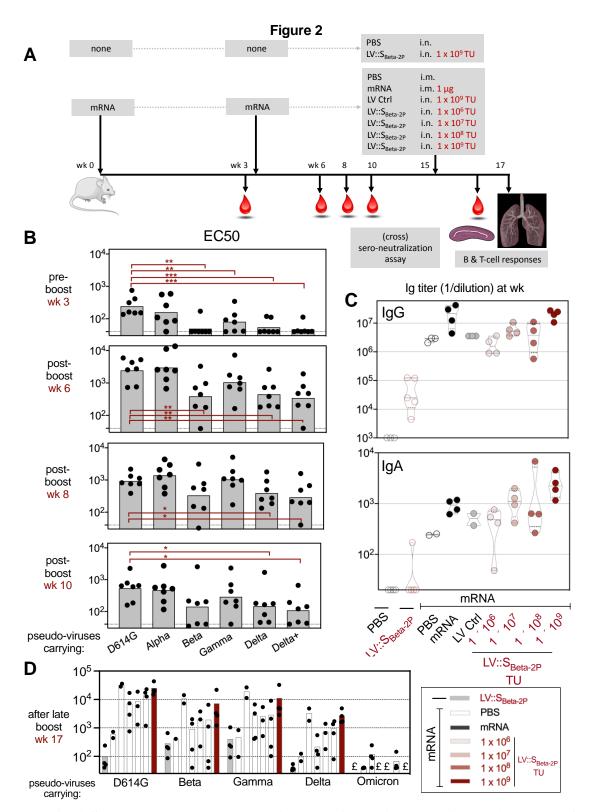


Figure 2. Anti- S_{CoV-2} humoral responses in mRNA-vaccinated mice which were further intranasally boosted with LV:: $S_{Beta-2P}$ (A) Timeline of mRNA i.m.-i.m. prime-boost vaccination in C57BL/6 mice which were later immunized i.n. by escalating doses of LV:: $S_{Beta-2P}$ (n=4-5/group) and the (cross) sero-neutralization follow-up. (B) Serum EC50 determined at the indicated time points against pseudo-viruses carrying S_{CoV-2} from D614G, Alpha, Beta, Gamma, Delta or Delta+ variants. (C) Anti- S_{CoV-2} IgG (upper panel) or IgA (lower panel) titers in the sera two weeks after i.n. LV:: $S_{Beta-2P}$ boost. Statistical significance was determined by Mann-Whitney test (*= p < 0.05, **= p < 0.01, ***= p < 0.001). (D) Sera EC50, after the late boost given at wk 15, and as determined at wk 17. £ = not determined.



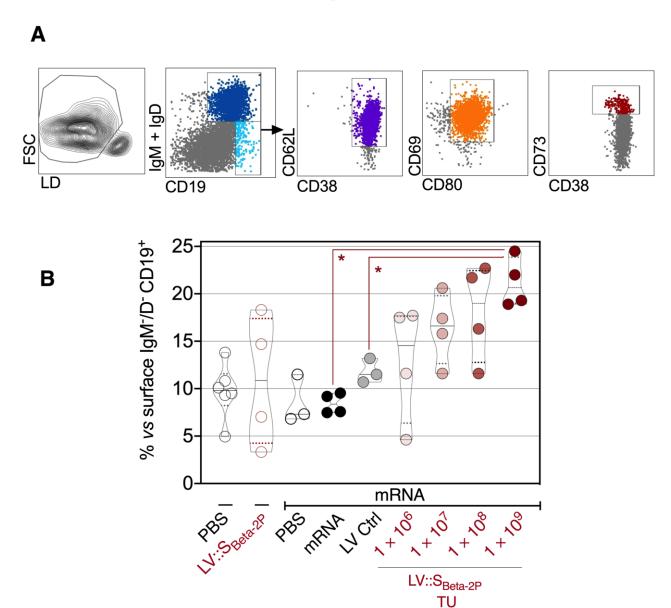


Figure 3. Lung B-cell resident memory subset in mRNA-vaccinated mice which were further intranasally boosted with LV:: $S_{Beta-2P}$. The mice are those detailed in the Figure 2. Mucosal immune cells were studied two weeks after LV:: $S_{Beta-2P}$ i.n. boost. (A) Cytometric gating strategy to detect lung Brm in mRNA-vaccinated mice which were further intranasally boosted with LV:: $S_{Beta-2P}$. (B) Percentages of these cells among lung CD19⁺ surface IgM⁻/IgD⁻ B cells in mRNA-vaccinated mice which were further intranasally boosted with LV:: $S_{Beta-2P}$. Statistical significance was determined by Mann-Whitney test (*= p < 0.05).

Figure 4

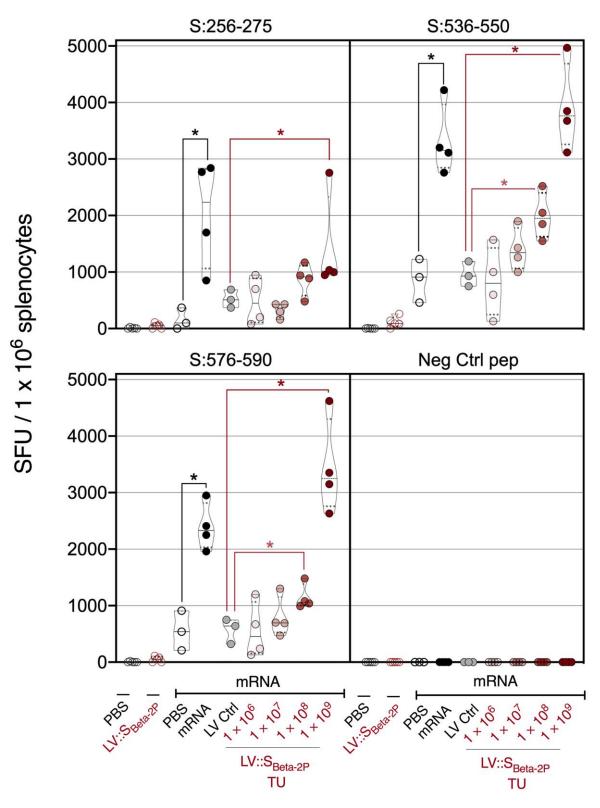
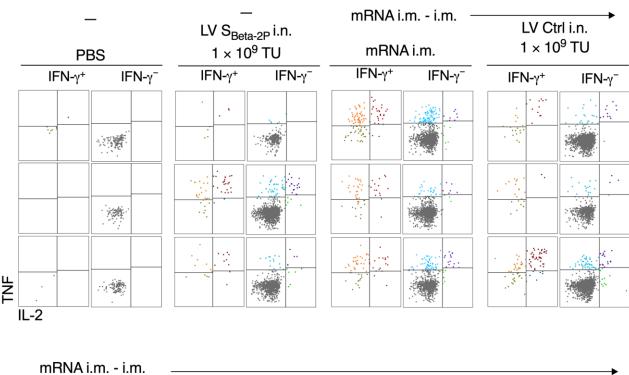
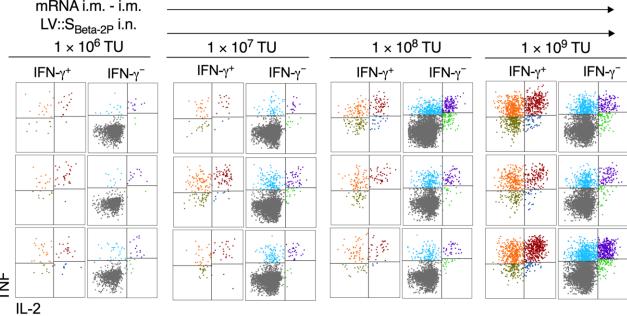


Figure 4. Systemic CD8⁺ T-cell responses to S_{COV-2} in mRNA-vaccinated mice which were further intranasally boosted with LV:: $S_{Beta-2P}$. The mice are those detailed in the Figure 2. T-splenocyte responses were evaluated two weeks after LV:: $S_{Beta-2P}$ i.n. boost by IFN- γ ELISPOT after stimulation with S:256-275, S:536-550 or S:576-590 synthetic 15-mer peptides encompassing S_{CoV-2} MHC-I-restricted epitopes. Statistical significance was evaluated by Mann-Whitney test (*= p < 0.05).

Figure 5





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Figure 5. Mucosal CD8⁺ T-cell responses to S_{COV-2} in mRNA-vaccinated mice which were further intranasally boosted with LV::S_{Beta-2P}. The mice are those detailed in the Figure 2. (A) Representative IFN-γ response by lung CD8⁺ T cells detected by intracellular cytokine staining after in vitro stimulation with a pool of S:256-275, S:536-550 and S:576-590 peptides. Cells are gated on alive CD45⁺ CD8⁺ T cells.

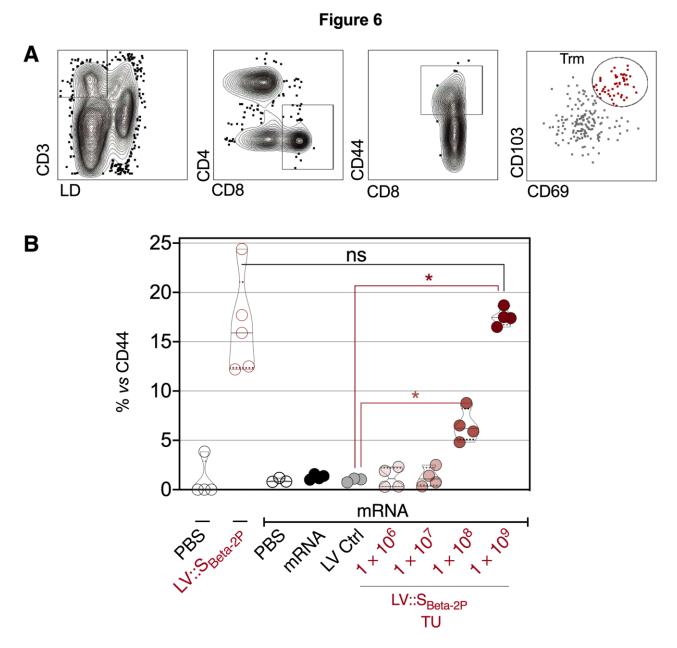


Figure 6. Lung T resident memory subset in mRNA-vaccinated mice which were further intranasally boosted with LV::S_{Beta-2P}. The mice are those detailed in the Figure 2. Mucosal immune cells were studied two weeks after LV::S_{Beta-2P} i.n. boost. (A) Cytometric gating strategy to detect lung CD8⁺ T resident memory (CD44⁺CD69⁺CD103⁺), and (B) percentages of this subset among CD8⁺ CD44⁺ T-cells in mRNA-vaccinated mice which were further intranasally boosted with LV::S_{Beta-2P}. Statistical significance was evaluated by Mann-Whitney test (*= p < 0.05).

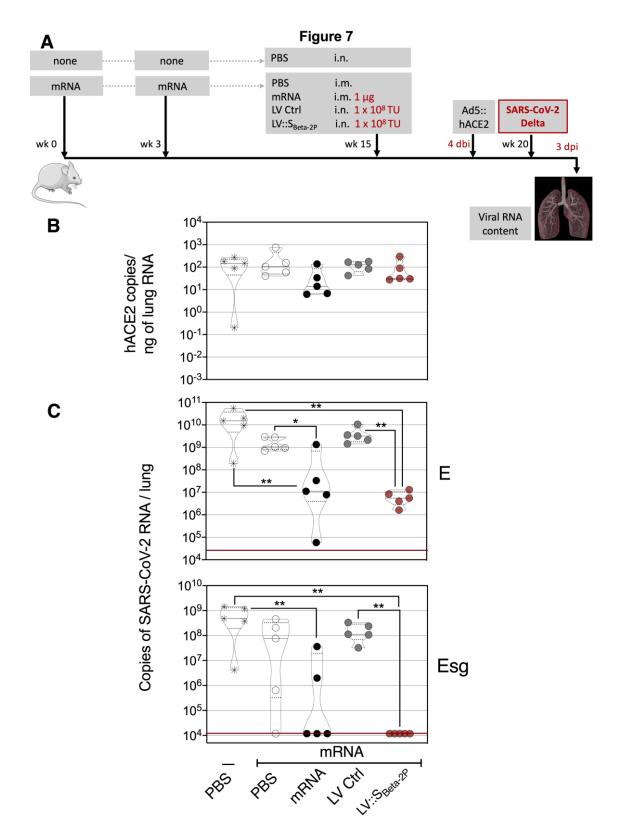


Figure 7. Full protective capacity of LV::S_{Beta-2P} i.n. boost against Delta variant in initially mRNA-primed and boosted mice. (A) Timeline of mRNA i.m.-i.m. prime-boost vaccination in C57BL/6 mice which were later immunized i.n. with 1×10^8 TU/mouse of LV::S_{Beta-2P} (n = 4-5/group), pre-treated i.n. with Ad5::hACE-2 4 days before i.n. challenge with 0.3×10^5 TCID₅₀ of SARS-CoV-2 Delta variant. (B) Comparative quantification of hACE-2 mRNA in the lungs of Ad5::hACE-2 pre-treated mice at 3 dpi. (C) Lung viral RNA contents, evaluated by conventional E-specific (top) or sub-genomic Esg-specific (bottom) qRT-PCR at 3 dpi. Red lines indicate the detection limits. Statistical significance was evaluated by Mann-Whitney test (*= p < 0.05, **= p < 0.01).

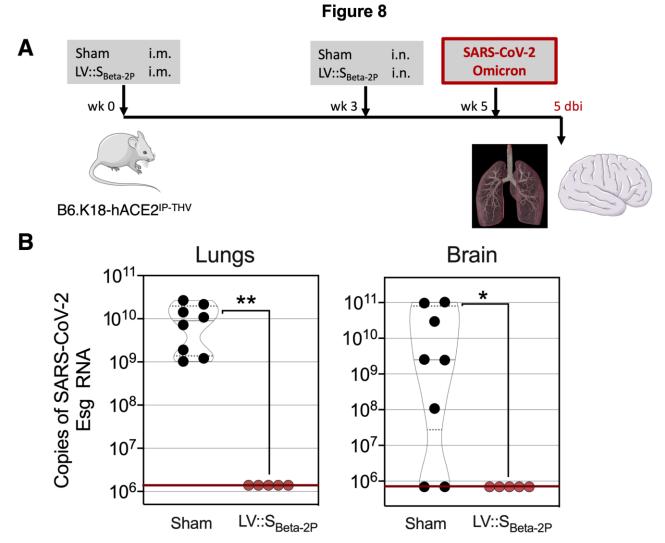


Figure 8. Full protective capacity of LV::S_{Beta-2P} used in a prime (i.m.) boost (i.n.) regimen against Omicron variant. (A) Timeline of prime-boost vaccination and i.n. challenge with 0.3×10^5 TCID₅₀ of SARS-CoV-2 Omicron variant in B6.K18-hACE2^{IP-THV} transgenic mice (n = 5/group). (B) Lung viral RNA contents, evaluated by sub-genomic Esg-specific qRT-PCR at 5 dpi. Red lines indicate the detection limits. Statistical significance was evaluated by Mann-Whitney test (*= p < 0.05, **= p < 0.01).