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Xavier Nicolas, Eric Chénier, Chahinez Tchekiken, Guy Lauriat. Revisited analysis of gas convection and heat transfer in micro channels: influence of viscous stress power at wall on Nusselt number. International Journal of Thermal Sciences, 2018, 134, pp.565-584. 10.1016/j.ijthermalsci.2018.05.049 . hal-01808802

HAL Id: hal-01808802

<https://hal.science/hal-01808802>

Submitted on 6 Jun 2018

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Revisited analysis of gas convection and heat transfer in micro channels: influence of viscous stress power at wall on Nusselt number

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Abstract

This paper deals with the modeling of weakly rarefied and dilute gas flows in micro channels by the continuum approach, valid for Knudsen numbers smaller than about 0.1. It particularly focuses on the modeling of the associated heat transfer. The models proposed in the literature for the forced convection of gas flows in long micro channels between two infinite plates are more specifically discussed. The complete model for such flows is reminded after a compilation and a brief description of their possible applications in industries. The compatibility of the pressure work and viscous dissipation in the energy equation with the power of the viscous stress at the walls is discussed in detail. A dimensional analysis is proposed in the context of long micro channels. Analytical solutions for the velocity and temperature fields and for the Nusselt number are provided in the case of compressible micro-flows in isothermally heated flat plate channels, with pressure work and viscous dissipation included. The choice of an appropriate Nusselt number, including the power of the viscous stress at the wall, is particularly discussed. It is shown analytically and numerically, by solving the complete model for an isothermal wall micro-channel, that the Nusselt number tends to zero when the hydraulic diameter decreases, that is when the Reynolds number decreases and the Knudsen number increases. This could theoretically explain the very small values of the Nusselt number obtained in the experiments by Demsis et al. (2009, 2010).

Keywords: micro-channel, first-order slip flow, compressible flow, heat transfer, Nusselt number, analytical solution

Highlights: (maximum 85 characters including spaces per bullet point)

- Isothermally heated gas slipping flows in long plane micro-channels are considered
- Analytical solution of flow and heat transfer established from an asymptotic analysis
- Viscous stress power at the wall must be included in the total wall heat flux
- Wall Nusselt number tends to zero or to very small values for this flow type
- The very small values of the Nusselt number obtained in experiments are explained

1 Introduction

Due to the increasing development of Micro Electro Mechanical Systems (MEMS), the study of liquid or gas flows and heat transfer in ducts, heated or not, whose hydraulic diameter, D_h , is of the order of a few microns (say 1 to 100 μm), has given rise to a considerable amount of works over the past twenty years. A recent review by Kandlikar et al. [1] is dedicated to them. It is shown that monophasic liquid flows in micro channels have a behavior similar to that observed at the macroscopic scale and the classical continuum mechanics model can be used (Navier-Stokes equations with no slip boundary conditions).

However, for gas flows at the microscopic scale, specific phenomena are observed and require appropriate models [2, 3]. A slightly rarefied flow regime close to the wall, generated by the interaction between the gas molecules and the wall atoms, must be taken into account at the microscopic scale whereas it is negligible at the macroscopic scale or for liquid micro-flows. More specifically, a Knudsen layer whose thickness is of the order of the mean free path of the gas molecules, λ , is formed closed to the wall. In this layer, the velocity magnitudes of the gas molecules considered individually are different at a fixed distance from the wall, due to their interactions with the wall. In other words, in this layer, the gas is in a state of local thermodynamic non-equilibrium which results in non-linear mean velocity profiles and relations between stress and strain rates. From the continuum mechanics point of view, at the micro channel scale, when the Knudsen number is such that $0.001 < Kn = \lambda/D_h < 0.1$, these phenomena translate into a slip velocity and a temperature jump at the wall and, possibly, a gas flow driven by the tangential temperature gradient along the wall called “thermal creep” [4]. The consequences of these phenomena on the macroscopic quantities such as the mass flow rate, the friction factor, the bulk temperature and the wall heat flux can be significant [5] and must be taken into account in the modeling of the convective heat transfer in MEMS with gas flows. Indeed they may have antagonistic effects on the heat transfer.

Gas micro-flows, possibly with heat transfer, can be found in :

- micro heat exchangers for the cooling of electronic components or in chemistry [6, 7],
- micro pumps and turbines, including the thermal transpiration-driven Knudsen pumps for vacuum pumping applications [8–10],
- micro-systems for the species separation in gas mixtures such as the method of gas separation by membranes [11],
- micro gas analyzers such as micro mass spectrometers and micro-chromatographs [12, 13],
- supersonic gas flows in micro-nozzles to control the nano-satellite attitude or the boundary layers in aerodynamics [14–18],
- artificial lungs [19, 20],
- pressure, flow rate and temperature micro-sensors in gas flows [21, 22], etc.

This paper investigates the theoretical models available to simulate and analyze the slightly rarefied gas micro-flows with heat transfer, when $Kn \leq 0.1$. We focus on the modeling of forced convection of pure diluted gases in micro channels by a continuous approach based on the Navier-Stokes equations and first order slip and temperature jump boundary conditions. It appears that simplified models are often used in the literature for this flow type, but without relevant

justification and with recurrent errors propagating from one paper to the other, particularly concerning the heat transfer analysis and the energy equation. Our purpose is to provide a consistent model for gas micro-flows and heat transfer and to compare with the vanishing values of the Nusselt number obtained in experiments [23,24].

In that aim, the characteristic length scales of the continuum description and the way of modeling the Knudsen layer are reminded in §2. The values of the slip and temperature jump coefficients are particularly discussed. The complete model for forced convection in heated micro channels is established and discussed in §3. A dimensional analysis is developed and the analytical solution of the temperature field given by a simplified asymptotic model for compressible gas convection in an isothermal micro-channel is established in §4. This solution is compared with the numerical solution of the full model obtained from finite volume simulations in §5. Furthermore, from the numerical simulations, the heat flux balances for slip and no slip flows and incompressible and compressible flows are analysed in details. The numerical method to solve the full model is presented in §5.1 and the analytical and numerical solutions are compared in §5.2. The heat flux balances and the very small values of the Nusselt number obtained in the experiments by Demsis et al. [23,24] are explained in §5.3.

2 Length scales of the continuum description and Knudsen layer modeling

The mean free path, λ , is the average distance traveled by the molecules between two successive collisions. It is the main scale to evaluate the rarefaction rate in a gas flow and the validity domain of the continuum description. In this paper, the most standard definition used for ideal gases is retained [2,5,26–28]:

$$\lambda = \frac{\mu}{p} \sqrt{\frac{\pi r T}{2}} = \frac{\mu}{\rho} \sqrt{\frac{\pi}{2 r T}} = \mu \sqrt{\frac{\pi}{2 p \rho}} \quad (1)$$

where r is the specific gas constant.

A scale analysis of the breakdown of the continuum description of gas flows was presented by Bird [25] and recalled by Gad-El-Hak [2,29], Colin [30] and Zhang et al. [31]. For dilute gases, the limit on the range of validity of the continuum equations is first due to the local thermodynamic non-equilibrium and the presence of very steep gradients in the flow fields (on characteristic lengths, L , of the same order as the mean free path, λ), rather than due to statistical fluctuations of the macroscopic variables. It is generally admitted that the thermodynamic equilibrium is satisfied and the Navier-Stokes equations are valid everywhere for $Kn = \lambda/D_h < 0.001$ to 0.01, and in the flow core only for $0.01 < Kn < 0.1$. In the latter case, in the thin layer close to the wall (the Knudsen layer), the continuum equations are not valid because the gas molecules only "see" a half-space where the nature of the shocks with the wall is different from the inter-molecular shocks: a local thermodynamic non-equilibrium is present in this zone.

An accurate description of the thin Knudsen layer whose thickness is between about λ and 3λ [5,31] is crucial for microfluidics applications. Momentum and energy are indeed transferred between the gas and the wall through this layer. An ill description has thus significant consequences on the evaluations of the mass flow rate and friction factor, or on the maximum bulk

temperature and wall heat flux. For $0.001 < Kn < 0.1$, a continuous approach coupled with a modeling of the flow and heat transfer in the Knudsen layer is generally considered. The most used model consists in solving the Navier-Stokes equations with slip boundary conditions and semi-empirical coefficients, such as the “accommodation coefficient” or the “slip length”, to model the gas/wall interaction. A similar model is used for heat transfer: the energy equation is solved with a temperature jump boundary condition to mimic the thermal resistance of the Knudsen layer. The choice of the slip and temperature jump coefficients is detailed below because inadequate or inconsistent values of these coefficients are regularly used in the literature.

2.1 Slip and thermal creep boundary conditions

The first-order slip boundary condition was first introduced by Navier in 1823 [36], then independently by Maxwell in 1879 [37]. The simplified form on an impermeable wall writes:

$$u_g - u_w = L_s \left. \frac{\partial u}{\partial \vec{n}} \right|_g \quad (2)$$

$$v_g = 0 \quad (3)$$

where u and v are the velocity components of the gas, tangential and normal to the wall respectively, u_g is the slip velocity of the gas on the wall, u_w is the velocity of the wall ($u_w = 0$ in general), L_s is the slip length and \vec{n} is the direction normal to the wall directed toward the gas with $\partial u / \partial \vec{n} = \nabla u \cdot \vec{n}$. Here and in the following, the subscript “ g ” is used to denote quantities on the gas side of the wall (slip-related quantities associated with the gas molecules in contact with the wall) and the subscript “ w ” is used to denote the quantities on the solid side of the wall.

The slip boundary condition (2) and the comparison of the “true” and modeled velocity profiles of the gas in the Knudsen layer are illustrated in the left part of Fig. 1. The “true” velocity profile (in red) is non-linear close to the wall and presents a slip speed at the wall denoted $u_{g,true}$. The Navier and Maxwell model consists in approximating this velocity profile in the Knudsen layer by the blue profile whose slip velocity, u_g , is greater than the actual slip velocity, $u_{g,true}$, by considering that the difference $u_g - u_w$ is proportional to the normal velocity gradient along the Knudsen layer, $\left. \frac{\partial u}{\partial \vec{n}} \right|_g$. The slip length, L_s , in this model is a semi empirical parameter, proportional to the mean free path, λ , and depends on the gas and wall nature, on the wall roughness and, more generally, on the type of gas/wall interaction (diffuse, specular or mixed specular and diffuse scattering of the gas molecules at the wall). L_s must be evaluated so that u_g provides a good approximation of the velocity profile outside the Knudsen layer.

There are many formulations of L_s in the literature which are more or less equivalent: some formulations depend on a viscous slip coefficient, σ_μ , such as $L_s = \sigma_\mu \lambda$; others are function of the Tangential Momentum Accommodation coefficient (TMAC)¹, denoted σ_u here, such as

¹ TMAC represents the average fraction of the momentum transferred to the wall atoms in the tangential direction by the incident gas molecules impacting the wall : $0 < \sigma_u < 1$ in case of partial accommodation of the gas molecules with the wall, $\sigma_u = 1$ in case of a diffuse reflection (or full accommodation) of the gas molecules

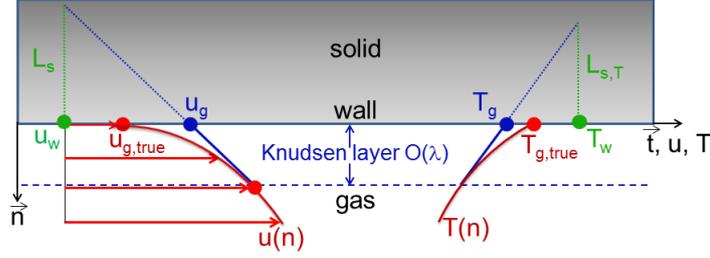


Fig. 1: Schematic representation of the true (in red) and approximated (in blue) velocity and temperature profiles in the Knudsen layer and (in green) of the slip length, L_s , and temperature jump length, $L_{s,T}$.

$L_s = [\varpi_u(2 - \sigma_u)/\sigma_u]\lambda$ with ϖ_u a corrective coefficient [38,39]. Recently, following the extensive work by Sharipov [4], the first formulation seems to settle as a standard [1,31]. However, the slip boundary condition given by equation (2) is very simplified. A more general form, valid for non isothermal flows, can be written :

$$u_g - u_w = \sigma_\mu \lambda \left(\frac{\partial u}{\partial \vec{n}} + \frac{\partial v}{\partial \vec{t}} \right) \Big|_g + \sigma_\theta \left(\frac{\mu r}{p} \frac{\partial T}{\partial \vec{t}} \right) \Big|_g \quad (4)$$

where σ_μ and σ_θ are the viscous and thermal slip coefficients (detailed below), μ the dynamic viscosity and \vec{t} the direction tangential to the wall. Compared with Eq. (2), the slip velocity in Eq. (4) also depends on the tangential gradients of the normal velocity component, v , and temperature, T . The term $\frac{\partial v}{\partial \vec{t}} \Big|_g$ vanishes if the wall is plane and smooth. However, it must be taken into account in case of high roughness or sharp curvature of the wall, when the radius of curvature is about or less than the mean free path, λ , of the gas molecules [40,41].

The last term in Eq. (4) is the thermal creep or thermal transpiration term. It reflects the presence of a tangential flow along the wall, generated by a longitudinal temperature gradient. The thermal creep is directed from low temperatures to high temperatures. Thanks to a dimensional analysis, we will show that this phenomenon is only significant at very small Reynolds numbers. When the thermal creep is negligible, the first order slip boundary condition (4) on a plane and smooth wall simply writes:

$$u_g - u_w = \sigma_\mu \lambda \frac{\partial u}{\partial \vec{n}} \Big|_g \quad (5)$$

Sharipov [4] proposed a synthesis of the theoretical and experimental values of the viscous and thermal slip coefficients, σ_μ and σ_θ , and also the thermal jump coefficient ξ_T (see Eq. (6)) available in the literature. The theoretical data in [4] are mainly calculated from the Boltzmann kinetic equation or various kinetic equations, like the BGK kinetic model. Due to the different definitions of the mean free path λ between [4] and Eq. (1), all the data for these coefficients in [4] must be multiplied by the factor $\frac{2}{\sqrt{\pi}}$ to be used with the present formulation. Thus, we get $\sigma_\mu \approx 1.1$, $\sigma_\theta \approx 1.2$ and $\xi_T \approx 2.2$ with the present definition of λ (Eq. (1)), in the case of diffuse scattering (or full accommodation) of the gas molecules at the wall. To take into account specular reflection (no accommodation), $\sigma_u \rightarrow 0$ in case of specular reflection (no accommodation).

account a non complete accommodation, it is generally assumed that a part, evaluated by the coefficient $TMAC = \sigma_u$, of incident particles is scattered diffusely, while the rest of particles, i.e. the $(1 - \sigma_u)$ part, is reflected specularly. Thus, in case of non diffuse scattering, only a part of the molecules accommodates with the wall and it is shown that σ_μ is greater than 1.1 and diverges for a specular scattering (for $\sigma_u \rightarrow 0$), whereas σ_θ is smaller than 1.2 and tends to $\frac{3}{4} \times \frac{2}{\sqrt{\pi}}$ for a specular scattering. For the sake of simplicity and as, in most of the experiments, the gas/wall interaction is diffuse ($TMAC = \sigma_u \approx 1$), we will take $\sigma_\mu = \sigma_\theta = 1$ and $\xi_T = 2$ in the present numerical applications. Note that, in most of the published numerical works, $\sigma_\mu = 1$ and $\sigma_\theta = 3/4$ are used at the same time what is erroneous since the former expression corresponds to a diffuse scattering and the latter to a specular scattering.

2.2 Thermal jump boundary condition

Similarly to the Navier and Maxwell slip boundary conditions, Smoluchowski (1898) [42] introduced an equivalent boundary conditions to characterize the temperature jump observed at the wall in the Knudsen layer. This temperature jump and its modeling are illustrated in the right part of Fig. 1. The boundary conditions equivalent to Eq. (5) but for the temperature can be written, at the first order with Kn [1,4,31]:

$$T_g - T_w = \xi_T \lambda \left. \frac{\partial T}{\partial \vec{n}} \right|_g \quad (6)$$

where T_g is the gas temperature at the wall, T_w the wall temperature and ξ_T the temperature jump coefficient such as the thermal jump length in Fig. 1 is $L_{s,T} = \xi_T \lambda$. According to the bibliographical synthesis [4], with λ given by Eq. (1), $\xi_T \approx 2.1$ to 2.2 for diffuse scattering of mono atomic gases (He , Ar , Ne and Xe) and their binary mixtures at the walls.

In the literature, Eq. (6) is often considered in the following form:

$$T_g - T_w = \frac{2 - \sigma_T}{\sigma_T} \frac{2\gamma}{\gamma + 1} \frac{\lambda}{Pr} \left. \frac{\partial T}{\partial \vec{n}} \right|_g \quad (7)$$

where σ_T is the thermal accommodation coefficient [3] (equivalent of TMAC for the thermal energy), $\gamma = C_p/C_v$ is the isentropic coefficient and Pr the Prandtl number. However, in the case of a mono-atomic (respectively diatomic) ideal gas, $\gamma = 5/3$ (respectively $7/5$) and $Pr \approx 0.7$. So, as $\sigma_T = 1$ for a diffuse gas/wall interaction, the factor $\frac{2 - \sigma_T}{\sigma_T} \frac{2\gamma}{\gamma + 1} \frac{1}{Pr}$ in Eq. (7) is approximately equal to 1.6 to 1.8 for bi and mono atomic gases which is noticeably smaller than $\xi_T \approx 2.1$ to 2.2 given by Sharipov [4].

Finally note that the temperature jump boundary condition that reflects the rarefaction and the presence of the Knudsen layer is valid and must be applied regardless of the type of thermal condition applied: fixed temperature, imposed heat flux or heat conduction in the wall. We insist on this point because some authors did not take into account the temperature jump condition when a heat flux is imposed on a wall to determine the wall temperature.

3 Mathematical model for gas flows in micro channels

3.1 Main physical phenomena and modeling issues

For flows in micro channels of large aspect ratio, L/D_h , and typical sizes $1 \mu m \leq D_h \leq 10 \mu m$ et $100 \mu m \leq L \leq 1 mm$, submitted to a moderate heating of the walls and to pressure variations between the inlet and the outlet of the channel of the order of one bar to a few bars, the conversion of the mechanical work of the viscous forces into internal energy is very important. It can also be shown that the Mach number, $Ma = \bar{u}/\sqrt{\gamma r T}$, and the Brinkman number, $Br = \mu \bar{u}^2/(k \Delta T)$, can reach or exceed the unit and the Reynolds number, $Re = \bar{u} D_h/\nu$, and the Péclet number, $Pe = \bar{u} D_h/\alpha$, can vary between 10^{-2} and 10^2 . Accordingly, modeling this type of flow requires to take into account a wide range of physical phenomena:

- the local thermodynamic non equilibrium and rarefaction effects in the Knudsen layer as described in the previous sections (dynamic slip, temperature jump and thermal creep),
- the compressibility of the gas (the volume expansion and cooling of the gas associated with the pressure work) [43–46],
- the viscous dissipation (heat source) [45, 46],
- the work of the viscous forces at the wall in the presence of dynamic slip [27, 47, 48],
- the variation of the physical properties with temperature [49, 50],
- the dominant thermal and dynamical axial diffusions at the inlet and outlet boundaries when Re and Pe are less than unity,
- the heat conduction in the walls (conjugate heat transfer) because they are usually thicker than the channel and more conducting than the gas [51].
- the relative roughness of the walls, which can be important at these small scales [31, 52–56].

A bibliographical review of numerous numerical studies on this subject shows that all these effects are never taken into account simultaneously. This may be justified in some cases when the effect of the omitted term in the model is negligible on the dynamic and thermal behavior of the flow. However, the justification of the simplifying assumptions used in the model is often omitted. One objective of this paper is thus to study the influence of different phenomena described above in the case of gas flows in 2D straight micro channels. The analysis will particularly focus on the thermal aspects: we will analyze the influence of different terms in the energy equation and thermal boundary conditions on the evolution of the temperature field and Nusselt number.

To this end, we present below full and simplified mathematical models for modeling gas micro-flows in the framework of continuum mechanics. The general conservation equations are presented in §3.2. The viscous stress power at the walls is discussed in §3.3 and its influence on the sum of the pressure work and viscous dissipation in §3.4. The summary of the governing equations and boundary conditions in their dimensional form is given in §3.5. The dimensionless equations and the main results derived from a dimensional analysis of these micro flows are presented in §3.6.

3.2 General conservation equations

In the present study, we consider steady gas flows in isothermal parallel-plate micro channels with the inlet and outlet pressures prescribed at p_{in} and p_{out} . The geometry is presented in Fig. 2. The flow is assumed to be two-dimensional, symmetrical through the horizontal mid-plane, steady, compressible and laminar. The fluid is an ideal gas with potentially variable physical properties. The governing equations are the mass, momentum and energy conservation equations in which the body forces are neglected with respect to the viscous forces.

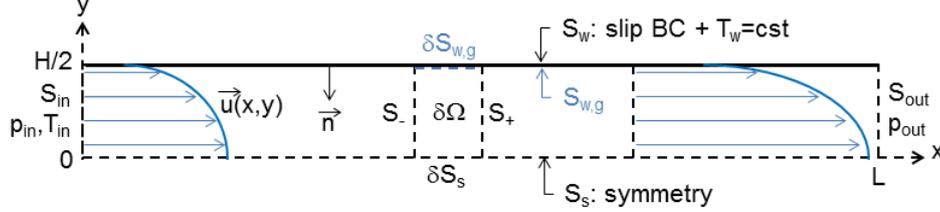


Fig. 2: Considered geometry for gas flows in 2D isothermal micro channels

The continuity and Navier-Stokes equations write [57]:

$$\nabla \cdot (\rho \vec{v}) = 0 \quad (8)$$

$$\nabla \cdot (\rho \vec{v} \otimes \vec{v}) = -\nabla p + \nabla \cdot \bar{\bar{\tau}} \quad (9)$$

where the shear stress tensor $\bar{\bar{\tau}}$ is defined for a Newtonian-Stokes fluid by :

$$\bar{\bar{\tau}} = \mu(\nabla \vec{v} + \nabla \vec{v}^t) - \frac{2}{3}\mu\nabla \cdot \vec{v} \bar{\bar{I}} \quad (10)$$

By noting h the enthalpy and $e_c = \vec{v}^2/2$ the kinetic energy per mass unit of the gas, the total energy conservation equation writes [57]:

$$\nabla \cdot (\rho \vec{v} h + \rho \vec{v} e_c - k\nabla T - \bar{\bar{\tau}} \cdot \vec{v}) = 0 \quad (11)$$

With the present assumptions, the mechanical energy balance (or equation of change for mechanical energy) can be written:

$$\nabla \cdot (\rho \vec{v} e_c - \bar{\bar{\tau}} \cdot \vec{v}) = -\vec{v} \cdot \nabla p - \bar{\bar{\tau}} : \nabla \vec{v} \quad (12)$$

By subtracting Eq. (12) from Eq. (11), we get the enthalpic form of the energy equation:

$$\nabla \cdot (\rho \vec{v} h - k\nabla T) = \vec{v} \cdot \nabla p + \bar{\bar{\tau}} : \nabla \vec{v} \quad (13)$$

The viscous dissipation (VD) is a heat source term in the enthalpy equation ($\bar{\bar{\tau}} : \nabla \vec{v} > 0$) whereas the pressure work (PW) term is a heat sink ($\vec{v} \cdot \nabla p < 0$) since the velocity vector, \vec{v} , and the pressure gradient, ∇p , have opposite directions in a channel flow of constant section. For a compressible gas flow in a constant section duct, both the magnitudes of VD and PW increase downstream due to the gas expansion (acceleration) at the channel outlet and the

transformation of the enthalpy/internal energy into kinetic energy.

For an ideal gas, the density is given by the equation of state:

$$\rho(p, T) = \frac{p}{rT} \quad (14)$$

where r is the specific gas constant, and the enthalpy per unit of mass is computed by:

$$h(T) - h_{ref}(T_{ref}) = \int_{T_{ref}}^T C_p(T) dT \quad (15)$$

where $h_{ref} = h(T_{ref})$ is the known reference enthalpy at the reference temperature T_{ref} . In the previous equations, the thermal conductivity, k , the dynamic viscosity, μ , and the specific heat capacity at constant pressure, C_p , are *a priori* temperature dependent. However, in the present study, since the temperature variations are small due to the used isothermal wall condition, the temperature dependence of the physical properties is negligible and not considered hereafter.

3.3 Viscous stress power (VSP) at impermeable walls

We consider a compressible, non-isothermal gas flow in a channel (as shown in Fig.2). The boundary of the flow domain, Ω , is limited by the following four surfaces: the inlet section, S_{in} , the outlet section, S_{out} , the surface on the gas side of the impermeable wall, $S_{w,g}$, and the symmetry surface, S_s . \vec{n} is the inward normal unit vector of the closed surface $\Sigma = S_{in} \cup S_{out} \cup S_{w,g} \cup S_s$ (Fig. 2). Then, by integrating the total energy equation (11) on Ω , applying the Gauss theorem and simplifying, we get:

$$\iint_{S_{in} \cup S_{out}} (\rho \vec{v} h + \rho \vec{v} e_c - k \nabla T - \bar{\tau} \cdot \vec{v}) \cdot \vec{n} dS + \iint_{S_{w,g}} (-k \nabla T|_g - \bar{\tau} \cdot \vec{v}|_g) \cdot \vec{n} dS = 0 \quad (16)$$

in which the power of the viscous stress $(\bar{\tau} \cdot \vec{v}) \cdot \vec{n}|_g \neq 0$ in case of slipping flow along the wall since $\vec{v}_g = u_g(x) \vec{e}_x \neq \vec{0}$ and

$$(\bar{\tau} \cdot \vec{v}) \cdot \vec{n}|_g = \mu_g u_g \left. \frac{\partial u}{\partial \vec{n}} \right|_g \quad (17)$$

Therefore, in case of rarefaction and slip conditions, the conservation of the heat flux between the gas and the solid wall implies that the total heat flux density transmitted through the walls, $\vec{q}_{t,w} \cdot \vec{n} = q_{t,w}(x)$ [W/m^2], is equal to the sum of the diffusion heat flux and the power of the viscous stress:

$$\vec{q}_{t,w} \cdot \vec{n} = \left(-k \nabla T|_g - \bar{\tau} \cdot \vec{v}|_g \right) \cdot \vec{n} \quad (18)$$

Thus, using Eq. (17), the total heat flux density transmitted through the wall writes:

$$q_{t,w} = \underbrace{-k_g \left. \frac{\partial T}{\partial \vec{n}} \right|_g}_{\text{diffusion heat flux}} - \underbrace{\mu_g u_g \left. \frac{\partial u}{\partial \vec{n}} \right|_g}_{\text{viscous stress power} \geq 0} \quad (19)$$

where $k_g(x) = k(T_g(x))$ and $\mu_g(x) = \mu(T_g(x))$ are the gas conductivity and viscosity at the gas

temperature close to the walls, $T_g(x)$ (see Fig.1 and Eqs. (6) or (7)). In Eq. (19), the power of the viscous stress at the wall (the last term under the brace) is positive or null. Thus the total heat flux density through the wall, $q_{t,w}$, is always smaller than the diffused or convected heat flux density to the fluid and it can be positive or negative according to the relative magnitude of the two R.H.S terms.

The expression (19) of the wall heat flux was presumably introduced by Maslen (1958) [58] then discussed by Sparrow and Lin (1962) [27]. Since then, only a few authors have taken into account the power of the viscous stress at the wall in their analysis of heat transfer [44,47,59]. So, as most of the authors neglected this contribution, it was eventually forgotten. Hong and Asako [48] reiterated its importance in a paper in 2010 and, more recently, some papers have used total heat flux expressions similar to Eq. (19) to analyse heat transfer in isoflux micro channels [60,61]. In the present paper, we will analyze the contribution of $q_{t,w}$ on the Nusselt number, in the case of isothermal micro channels. We will show that it allows to recover very low values of the Nusselt number as it was experimentally obtained by Demsis et al. [23,24].

It appears that to get a continuum model consistent with the conservation of the total heat flux, it is necessary to consider the power of the viscous stress at the wall when slip is present. Eq. (19) is therefore the consequence of the presence of a slipping flow and this condition is independent of the temperature jump condition, Eqs. (6) or (7). So, in case of moderate rarefaction ($0.001 \leq Kn \leq 0.1$), Eqs. (19) and (6) or (7) must be simultaneously satisfied in the continuum model. Most of the published works consider only one of these two conditions.

3.4 Pressure work (PW) and viscous dissipation (VD) for weakly compressible flows

In numerous studies on gas micro-flows, the contributions of the pressure work and the viscous dissipation in the energy equation (13) were either both neglected or the flow was considered quasi-incompressible and only the viscous dissipation was accounted for. We remind here some important theoretical results on these assumptions.

We consider first the integration of the mechanical energy equation (12) on the slice $\delta\Omega$ of the impermeable wall micro-channel of Fig. 2, whose boundary is $\delta\Sigma = S_- \cup S_+ \cup \delta S_{w,g} \cup \delta S_s$ and \vec{n} is the inward normal unit vector. After applying the Gauss theorem and simplifying, we get:

$$\underbrace{\iiint_{\delta\Omega} (\vec{v} \cdot \nabla p + \bar{\bar{\tau}} : \nabla \vec{v}) d\Omega}_{PW+VD} = \underbrace{\iint_{S_- \cup S_+} (\rho \vec{v} e_c - \bar{\bar{\tau}} \cdot \vec{v}) \cdot \vec{n} dS}_{I_{S_- \cup S_+} \approx 0} + \underbrace{\iint_{\delta S_{w,g}} -(\bar{\bar{\tau}} \cdot \vec{v}) \cdot \vec{n} dS}_{VSP} \quad (20)$$

where the first R.H.S integral $I_{S_- \cup S_+}$ is null for fully established incompressible flows and is negligible for weakly compressible flows since, in this case, the velocity profile is (nearly) the same on the inlet (S_-) and outlet (S_+) sections of $\delta\Omega$. Furthermore the last integral of the viscous stress power (VSP) on the wall ($\delta S_{w,g}$) vanishes for no slipping flows.

Consequently the integral, $PW + VD$, of the pressure work and viscous dissipation on the slice $\delta\Omega$ is very small (negligible) for fully established no slipping flows with weak streamwise

density variations: in this case, the pressure work compensates the viscous dissipation, both locally (on a channel slice) and also on the whole domain, except at the inlet and the outlet where the entrance and expansion effects can be important. It appears thus that it is preferable to neglect PW and VD at the same time instead of one at a time. This is all the more true since the flow is compressible. This is perfectly illustrated in the numerical study of heated compressible flows in 2D micro channels by Hong et al. (2007) [45].

For established and moderately rarefied slipping flows ($0.001 \leq Kn \leq 0.1$) with small streamwise density variations, it appears from Eq. (20) that the first integral term in the RHS of Eq. (20) is approximately equal to zero. Therefore, $PW + VD \approx VSP$: the sum of the pressure work and viscous dissipation does not vanish and is approximately equal to the power of the viscous stress at the wall. As a result, $PW + VD$ cannot be neglected in the energy equation if VSP is not negligible at the wall and vice versa.

3.5 Governing equations for two-dimensional flows of ideal gases in an isothermally heated flat-plate channel

Referring to the coordinate system of Fig. 2, the governing equations and boundary conditions for two-dimensional flows of ideal gases in the x direction, between two isothermal parallel smooth walls of length L , separated by a distance H in the transverse y direction, are summed up below. By denoting (u, v) the velocity components in directions (x, y) of the velocity vector \vec{v} , the equations (8), (9) and (13) can be written:

$$\frac{\partial \rho u}{\partial x} + \frac{\partial \rho v}{\partial y} = 0 \quad (21)$$

$$\frac{\partial \rho u u}{\partial x} + \frac{\partial \rho u v}{\partial y} = -\frac{\partial p}{\partial x} + \frac{\partial}{\partial x} \left[2\mu \left(\frac{\partial u}{\partial x} - \frac{1}{3} \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) \right) \right] + \frac{\partial}{\partial y} \left[\mu \left(\frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \right] \quad (22)$$

$$\frac{\partial \rho u v}{\partial x} + \frac{\partial \rho v v}{\partial y} = -\frac{\partial p}{\partial y} + \frac{\partial}{\partial x} \left[\mu \left(\frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \right] + \frac{\partial}{\partial y} \left[2\mu \left(\frac{\partial v}{\partial y} - \frac{1}{3} \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) \right) \right] \quad (23)$$

$$C_p \left(\frac{\partial \rho u T}{\partial x} + \frac{\partial \rho v T}{\partial y} \right) = \left[\frac{\partial}{\partial x} \left(k \frac{\partial T}{\partial x} \right) + \frac{\partial}{\partial y} \left(k \frac{\partial T}{\partial y} \right) \right] + \left(u \frac{\partial p}{\partial x} + v \frac{\partial p}{\partial y} \right) + \bar{\tau} : \nabla \vec{v} \quad (24)$$

where the viscous dissipation writes:

$$\bar{\tau} : \nabla \vec{v} = \mu \left\{ 2 \left[\left(\frac{\partial u}{\partial x} \right)^2 + \left(\frac{\partial v}{\partial y} \right)^2 \right] + \left(\frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right)^2 - \frac{2}{3} \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right)^2 \right\} \quad (25)$$

The above set of equations is completed with the state equation (14) of the ideal gas.

At the inlet section $x = 0$, uniform pressure and temperature are applied:

$$p(0, y) = p_{in}, \quad \frac{\partial u}{\partial x}(0, y) = 0, \quad v(0, y) = 0, \quad T(0, y) = T_{in} \quad (26)$$

At the outlet section $x = L$, the pressure is fixed and fully developed flow conditions are applied:

$$p(L, y) = p_{out}, \quad \frac{\partial u}{\partial x}(L, y) = 0, \quad \frac{\partial v}{\partial x}(L, y) = 0, \quad \frac{\partial T}{\partial x}(L, y) = 0 \quad (27)$$

The symmetry boundary conditions are considered on the channel axis at $y = 0$:

$$\frac{\partial u}{\partial y}(x, 0) = 0, \quad v(x, 0) = 0, \quad \frac{\partial T}{\partial y}(x, 0) = 0 \quad (28)$$

On the gas side of the impermeable wall at $y = H/2$ (denoted by the subscript g below), zero normal velocity is applied and, for the tangential velocity, the rarefaction effects are modeled using the first-order Maxwell slip velocity and thermal creep boundary conditions (Eq. (4)):

$$u_g(x) = u(x, H/2) = \underbrace{-\sigma_\mu \lambda_g \frac{\partial u}{\partial y} \Big|_g}_{1^{st} \text{ order slip velocity}} + \underbrace{\sigma_\theta \frac{\mu_g}{\rho_g T_g} \frac{\partial T}{\partial x} \Big|_g}_{\text{thermal creep}} \quad (29)$$

$$v_g(x) = v(x, H/2) = 0 \quad (30)$$

where the mean free path λ_g at the wall is viscosity based (Eq. (1)):

$$\lambda_g = \frac{\mu_g}{p_g} \sqrt{\frac{\pi r T_g}{2}} = \mu_g \sqrt{\frac{\pi}{2 \rho_g p_g}} \quad (31)$$

For a channel with a uniform wall temperature T_w , the thermal boundary condition (6) is used:

$$T_g(x) = T(x, H/2) = T_w - \underbrace{\xi_T \lambda_g \frac{\partial T}{\partial y} \Big|_g}_{1^{st} \text{ order temperature jump}} \quad (32)$$

3.6 Dimensionless equations for flows in isothermally-heated, long micro-channels

Reference quantities

In the present study, we consider developed gas flows (i.e. located downstream the thermal entrance zone), in micro-channels isothermally heated at the wall temperature, T_w . Thus the following dimensionless variables are used in order to write the conservation equations and boundary conditions in dimensionless form :

$$X = x/L \quad , \quad Y = y/D_h \quad , \quad U = u/u_{ref} \quad , \quad V = v/u_{ref} \quad , \quad P = \frac{p - p_{av}}{\Delta p_{ref}} \quad , \quad \theta = \frac{T - T_w}{\Delta T_{ref}}$$

$$\rho^* = \rho/\rho_w \quad , \quad \mu^* = \mu/\mu_w \quad , \quad C_p^* = C_p/C_{p,w} \quad , \quad k^* = k/k_w \quad (33)$$

The subscript “ w ” denotes quantities evaluated at the wall temperature, with $\rho_w = p_{av}/rT_w$ and $p_{av} = (p_{in} + p_{out})/2$; u_{ref} , Δp_{ref} and ΔT_{ref} are reference quantities for the velocity, the pressure and temperature differences. In macro flows, the pressure gradient is generally considered of the same order as the inertial term, so the reference pressure difference is taken as $\Delta p_{ref} = \rho_{in} \bar{u}_{in}^2$ for instance. In macro and micro channels, when the inlet and outlet pressures are the inputs of the problem formulation, the reference pressure is:

$$\Delta p_{ref} = p_{in} - p_{out} \quad (34)$$

In dynamically developed flows in micro and macro channels, as the streamwise pressure gradient balances the viscous term, the equality of these two terms in the Navier-Stokes equations enables us to write the unknown reference velocity, u_{ref} , as:

$$u_{ref} = \epsilon D_h \Delta p_{ref} / \mu_w \quad (35)$$

where $\epsilon = D_h/L = 2H/L$; it is a small parameter in the framework of the long channel approximation. Due to the way it is built, u_{ref} is a relevant reference velocity for the viscous term of the Navier-Stokes equations or for the viscous dissipation term of the energy equation.

On the other hand, the average or bulk velocity remains the adequate reference velocity for the inertial terms and the Reynolds and Mach numbers. Thus, in what follows, a bulk velocity, \bar{u}_b , defined from the mass flow rate, \dot{m} , will also be used:

$$\bar{u}_b = \dot{m} / \rho_w H \quad (36)$$

Note that \dot{m} is *a priori* unknown for an imposed pressure difference, $p_{in} - p_{out}$. It can be estimated from the asymptotic analytical expression Eq. (63) for instance.

For a uniform wall temperature, T_w , the reference temperature difference is usually chosen as $\Delta T_{ref} = T_w - T_{in}$. Here, we are mainly interested in the fully developed micro-flows downstream the thermal entrance length. Far from the inlet and for isothermal channel walls, the thermal scale can be chosen by assuming that the thermal diffusion balances the heat source terms (pressure work and viscous dissipation) in the energy equation (24) (such an assumption will be justified later on). Then we get:

$$\Delta T_{ref} = \frac{\mu_w u_{ref}^2}{k_w} \quad (37)$$

where u_{ref} is given by Eq. (35).

Dimensionless equations and boundary conditions

After introducing the dimensionless variables (33), taking into account Eqs. (34)-(37), the governing equations (21)-(24) become:

$$\epsilon \frac{\partial \rho^* U}{\partial X} + \frac{\partial \rho^* V}{\partial Y} = 0 \quad (38)$$

$$\epsilon \frac{\partial \rho^* UU}{\partial X} + \frac{\partial \rho^* UV}{\partial Y} = -\frac{1}{Re_w} \frac{\bar{u}_b}{u_{ref}} \frac{\partial P}{\partial X} + \frac{1}{Re_w} \frac{\bar{u}_b}{u_{ref}} \left\{ \frac{\partial}{\partial X} \left[2\mu^* \left(\epsilon^2 \frac{\partial U}{\partial X} - \frac{1}{3} \left(\epsilon^2 \frac{\partial U}{\partial X} + \epsilon \frac{\partial V}{\partial Y} \right) \right) \right] + \frac{\partial}{\partial Y} \left[\mu^* \left(\frac{\partial U}{\partial Y} + \epsilon \frac{\partial V}{\partial X} \right) \right] \right\} \quad (39)$$

$$\epsilon \frac{\partial \rho^* UV}{\partial X} + \frac{\partial \rho^* VV}{\partial Y} = -\frac{1}{\epsilon Re_w} \frac{\bar{u}_b}{u_{ref}} \frac{\partial P}{\partial Y} + \frac{1}{Re_w} \frac{\bar{u}_b}{u_{ref}} \left\{ \frac{\partial}{\partial X} \left[\mu^* \left(\epsilon \frac{\partial U}{\partial Y} + \epsilon^2 \frac{\partial V}{\partial X} \right) \right] + \frac{\partial}{\partial Y} \left[2\mu^* \left(\frac{\partial V}{\partial Y} - \frac{1}{3} \left(\epsilon \frac{\partial U}{\partial X} + \frac{\partial V}{\partial Y} \right) \right) \right] \right\} \quad (40)$$

$$C_p^* \left(\epsilon \frac{\partial \rho^* U\theta}{\partial X} + \frac{\partial \rho^* V\theta}{\partial Y} \right) = \frac{1}{Pr_w Re_w} \frac{\bar{u}_b}{u_{ref}} \left[\epsilon^2 \frac{\partial}{\partial X} \left(k^* \frac{\partial \theta}{\partial X} \right) + \frac{\partial}{\partial Y} \left(k^* \frac{\partial \theta}{\partial Y} \right) \right] + \frac{1}{Pr_w Re_w} \frac{\bar{u}_b}{u_{ref}} \left(U \frac{\partial P}{\partial X} + \frac{1}{\epsilon} V \frac{\partial P}{\partial Y} \right) + \frac{1}{Pr_w Re_w} \frac{\bar{u}_b}{u_{ref}} \Phi_v \quad (41)$$

where Φ_v is the dimensionless viscous dissipation term (25):

$$\Phi_v = \mu^* \left\{ 2 \left[\left(\epsilon \frac{\partial U}{\partial X} \right)^2 + \left(\frac{\partial V}{\partial Y} \right)^2 \right] + \left[\frac{\partial U}{\partial Y} + \epsilon \frac{\partial V}{\partial X} \right]^2 - \frac{2}{3} \left[\epsilon \frac{\partial U}{\partial X} + \frac{\partial V}{\partial Y} \right]^2 \right\} \quad (42)$$

In Eqs. (38)-(42), $\epsilon = D_h/L$ is a small parameter for most micro channels. $Pr_w = \mu_w C_{p,w}/k_w$ and $Re_w = \rho_w \bar{u}_b D_h / \mu_w = 2\dot{m}/\mu_w$ are the Prandtl and Reynolds numbers evaluated at the wall temperature. So the last two terms of the energy equation (41), the pressure work (PW) and viscous dissipation (VD) terms, are both of the order of $\bar{u}_b/(Pr_w Re_w u_{ref})$, considering that, from Eq. (38), $V \sim \epsilon$ in the PW term. The ratio PW/VD is therefore of the order of -1 since PW is negative and VD is positive.

The dimensionless form of the state equation is:

$$\rho^* = \frac{1 + \frac{\Delta p_{ref}}{p_{av}} P}{1 + \frac{\Delta T_{ref}}{T_w} \theta} \quad (43)$$

The dimensionless forms of the boundary conditions read:

- on the inlet, at $X = 0, \forall Y \in [0, \frac{1}{4}]$, $P = P_{in} = \frac{1}{2}$, $\frac{\partial U}{\partial X} = V = 0$, $\theta = \theta_{in} = \frac{T_w}{\Delta T_{ref}} \left(\frac{T_{in}}{T_w} - 1 \right)$;
- on the outlet, at $X = 1, \forall Y \in [0, \frac{1}{4}]$, $P = P_{out} = -\frac{1}{2}$, $\frac{\partial U}{\partial X} = \frac{\partial V}{\partial X} = \frac{\partial \theta}{\partial X} = 0$;
- on the channel axis, at $Y = 0, \forall X \in [0, 1]$, $\frac{\partial U}{\partial Y} = V = \frac{\partial \theta}{\partial Y} = 0$;
- on the wall, at $Y = \frac{1}{4}, \forall X \in [0, 1]$, the velocity boundary conditions (29) and (30) write:

$$U_g = \frac{-\sigma_\mu K n_w \mu_g^*}{\sqrt{\rho_g^* \left(1 + \frac{\Delta p_{ref}}{p_{av}} P_g \right)}} \frac{\partial U}{\partial Y} \Big|_g + \sigma_\theta \left(\frac{2(\gamma - 1)}{\pi \gamma} \right) \epsilon Pr_w K n_w^2 Re_w \left(\frac{u_{ref}}{\bar{u}_b} \right) \left(\frac{\mu_g^*}{1 + \frac{\Delta p_{ref}}{p_{av}} P_g} \right) \frac{\partial \theta}{\partial X} \Big|_g \quad (44)$$

$$V_g = 0 \quad (45)$$

where all the variables with subscript g are functions of X at $Y = \frac{1}{4}$, on the gas side. Equation (44) shows that the thermal creep (the last R.H.S term) is $O(\epsilon)$ and $O(Kn_w^2)$ that is of second order with Kn_w when the slip velocity (the first R.H.S term) is $O(Kn_w)$. Finally, in the case of uniform wall temperature T_w , the thermal boundary condition (32) on the wall at $Y = \frac{1}{4}$ writes, $\forall X \in [0, 1]$:

$$\theta_g = \frac{-\xi_T Kn_w \mu_g^*}{\sqrt{\rho_g^* \left(1 + \frac{\Delta p_{ref}}{p_{av}} P_g\right)}} \frac{\partial \theta}{\partial Y} \Big|_g \quad (46)$$

and the resulting total wall heat flux, $q_{t,w}$, is given by Eq. (18) which also writes:

$$q_{t,w}^* = \frac{q_{t,w} D_h}{k_w \Delta T_{ref}} = k_g^* \frac{\partial \theta}{\partial Y} \Big|_g + \mu_g^* U_g \frac{\partial U}{\partial Y} \Big|_g \quad (47)$$

Number of independent parameters

In the above dimensionless conservation equations and boundary conditions (Eqs. (38)-(46)), the following set of 12 dimensionless parameters emerges:

- $Pr_w = \frac{\mu_w C_{p,w}}{k_w}$
- $Re_w = \frac{\rho_w \bar{u}_b D_h}{\mu_w} = \frac{2\dot{m}}{\mu_w}$
- $Kn_w = \frac{\lambda_w}{D_h} = \sqrt{\frac{\pi}{2}} \frac{\mu_w}{D_h} \frac{\sqrt{r T_w}}{p_{av}} = \sqrt{\frac{\pi}{2}} \frac{\mu_w}{D_h} \frac{1}{\sqrt{\rho_w p_{av}}}$
- $\frac{u_{ref}}{\bar{u}_b}, \frac{\Delta p_{ref}}{p_{av}}, \frac{\Delta T_{ref}}{T_w}, \frac{T_w}{T_{in}}$
- $\epsilon = \frac{D_h}{L}, \sigma_\mu, \sigma_\theta, \xi_T$ and γ .

However, using the forthcoming analytical expression of the mass flow rate \dot{m} (Eq. (63)), it can be checked that u_{ref} (Eq. (35)) is related to the reference average velocity \bar{u}_b (Eq. (36)) by:

$$\bar{u}_b / u_{ref} = \frac{(1 + 12\sigma_\mu Kn_w)}{48} \quad (48)$$

where $Kn_w = \lambda_w / D_h$ is the Knudsen number, with the mean free path λ_w evaluated from Eq. (1) at T_w and at the average pressure $p_{av} = (p_{in} + p_{out})/2$. Furthermore, by introducing the Mach number $Ma_w^2 = \rho_w \bar{u}_b^2 / \gamma p_{av} = \bar{u}_b^2 / \gamma r T_w$, it can be shown that:

$$\frac{1}{Re_w} = \sqrt{\frac{2}{\pi \gamma}} \frac{Kn_w}{Ma_w} \quad (49)$$

$$\frac{\Delta p_{ref}}{p_{av}} = \frac{\gamma}{\epsilon} \frac{Ma_w^2}{Re_w} \frac{u_{ref}}{\bar{u}_b} \quad \text{when } \Delta p_{ref} \text{ is given by Eqs. (34)} \quad (50)$$

$$\frac{\Delta T_{ref}}{T_w} = (\gamma - 1) Pr_w \left(Ma_w \frac{u_{ref}}{\bar{u}_b} \right)^2 \quad \text{when } \Delta T_{ref} \text{ is given by Eq. (37)} \quad (51)$$

Therefore, if the micro channel is heated at constant temperature, the number of independent parameters is reduced to 9. The following set of 9 parameters is used in what follows:

$$Pr_w, Ma_w, Kn_w, \frac{T_w}{T_{in}}, \epsilon, \sigma_\mu, \sigma_\theta, \xi_T, \gamma \quad (52)$$

Note that, if all the physical properties are assumed constant, the Reynolds number is constant in the whole channel due to mass flow rate conservation: $Re = Re_w = cste$. On the other hand, Ma and Kn vary along the flow in such a way that, from Eq. (49), their ratio is constant:

$$\frac{Ma}{Kn} = \frac{Ma_w}{Kn_w} = \sqrt{\frac{2}{\pi\gamma}} Re = cste \quad (53)$$

That means that when the compressibility effects increase (Ma increases), the rarefaction effects decrease (Kn decreases). Furthermore it is not possible to observe compressibility effects ($Ma \sim O(1)$) and rarefaction effects ($O(10^{-2}) \lesssim Kn \lesssim O(10^{-1})$) at the same time when $Re \lesssim O(1)$.

4 Dimensional analysis and asymptotic solutions for long micro channels with isothermal walls

A dimensional analysis of the mathematical model is useful to determine which terms are dominant or negligible. The case of developed, subsonic low-rarefied gas flows in isothermal long micro-channels of typical hydraulic diameter $D_h \sim 1$ to $10 \mu m$ and length $L \sim 0.1$ to $10 mm$ at $Pr \sim 1$, $Ma < 1$ and $10^{-3} \lesssim Kn \lesssim 0.1$ is considered here. It is shown that, downstream the entrance zone, the viscous dissipation, pressure work and viscous stress power at the walls cannot be neglected in the mathematical model while the thermal creep is negligible (see Appendix A). Taking into account these results, we can compute an analytical solution of this flow type by solving a simplified model resulting from an asymptotic analysis. This analysis is valid for heated gaseous micro-flows, far from the thermal entrance effects, for long micro channels with isothermal walls. It shows that the Nusselt number tends to zero (and not to the usual value $Nu_\infty = 7.541$) for micro-flows between to flat plates. Here we only present and discuss the main results of this analysis for the present study (see Appendix B for more details).

Following the asymptotic analyzes by Arkilic et al. [62] and Cai et al. [63], considering weakly rarefied flows with small temperature variations in long micro-channels ($\epsilon = D_h/L \ll 1$), the dimensionless quantities can be written as asymptotic expansions in ϵ :

$$\begin{aligned} U &= U_0 + \epsilon U_1 + \epsilon^2 U_2 + \dots ; & V &= \epsilon V_1 + \epsilon^2 V_2 + \dots ; & \theta &= \epsilon \theta_1 + \epsilon^2 \theta_2 + \dots ; \\ P &= P_0 + \epsilon P_1 + \epsilon^2 P_2 + \dots \end{aligned}$$

and the analytical solution of the asymptotic model for constant physical properties reads (see Appendix B):

$$U_0(X, Y) = \frac{-1}{32} \frac{p_{av}}{\Delta p_{ref}} \frac{dP_0^*(X)}{dX} \left[1 - 16Y^2 + \frac{A}{P_0^*(X)} \right] = \frac{-1}{2} \frac{dP_0(X)}{dX} [b(X) - Y^2] \quad (54)$$

$$V_1(X, Y) = \frac{A}{64} \frac{p_{av}}{\Delta p_{ref}} \frac{1}{P_0^*(X)} \frac{d^2 P_0^*(X)}{dX^2} [16Y^3 - Y] = \frac{A}{64} \frac{1}{P_0^*(X)} \frac{d^2 P_0(X)}{dX^2} [16Y^3 - Y] \quad (55)$$

$$P_0^*(X) = 1 + \frac{\Delta p_{ref}}{p_{av}} P_0(X) = \frac{1}{p_{av}} \left\{ (p_{out}^2 - p_{in}^2) \left(1 + \frac{3A}{2} \right) X + \left(p_{in} + \frac{3A}{2} p_{av} \right)^2 \right\}^{1/2} - \frac{3A}{2} \quad (56)$$

$$\theta_1(X, Y) = -\frac{F(X)}{\epsilon} \{8Y^4 - 16b(X)Y^2 + J(X)\} \quad (57)$$

with

$$F(X) = \frac{1}{64} \left(\frac{dP_0(X)}{dX} \right)^2 \quad (58)$$

$$b(X) = \frac{1}{16} \left[1 + \frac{A}{P_0^*(X)} \right] \quad (59)$$

$$J(X) = \frac{1}{16} \frac{A}{P_0^*(X)} \left(1 + \frac{B}{P_0^*(X)} \right) + \frac{1}{32} \quad (60)$$

$$A = 8\sigma_\mu K n_w \quad (61)$$

$$B = 8\xi_T K n_w \quad (62)$$

Approximate analytical expressions of the mass flow rate, \dot{m} , and total wall Nusselt number, $Nu_t(x)$, can also be deduced from this asymptotic analysis. At zero order with ϵ , \dot{m} reads as a function of p_{in} and p_{out} :

$$\dot{m} = \frac{H^3}{24L\mu_w r T_w} (p_{in}^2 - p_{out}^2) [1 + 12\sigma_\mu K n_w] = \rho_w u_{ref} H \frac{1 + 12\sigma_\mu K n_w}{48} \quad (63)$$

For a constant wall temperature T_w , the total wall Nusselt number is defined by:

$$Nu_t(x) = Nu_{diff} + Nu_{VSP} = \frac{D_h}{k_w (T_w - \bar{T}(x))} \left(\underbrace{-k_g \frac{\partial T}{\partial \vec{n}} \Big|_g}_{diffusion\ heat\ flux} - \underbrace{\mu_g u_g \frac{\partial u}{\partial \vec{n}} \Big|_g}_{viscous\ stress\ power} \right) \quad (64)$$

where the bulk temperatures is:

$$\bar{T}(x) = \frac{2}{\dot{m}} \int_0^{H/2} \rho(x, y) u(x, y) T(x, y) dy \quad (65)$$

Thus $Nu_t(x)$ is the sum of two contributions: the diffusive heat flux in the wall boundary layer, Nu_{diff} , and the power of the viscous stress at the walls, Nu_{VSP} . The asymptotic analysis in Appendix B shows that the viscous stress power at the wall is never equal to zero for $Kn_w \neq 0$ and is the opposite of the conduction heat flux at the wall, at least at the first order with ϵ , for $Re_w < O(1)$ and $Ma_w < O(1)$. Consequently, under these conditions, $Nu_{VSP} = -Nu_{diff}$ and the total wall Nusselt number is zero:

$$Nu_t(x) = 0 \quad (66)$$

It should be emphasized that these results are linked to the approximations used in deriving the analytical solution: the dynamical and thermal entrance effects are indeed neglected. In particular, the convective term and the streamwise variation of the temperature from inlet are not accounted for in the energy equation. This approximation is only justified in the case of long micro-channel because the Reynolds number is generally small and the thermal entrance length is thus very short (a few hydraulic diameters).

On the opposite, when convection is dominant while the pressure work and viscous dissipation are neglected in the energy equation, the Nusselt number can reach a finite value in a channel at constant wall temperature. For instance, for laminar incompressible flows between two infinite plates at constant wall temperature, it is well established in standard Heat Transfer textbooks that $Nu(X \rightarrow \infty) = Nu_\infty = 7.541$ if $Kn_w = 0$ provided that the axial diffusion is neglected. Considering now both the viscous dissipation and the pressure work in the energy equation, our first order asymptotic analysis clearly shows that $Nu(X) = 0$ for $Re_w < O(1)$ and $Ma_w < O(1)$.

This theoretical analysis could then explain the very small values of the Nusselt numbers obtained by Demsis et al. [23,24] in their experiments. The authors used a standard tube-in-tube heat exchanger in which a rarefied cold gas flows in the interior tube and hot water in the exterior annular tube. The thermal conditions for the rarefied gas correspond to flows in a nearly isothermal pipe. The total wall heat transfer coefficient h is determined through the measurements of the inlet and outlet gas and water temperatures of the tube-in-tube heat exchanger. For four rarefied gas flows (nitrogen, oxygen, argon and helium) in the range of Knudsen number $0.0022 - 0.032$ and range of Reynolds numbers $0.13 - 14.7$, the obtained Nusselt numbers vary between 6.2×10^{-5} and 2.8×10^{-2} . This is two to five orders of magnitude smaller than the corresponding values in the continuum regime since in an isothermal duct the fully-developed Nusselt number at large Péclet numbers is $Nu_\infty = 3.66$.

Till now, these very small values of the Nusselt number had never been explained theoretically because only the contribution of the diffusion heat flux at the walls was considered while the contribution of the viscous stress power was always omitted in the wall total heat flux. In what follows, we are going to check the validity of the asymptotic solution, based on an approximate model, by numerically solving the full system of governing equations for compressible micro-flows in parallel plate micro-channels.

5 Analysis of the heat transfer balance from numerical simulations

5.1 Numerical method.

An in-house finite volume code has been developed to solve the steady Navier-Stokes and energy equations with first-order slip boundary conditions (Eqs. (21)-(32) in §3.5) on unstructured meshes. Details upon the discretization of the different terms can be found in [64–66]. Only a few points are reminded here. A second-order centered scheme is used for the diffusive and convective terms because the maximum Reynolds number is $Re_{max} < 15$ and cell Reynolds number is $Re = \frac{u\Delta x}{\nu} < 1$; the same inequalities also apply for the Péclet number since

$Pr \leq \mathcal{O}(1)$. The discrete nonlinear steady equations are solved in a coupled way by Newton's algorithm. Since the channel geometry is here reduced to a rectangular domain, a Cartesian grid is used. The mesh size is based on the half channel width, with symmetry conditions (see Fig. 2). Mesh sizes are provided in each of the next subsections, according to the studied problem. For each case, a particular attention will be paid to the relevance of the results by checking the sensitivity of the solutions to the mesh refinement. The code has already been validated by numerous comparisons with experimental and numerical results [67–70].

5.2 Comparison of the analytical and numerical solutions

In this section, we compare the numerical and analytical solutions (Eqs. (54)-(62) in §4). The comparisons are carried out on three characteristics micro-flows, slipping or not, compressible or not. The number of control volume on each space direction is $N_x \times N_y = 12000 \times 60$ for a 100-aspect ratio physical domain. The mesh is uniform on x -direction and refined near the wall on y -direction, with a size ratio between two successive cells equal to 0.975.

5.2.1 Studied cases

The numerical simulations are performed for a nitrogen flow at $T_{in} = 270 K$ in a micro-channel of length $L = 300 \mu m$ and height $H = 3 \mu m$, with walls at $T_w = 300 K$. The physical properties of the gas are assumed constant and their values at T_w are gathered in Table 1. The three simulated cases are presented in Table 2:

- Case (a) is at low pressure and corresponds to a quasi incompressible flow ($Ma < 0.09$) but with a strong slip velocity all along the channel walls because the Knudsen number values are relatively large, both at the inlet and outlet sections ($0.02 < Kn < 0.1$);
- Case (b) is at a large pressure difference between inlet and outlet. It corresponds to a strongly compressible flow since $Ma_{out} = 0.6428$ and it presents a strong slip at the channel outlet since $Kn_{out} = 0.09354$;
- Case (c) is at high pressure but small relative difference in the inlet and outlet pressures. It corresponds to an incompressible flow with a very low slip since $Ma < 0.03$ and $Kn < 0.0023$ all along the channel.

k [W/m.K]	C_p [J/kg.K]	$\mu \times 10^5$ [Pa.s]	Pr	r [J/kg.K]	$\gamma =$ C_p/C_v	σ_μ	σ_θ	ξ_T
0.024712	1032.48	1.6588	0.693	296.8	1.4	1	1	2

Tab. 1: Nitrogen physical properties at $T_w = 300 K$.

5.2.2 Comparisons of the velocity and temperature fields

Table 3 gives the relative errors on the mass flow rate, $e_{\dot{m}}$, between the analytical solution of Eq. (89) and the numerical solutions in Table 2. An excellent agreement is observed since

Case	p_{in} [bar]	p_{out} [bar]	Δp_{ref} [bar]	$Re_{in} =$ Re_{out}	Kn_{in}	Kn_{out}	Ma_{in}	Ma_{out}	$\dot{m} \times 10^6$ [kg/m.s]	\overline{Nu}_t
(a)	0.5	0.1	0.4	1.038	0.019620	0.1032	0.01373	0.08848	8.608	0.1082
(b)	1.5	0.1	1.4	7.833	0.006539	0.09354	0.03453	0.64280	64.91	0.1472
(c)	5	4.6	0.4	12.05	0.001962	0.002248	0.01595	0.02716	99.97	0.2215

Tab. 2: Imposed inlet and outlet pressures for each simulated case. The others quantities, except Kn_{in} , result from the simulations.

the maximum relative error is 1.2% for the case (b).

Case	p_{in} [bar]	p_{out} [bar]	$e_{\dot{m}}$ [%]	e_u [%]	e_p [%]	e_T [%]
(a)	0.5	0.1	0.06	0.32	0.040	0.43
(b)	1.5	0.1	1.2	6.9	0.68	0.53
(c)	5	4.6	0.04	0.68	4.6×10^{-4}	0.54

Tab. 3: Relative error on \dot{m} and L_2 -norm of the relative errors on u , p , and T between the analytical and numerical solutions.

The comparison of the analytical and numerical solutions for the streamwise, u , and transverse, v , velocity components and the temperature, T , are presented in Figures 3-5 for the cases (a)-(c) respectively. Table 3 also gives the L_2 -norm of the relative errors on u , p , and T between the analytical and numerical solutions. The values and absolute errors on v being both very small, the relative errors on v are not significant and then not presented here. Globally, the analytical and numerical solutions for u , p and T are in a good accordance since the maximum relative error is 6.9% on u in the case (b). The graphical comparisons show a good agreement between the solutions, except at the outlet in the compressible case (b) (Fig. 4) and at the inlet for the temperature field. In the former case, the Reynolds and Mach numbers are relatively large ($Re = 7.8$ and $Ma_{out} = 0.64$): the upper limit of the validity domain of the asymptotic analysis is reached ($Re_w \lesssim O(1)$, $Ma_w < O(1)$). In the later case, the inlet and wall temperatures differ from each other in the numerical simulations, what produces a thermal entrance length which is not considered in the used analytical model. To a lesser extent, the entrance region also affects the v -field in Fig 5 but, in this case, the v -value remains very small.

5.2.3 Comparisons of the Nusselt number values

The wall total Nusselt number, $Nu_t(x) = Nu_{diff}(x) + Nu_{VSP}(x)$, is defined by Eq. (64). The integral average of $Nu_t(x)$ along the wall is denoted by \overline{Nu}_t and the numerical values for the cases (a) to (c) are reported in Table 2. They are very low ($\overline{Nu}_t \sim 0.1$) compared to the standard value $Nu_\infty = 7.541$ calculated for a fully-established incompressible flow at large Péclet numbers between two isothermal parallel plates. The computed \overline{Nu}_t -values are in qualitative agreement with the zero value of the total Nusselt number predicted analytically (Eq. (99)), by neglecting the thermal entrance length and keeping the leading terms of the ϵ -expansion. Furthermore, by comparing the cases (c) and (a) in Table 2, it appears that \overline{Nu}_t

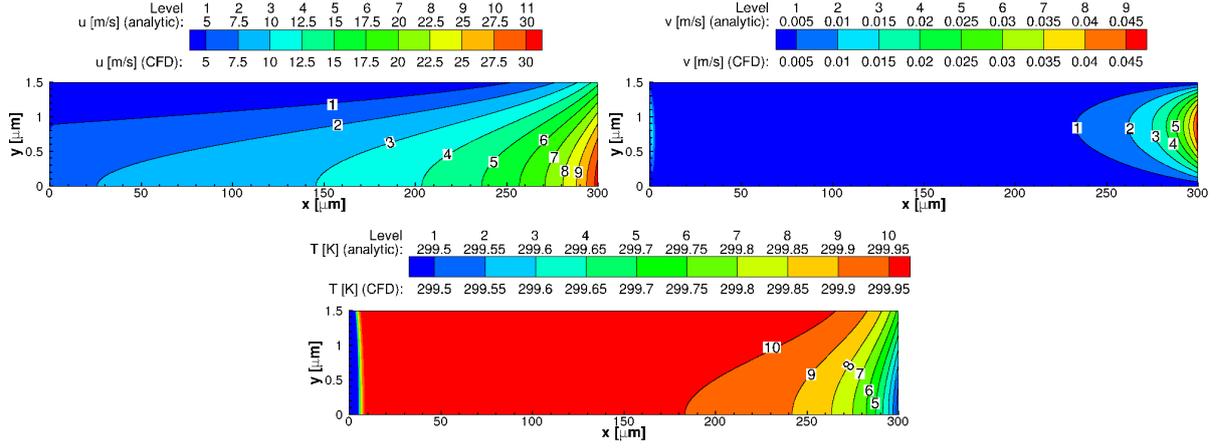


Fig. 3: Comparison of the analytical solution (black isolines) and numerical solution (color fields) for u (top, left), v (top, right) and T (bottom), in case (a). Symmetry is taken into account and a half channel is presented.

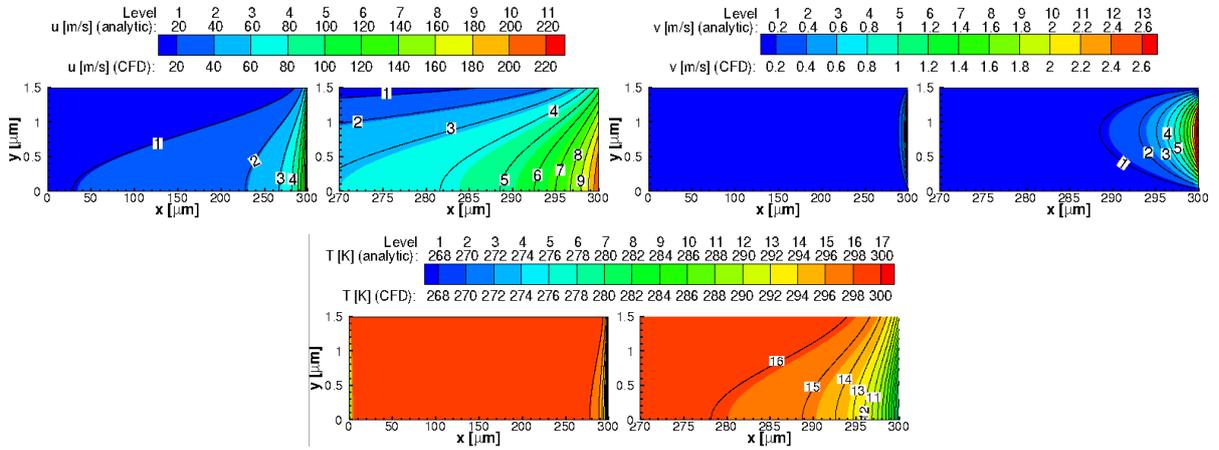


Fig. 4: Same as Figure 3 but for case (b) and with a zoom on the outlet zone for each field (see coordinates on the abscissa axes).

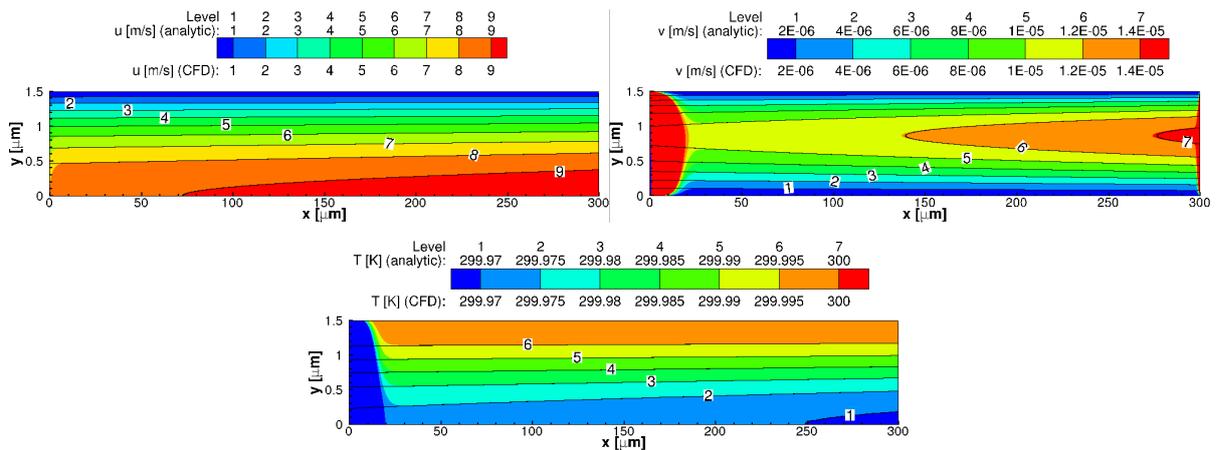


Fig. 5: Same as Figure 3 but for case (c).

decreases when Kn increases.

The profiles of Nu_t , Nu_{diff} and Nu_{VSP} , computed from the numerical simulations, are

plotted with respect to x/L on Figs. 6-8 for the cases (a)-(c), respectively. From these profiles and from Figs. 3-5, it appears that the length of the thermal entrance is approximately equal to $5H$ in the low Reynolds number case (a) (Fig. 6) and to $10H$ at maximum in the higher Reynolds number case (c) (Fig. 8). The total local Nusselt number, Nu_t , is very small in the whole channel except at the entrance where the flow is not thermally-established, and close to the outlet section due to the gas expansion and cooling associated with the pressure work. Downstream the thermal entrance zone, Nu_{diff} and $-Nu_{VSP}$ vary between ~ 0.2 in case (c) (Fig. 8) and ~ 1.5 in case (a) (Fig. 6), while Nu varies between less than 0.002 in case (a) and less than 0.03 in case (b) (Fig. 7). Thus even though Nu_{diff} and Nu_{VSP} take non negligible values, $Nu_t = Nu_{diff} + Nu_{VSP}$ nearly vanishes because Nu_{VSP} is nearly the opposite of Nu_{diff} downstream the thermal entrance zone (note that it is “ $-Nu_{diff}$ ” that is plotted on Figs. 6-8). These Nusselt number behaviors are investigated in more details in the next section.

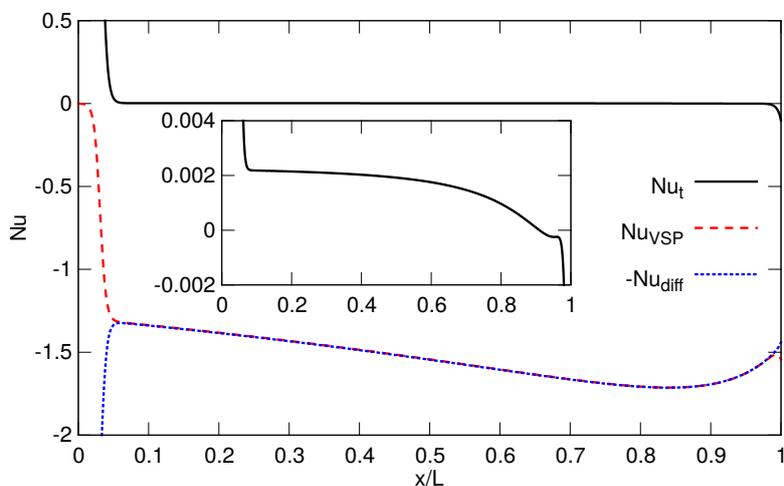


Fig. 6: Profiles of Nu_t , Nu_{VSP} and $-Nu_{diff}$, with respect to x/L , in the simulated case (a). A zoom on the Nu_t profile is included.

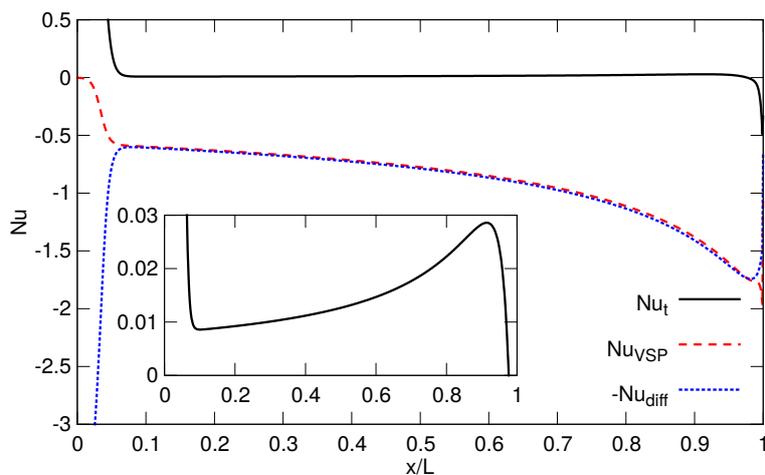


Fig. 7: Same as Figure 6 but for case (b).

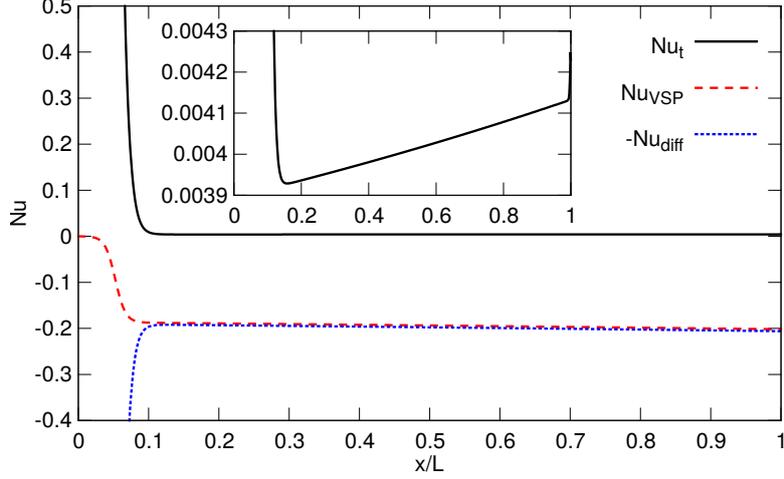


Fig. 8: Same as Figure 6 but for case (c).

5.3 Detailed analysis of the Nusselt number

The aim of this section is to understand why and how Nu_t tends to very small values in the preceding cases (a)-(c). We first investigate the classical case of an incompressible no slip flow between two infinite plates for which the standard Heat Transfer textbooks give $Nu_\infty = 7.541$.

5.3.1 Nusselt number for incompressible no slip flows

The numerical simulations are for a nitrogen flow at $T_{in} = 270\text{ K}$ and $T_w = 300\text{ K}$ (see Table 1). The full numerical model is solved again. The pressure work (PW) and viscous dissipation (VD) source terms are kept in the energy equation. However, the Knudsen number is fixed here at $Kn = 0$ (no slip) and the density is fixed at $\rho_{av} = \frac{p_{av}}{rT_w}$, where $p_{av} = \frac{p_{in} + p_{out}}{2}$ (incompressible flow). The pressure difference between the inlet and the outlet is very small ($\Delta p_{ref} = 0.01\text{ bar}$) while the average pressure is high ($p_{av} = 4.995\text{ bar}$). Furthermore the channel aspect ratio is set at $L/H = 50$ and the channel height varies between $H = 3\text{ }\mu\text{m}$ and $H = 96\text{ }\mu\text{m}$ ($6\text{ }\mu\text{m} \leq D_h \leq 192\text{ }\mu\text{m}$). The size of the uniform mesh is $N_x \times N_y = 3000 \times 60$, except when it is stated that $N_x \times N_y = 12000 \times 240$.

The Nusselt number and bulk temperature of incompressible no slip flows are defined by:

$$Nu(x) = \frac{-k \left. \frac{\partial T}{\partial y} \right|_w}{k (T_w - \bar{T}(x)) / D_h} \quad (67)$$

$$\bar{T}(x) = \frac{2}{\bar{u}H} \int_0^{H/2} u(x, y) T(x, y) dy \quad (68)$$

The Nu profiles are plotted with respect to x/L on Fig. 9, for various hydraulic diameters or Reynolds numbers. The Reynolds number, Re_{D_h} , is proportional to D_h^2 because the analytical average velocity, \bar{u} , is proportional to D_h in simulations at fixed Δp_{ref} and L/H values. One can note that, when D_h and Re_{D_h} are large enough ($D_h = 192\text{ }\mu\text{m}$ and $Re_{D_h} = 697$), Nu decreases at the channel entrance and tends towards the classical Nu value, $Nu_\infty = 7.541$,

downstream. However, when D_h (or Re_{D_h}) decreases, a different behavior is observed. The finite Nu -value, reached after its sudden decrease close to the inlet section, tends towards zero further downstream. It is then possible to distinguish two entrance lengths: the first one is defined from the inlet section to the first Nusselt plateau and the second one to the fully established region where $Nu \sim 0$. The length of the first plateau decreases with D_h (or Re_{D_h}) as well as the length from the inlet to $Nu \sim 0$. The explanation of $Nu \sim 0$ is detailed below.

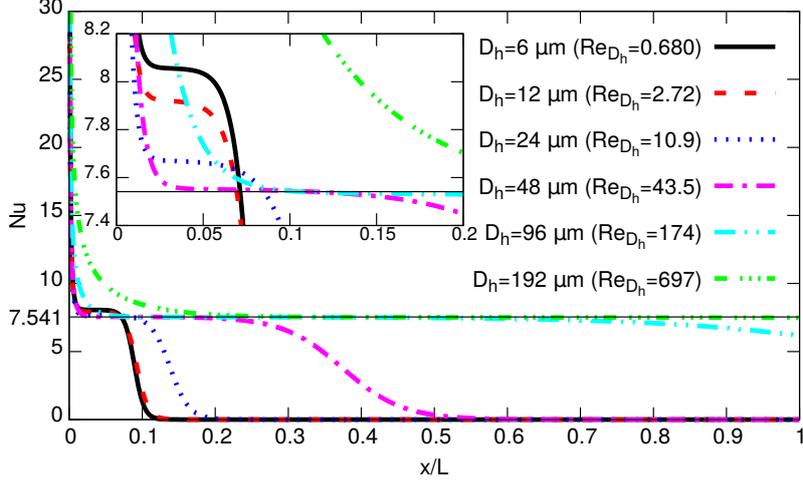


Fig. 9: Profiles of the wall Nusselt number, Nu , with respect to $X = x/L$, for incompressible no slip flows at various hydraulic diameters and Reynolds numbers ($p_{in} = 5 \text{ bar}$, $p_{out} = 4.99 \text{ bar}$, $L/H = 50$). A zoom on the Nu profiles at the channel entrance is included.

Let us first reformulate the enthalpy equation (13) in its integral form as follows:

$$\underbrace{\iint_{\delta\Sigma(x)} -\rho C_p T \vec{v} \cdot \vec{n} dS}_{=CONV \times \Delta x} + \underbrace{\iint_{\delta\Sigma(x)} k \nabla T \cdot \vec{n} dS}_{=DIFF \times \Delta x} = \underbrace{\iiint_{\delta\Omega(x)} \vec{v} \cdot \nabla p d\omega}_{=PW \times \Delta x} + \underbrace{\iiint_{\delta\Omega(x)} \bar{\tau} : \nabla \vec{v} d\omega}_{=VD \times \Delta x} \quad (69)$$

where \vec{n} is the inward unit vector, $\delta\Omega(x)$ is a channel slice of width Δx at the mean abscissa x , and $\delta\Sigma(x) = S_-(x) \cup S_+(x) \cup \delta S_{w,g}(x) \cup \delta S_s(x)$ is its surface (see Fig. 2). The notations $CONV$, $DIFF$, PW and VD refer to the average values per unit length Δx of the CONVective and DIFFusive heat fluxes transferred through the surface $\delta\Sigma(x)$, and the average Pressure Work and Viscous Dissipation produced into the slice volume $\delta\Omega(x)$, respectively. The streamwise variations of these terms are plotted on Fig. 10 in the case of the narrowest channel of Fig. 9, ($D_h = 6 \mu\text{m}$). As the incompressible flow is dynamically fully-established from the inlet to the outlet of the channel, Fig. 10 shows that the PW and VD source terms are constant and strictly opposite all along the channel length: $PW(x) + VD(x) = 0$ ². Since the flow tends to be thermally fully established far from the channel entrance ($\frac{\partial T}{\partial x} \rightarrow 0$ and $\frac{\partial \bar{T}}{\partial x} \rightarrow 0$), the average convective term $CONV$ also tends to zero, as well as the average diffusion heat flux $DIFF = PW + VD - CONV \rightarrow 0$. Considering both this later equality, the streamwise diffusion

² This result has already been obtained and discussed at §3.4 where the L.H.S. term of Eq. (20) is equal to zero for dynamically fully-developed and incompressible no slip flows.

heat flux which tends to zero, and the symmetry condition ($\frac{\partial T}{\partial y}\Big|_s = 0$), the diffusion heat flux at the wall necessarily tends towards zero too.

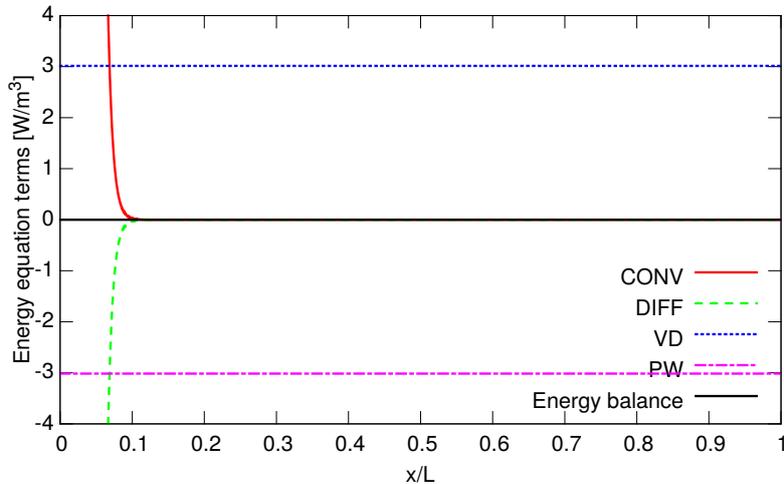


Fig. 10: Streamwise variation of each term of the energy equation integrated on a channel slice (convective (*CONV*) and diffusive (*DIFF*) fluxes and pressure work (*PW*) and viscous dissipation (*VD*) source terms), and total energy balance ($CONV+DIFF-PW-VD$), for the no slip incompressible flow at $D_h = 6 \mu\text{m}$, $p_{in} = 5 \text{ bar}$, $p_{out} = 4.99 \text{ bar}$ of Fig. 9.

This result is illustrated on Fig. 11 where both the numerator, $-k \frac{\partial T}{\partial y}\Big|_w$, and the denominator, $k(T_w - \bar{T})/D_h$, of the Nusselt number expression (Eq. (67)) are plotted. It is worth noticing that the diffusion heat flux at the wall tends to zero with the grid refinement: past $x/L \sim 0.15$, its value is reduced by 4^2 by dividing the Cartesian cell sizes by 4, from $N_x \times N_y = 3000 \times 60$ to 12000×240 . The factor is equal to 4^2 because the mesh size ratio is equal to four in each space direction and the space convergence order of the code is $O(\Delta x^2, \Delta y^2)$. This is highlighted by the black arrow between the red dashed line and the red square symbols. On the other hand, the Nusselt denominator, $k(T_w - \bar{T})/D_h$, is insensitive to the mesh size and keeps a non-zero constant value for $x/L \gtrsim 0.15$. This temperature difference is related to the transverse thermal gradient induced by the source terms *PW* and *VD* in the integral energy equation (69). Indeed, though *PW* and *VD* counterbalance each other (Fig. 10), they contribute to the local thermal unbalance, acting close to the wall for the viscous dissipation and near the symmetry plane for the pressure work. Thus, as soon as the source terms dominate the convective and diffusive contributions for $x/L \gtrsim 0.75$ (Fig. 10), a constant transverse thermal gradient exists in the core flow. Consequently, the reference heat flux $k(T_w - \bar{T})/D_h$ becomes constant and the Nusselt number cancels out (see the encapsulated graphic in Fig. 11).

5.3.2 Nusselt number for incompressible slipping flows

For an incompressible slipping flow, the Nusselt number behavior is similar to that of the incompressible no slip flow. This is illustrated by simulating a flow in a micro-channel at $D_h = 6 \mu\text{m}$, using the same conditions as in the previous subsection, except the Knudsen number that is computed by $Kn = \lambda/D_h$ with $\lambda = \frac{\mu}{p} \sqrt{\frac{\pi r T}{2}}$, and the pressures that are equal to

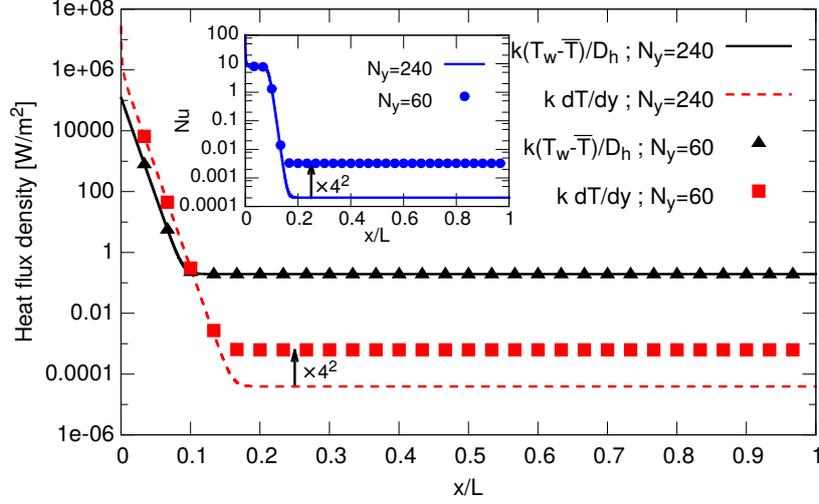


Fig. 11: Streamwise profiles of the Nusselt number Nu (enclosed figure), Nu numerator $(-k \frac{\partial T}{\partial y}|_w)$ and Nu denominator $(k(T_w - \bar{T})/D_h)$, for the incompressible no slip flow at $D_h = 6 \mu m$, $p_{in} = 5 \text{ bar}$ and $p_{out} = 4.99 \text{ bar}$ (Fig. 9) and for two uniform Cartesian meshes of sizes $N_x \times N_y = 3000 \times 60$ and 12000×240 .

$p_{in} = 0.1 \text{ bar}$ and $p_{out} = 0.09 \text{ bar}$. The computed flow parameters are gathered in Table 4.

p_{in} [bar]	p_{out} [bar]	Δp_{ref} [bar]	$Re_{in} =$ Re_{out}	Kn_{in}	Kn_{out}	$\dot{m} \times 10^6$ [kg/m.s]	\overline{Nu}_t
0.1	0.09	0.01	0.02823	0.1034	0.1088	0.2341	0.2039

Tab. 4: Imposed inlet conditions and computed outlet conditions for the incompressible slipping flow in a micro-channel at $D_h = 6 \mu m$.

For a dynamically fully-developed incompressible flow, the integral of the total energy equation on the same channel slice $\delta\Omega(x)$ as in the previous subsection, of surface $\delta\Sigma(x)$ at the mean abscissa x (see Fig. 2), writes (see Eq. (11)):

$$\underbrace{\iint_{\delta\Sigma(x)} -\rho C_p T \vec{v} \cdot \vec{n} dS}_{=CONV \times \Delta x} + \underbrace{\iint_{\delta\Sigma(x)} k \nabla T \cdot \vec{n} dS}_{=DIFF \times \Delta x} = \underbrace{\iint_{\delta\Sigma(x)} -(\vec{\tau} \cdot \vec{v}) \cdot \vec{n} dS}_{=VSP \times \Delta x} \quad (70)$$

where the VSP term is the average power of the viscous stress on the slice surface $\delta\Sigma(x)$ per unit length. Assuming a fully-developed flow, this last term writes:

$$VSP = \frac{1}{\Delta x} \iint_{\delta S_{w,g}(x)} -(\vec{\tau} \cdot \vec{v}) \cdot \vec{n} dS = -(\vec{\tau} \cdot \vec{v})_{y=H/2} \cdot \vec{n} = \mu_g u_g \frac{\partial u}{\partial y} \Big|_g \quad (71)$$

As the thermal energy balance (Eq. (69)) is still valid for an incompressible slipping flow, the thermal and mechanical energy balances write in a compact form:

$$CONV + DIFF = PW + VD = VSP \quad (72)$$

The five terms of these equations are plotted on Fig. 12. It can effectively be checked that $PW + VD = CONV + DIFF = VSP \neq 0$. When the flow tends to be thermally fully-established, that is when $\frac{\partial T}{\partial x} \rightarrow 0$, the convective term tends towards zero and the integral of the diffusive heat flux density tends towards the integral of the power of the viscous term at the wall: if $CONV \rightarrow 0$ then $DIFF \rightarrow VSP \neq 0$. From a numerical point of view, the zoom in Fig. 12 shows that $DIFF \rightarrow VSP$ with a second order space convergence.

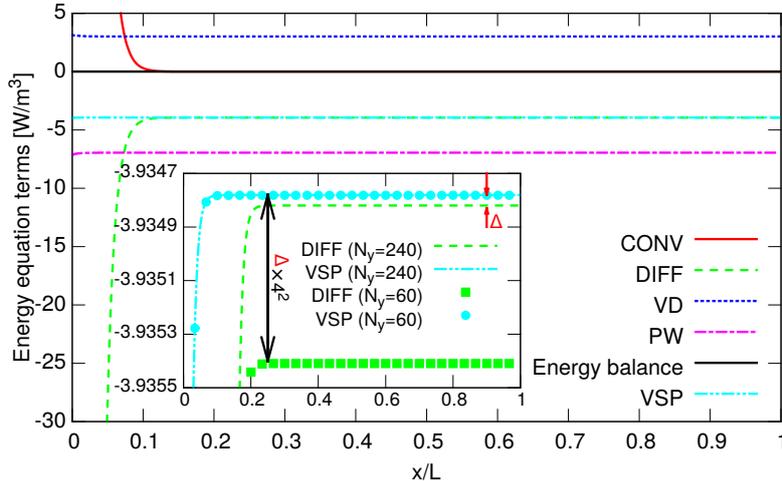


Fig. 12: Streamwise profiles of each term of Eq. (72) and energy balance ($CONV + DIFF - PW - VD$), for the incompressible slipping flow at $D_h = 6 \mu\text{m}$, $p_{in} = 0.1 \text{ bar}$ and $p_{out} = 0.09 \text{ bar}$ (see Table 4). The zoom shows that $DIFF \rightarrow VSP$ for two uniform Cartesian meshes of sizes $N_x \times N_y = 3000 \times 60$ and 12000×240 .

As a result, the total wall heat flux must tend to zero when the flow tends to be thermally fully-established because it is the sum of two opposite contributions at the walls: the diffusive flux and the power of the viscous stress (see Eq. (18)). Then the total local Nusselt number defined by $Nu_t(x) = Nu_{diff}(x) + Nu_{VSP}(x)$ (Eq. (64)) must also tends towards zero. Indeed, as in the no slip case, the difference $(T_w - \bar{T})$ at the Nu_t denominator still tends towards a non zero constant value (the PW and VD source terms do not cancel out and do not compensate each other locally). This is confirmed in Fig. 13 which presents the streamwise profiles of Nu_t , Nu_{diff} and Nu_{VSP} for the incompressible slipping flow at $D_h = 6 \mu\text{m}$ (see Table 4), for two uniform Cartesian meshes of different sizes. Nu_{diff} and Nu_{VSP} tend towards opposite values after the thermal entrance zone and Nu_t tends towards zero. Once again, the second-order space convergence to a zero value of the total Nusselt number, Nu_t , is checked on Fig. 13.

5.3.3 Nusselt number for compressible slipping flows

We now consider compressible slipping flows of nitrogen in a micro-channel of aspect ratio $L/H = 50$, with $H = 3 \mu\text{m}$ ($D_h = 6 \mu\text{m}$). Not any simplification in the full model of §3.5 is invoked. The inlet and wall temperatures are $T_{in} = 270 \text{ K}$ and $T_w = 300 \text{ K}$. The physical properties are given in Table 1 and the flow parameters in Table 5. The pressure difference

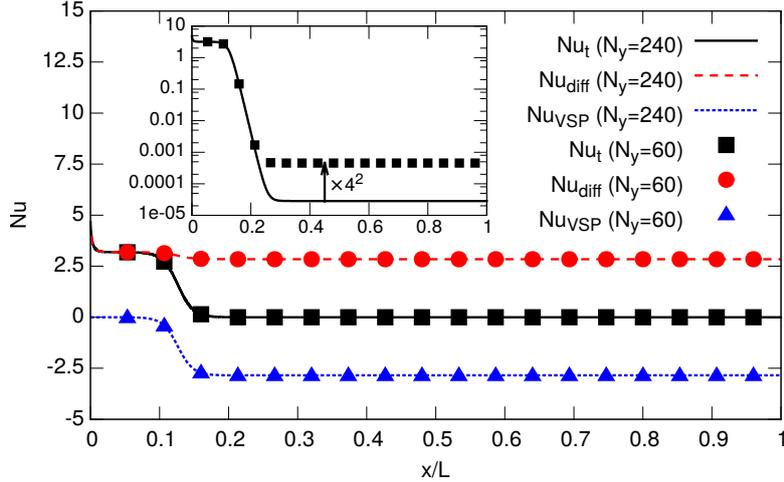


Fig. 13: Streamwise profiles of the total Nusselt number $Nu_t(x)$, its diffusive part, $Nu_{diff}(x)$, and its part due to *VSP*, $Nu_{VSP}(x)$, for the incompressible slipping flow at $D_h = 6 \mu\text{m}$, $p_{in} = 0.1 \text{ bar}$ and $p_{out} = 0.09 \text{ bar}$ (see Table 4) and for two uniform Cartesian meshes of size $N_x \times N_y = 3000 \times 60$ and 12000×240 . A zoom on the Nu_t profiles is included.

between the inlet and the outlet is fixed at $\Delta p_{ref} = 0.1 \text{ bar}$ but the average pressure decreases from one case to another one and, therefore, the average Knudsen number increases. The size of the uniform mesh is $N_x \times N_y = 12000 \times 240$. It was checked to be enough fine to provide accurate solutions.

p_{in} [bar]	p_{out} [bar]	$Re_{in} =$ Re_{out}	Kn_{in}	Kn_{out}	Ma_{in}	Ma_{out}	$\dot{m} \times 10^6$ [kg/m.s]	$\overline{Nu_t}$
2	1.9	2.5402	0.00490	0.00544	0.01247	0.01370	21.07	0.2932
1	0.9	1.3156	0.00981	0.01149	0.01268	0.01467	10.91	0.2598
0.6	0.5	0.8257	0.01635	0.02068	0.01299	0.01613	6.848	0.2384
0.3	0.2	0.4582	0.03270	0.05169	0.01380	0.02090	3.800	0.2048
0.2	0.1	0.3357	0.04904	0.10336	0.01466	0.02864	2.785	0.1804
0.15	0.05	0.2745	0.06539	0.20689	0.01555	0.04381	2.277	0.1611

Tab. 5: Imposed inlet conditions and computed outlet conditions of the compressible flows simulated with the full model in a micro-channel at $D_h = 6 \mu\text{m}$ and $\Delta p_{ref} = 0.1 \text{ bar}$.

An example of the energy balance is presented in Fig. 14 for the micro-flow at $p_{in} = 0.2 \text{ bar}$ and $p_{out} = 0.1 \text{ bar}$ (5th row of Table 5). Here the flow is nearly incompressible since the maximum Mach number is $Ma_{out} \approx 0.03$. However, the full compressible model is used for the simulation and weak compressible effects are highlighted in the energy balance. In the case of compressible micro-flows, the thermal energy balance $CONV + DIFF = PW + VD$ is still valid but $PW + VD \neq VSP$. The simplified mechanical energy balance for incompressible flows (Eqs. (70) and (72)) is not valid because, due to the streamwise density variation, the velocity profile is not the same between two different channel sections. As a result, the kinetic energy and the power of the viscous stress vary between two channel sections and the flow is neither dynamically nor thermally fully-developed. Thus, from Eq. (20), the sum of the

source terms, $PW + VD$, and the sum $CONV + DIFF$ are not zero and are never equal to VSP . Consequently, contrary to the previously considered incompressible cases, $DIFF$ does not converge to VSP with the grid size after the thermal entrance zone (see the zoom in Fig. 14).

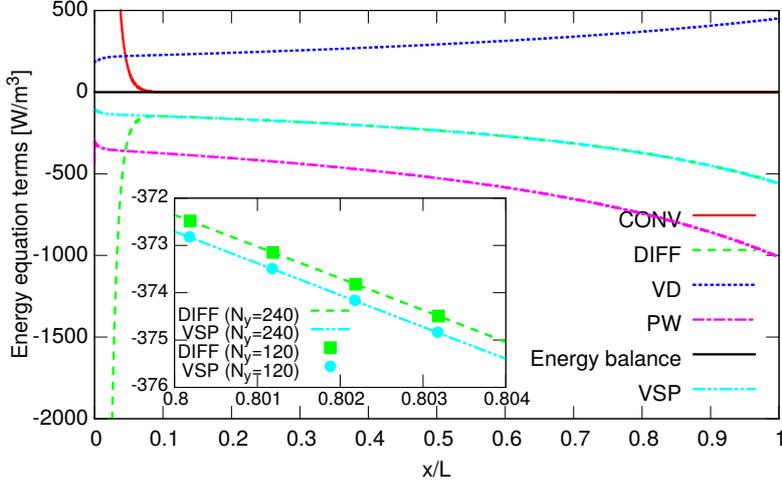


Fig. 14: Streamwise profiles of the thermal energy balance ($CONV + DIFF - PW - VD$), of its different terms and of the power of the viscous stress at the wall (VSP), for the compressible slipping flow at $D_h = 6 \mu\text{m}$, $p_{in} = 0.2 \text{ bar}$, $p_{out} = 0.1 \text{ bar}$ (see Table 5).

In conclusion, for compressible flows in isothermal wall channels, the total wall heat flux is not null because the power of the viscous stress does not compensate for the diffusive flux. Therefore $Nu_t = Nu_{diff} + Nu_{VSP}$ is not zero everywhere along the walls. This is illustrated for instance in Fig. 7, for the compressible case (b) of Table 2, where the total local Nusselt number increases downstream due to the gas expansion and its cooling in the channel core. However, when the hydraulic diameter is very small and the pressure difference between the inlet and the outlet of the channel is moderate, the Mach number generally remains small. The source term variation has almost no effect on the Nusselt number, except in the thermal entrance zone and just upstream the outlet where the gas expansion can be locally more important. This is illustrated on Fig. 15 which presents the streamwise profiles of the total Nusselt number, Nu_t , for the weakly compressible flows of Table 5. Since the temperature difference at the inlet is $T_w - T_{in} = 30 \text{ K}$, the Nusselt number reaches at first a plateau for $0.01 \lesssim \frac{x}{L} \lesssim 0.05$, where $2 \lesssim Nu \lesssim 4$. This first plateau corresponds to the zone where the $CONV$ and $DIFF$ terms of the energy balance dominate the PW and VD source terms (see Fig. 14). Then Nu_t steeply decreases for $0.05 \lesssim \frac{x}{L} \lesssim 0.2$ to reach a second plateau where $Nu_t < 5 \times 10^{-4}$, except close to the channel outlet where the cooling due to gas expansion (at $p_{av} = 0.1 \text{ bar}$) and the outlet boundary conditions disrupt the Nusselt number variation. This second plateau corresponds to the zone where the $CONV$ and $DIFF$ terms reach very small values, i.e. much smaller than the PW and VD values in the energy balance (see Fig. 14). Therefore, when D_h (or Re_{Dh}) is small, Nu_t is not null but keeps very small values along the walls, at least in the central zone of the channel, far enough from the inlet and outlet sections.

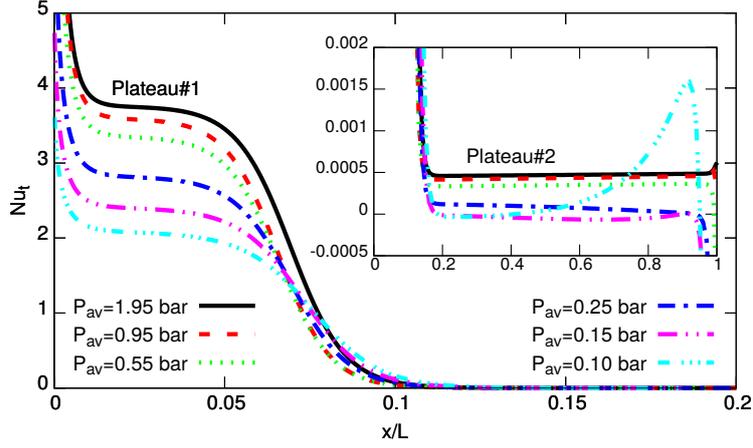


Fig. 15: Streamwise profiles of the total Nusselt number, $Nu_t(X)$, for the weakly compressible micro-flows of Table 5, at $D_h = 6 \mu\text{m}$, $\Delta p_{ref} = 0.1 \text{ bar}$ and a decreasing average pressure.

The integral mean of the total Nusselt number along the whole wall, $\overline{Nu_t}$, and along the wall part corresponding to the second Nu -plateau, $\overline{Nu_{t,plateau\#2}}$, are plotted on Fig. 16 with respect to the average Knudsen number, $Kn_{av} = (Kn_{in} + Kn_{out})/2$, for the weakly compressible micro-flows of Table 5. Due to the influence of the first Nu -plateau close to the entrance, the $\overline{Nu_t}$ values are at least three orders of magnitude higher than the $\overline{Nu_{t,plateau\#2}}$ values. $\overline{Nu_t}$ decreases with respect to Kn_{av} according to the correlation: $\overline{Nu_t} = 0.113 Kn_{av}^{-0.184}$. $\overline{Nu_{t,plateau\#2}}$ also decreases with Kn_{av} but no clear correlation can be found.

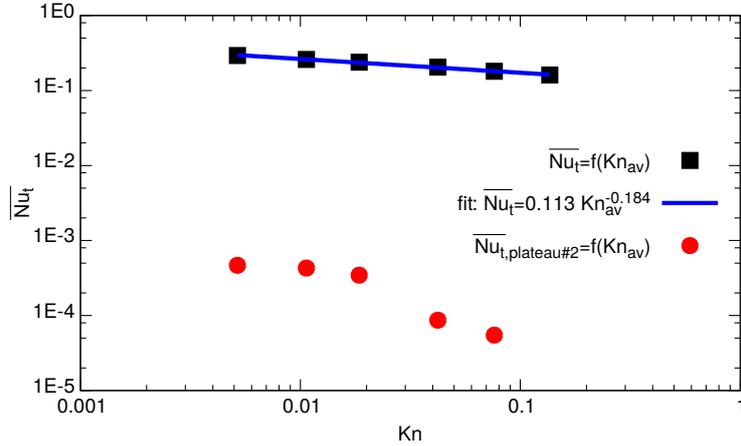


Fig. 16: Integral mean of the total Nusselt number along the whole wall ($\overline{Nu_t}$) and along the wall part corresponding to the second Nu -plateau ($\overline{Nu_{t,plateau\#2}}$), with respect to the average Knudsen number, for the weakly compressible micro-flows of Table 5, at $D_h = 6 \mu\text{m}$, $\Delta p_{ref} = 0.1 \text{ bar}$ and a decreasing average pressure.

In the micro tube experiments by Demsis et al. [23,24], a linearly decreasing slope in Log-Log scale of the relation $\overline{Nu_t} = f(Kn_{av})$ is also observed. However, in these experiments, the values of the average Nusselt number, $\overline{Nu_t}$, along the whole wall vary between 3×10^{-4} and

2×10^{-2} while they vary between 0.16 and 0.3 in our simulations (Fig. 16). It is clear from Fig. 15 that our values of \overline{Nu}_t are highly influenced by the thermal entrance zone of length $x = 0.1L = 5H = 2.5D_h$ where the Nusselt number is larger. If the channel aspect ratio was much larger than the one used ($L/H = 50$), the \overline{Nu}_t numerical values would be much smaller. However in the experiments [23,24], the channel aspect ratio is also relatively small ($L/D \approx 40$, with D the tube diameter). Therefore, it looks like as only the central part of the duct with low Nusselt numbers be selected for the calculations of the average Nusselt numbers in [23,24]. This could be an explanation for the small Nusselt number values obtained. In these experiments, the temperature probes were indeed shifted $1.4D$ downstream the inlet and $1.4D$ upstream the outlet of the inner tube of the heat exchanger. Furthermore, due to the heat exchanger design, the small values of the Reynolds number and the likely presence of inverse diffusion, the inlet and outlet thermal conditions were maybe not perfectly controlled (read the criticism in [71]).

6 Conclusion

The thermal aspects of the modeling of weakly rarefied gaseous flows ($0.001 < Kn \leq 0.1$) with first-order slip and temperature jump models have been discussed in details thanks to a dimensional analysis, an asymptotic analysis and numerical simulations. This model has been analyzed in the case of the forced convection of a cold gas flowing in long flat micro channels isothermally heated. The order of magnitude of the pressure work (PW) and viscous dissipation (VD) in the bulk flow and the order of magnitude of the power of the viscous stress (VSP) and thermal creep (TC) at the walls have been determined with respect to the flow parameters (Re_w, Ma_w, Kn_w) and $\epsilon = D_h/L$.

The main remarks and tricky points highlighted are:

- in the wall boundary conditions, the values of the viscous, thermal slip and temperature jump coefficients must be all compatible with the same characteristics: a diffusive or fully accommodating wall for instance (see [4]);
- modeling the Knudsen layer requires to introduce a temperature jump, even if a heat flux is imposed at the wall;
- computing the total heat flux at the wall requires to take into account the power of the viscous stress at the wall (VSP);
- the integral $PW + VD$ of the pressure work and viscous dissipation in a channel slice is approximately equal to the power of the viscous stress at the wall. Therefore, VSP cannot be neglected in the energy flux if $PW + VD$ is not negligible;
- for the “fully-developed” weakly rarefied gas flows in isothermally heated micro-channels considered in the present paper, the viscous dissipation, the pressure work and the viscous stress power at the walls cannot be neglected in the mathematical model while the thermal creep is negligible far from the entrance.

In the second part of the paper, the analytical asymptotic solutions of the flow and thermal fields established by Arkilic et al. [62] and Cai et al. [63] for forced convection of gas in isothermal wall micro-channels of large aspect ratio are extended to compressible flows and the Nusselt number computation (see Eqs. (54)-(66) in §4 and Appendix B). It is shown that the local total

Nusselt number, $Nu_t(x)$, tends towards zero, at least at first order with ϵ , far enough from the thermal entrance zone, provided that the contribution of the power of the viscous stress at the wall is accounted for.

This analytical solution, valid at low Reynolds and Mach numbers ($Re_w \lesssim O(1)$ and $Ma_w < O(1)$), is compared with the numerical solution of the full model by a finite volume method. This numerical solution takes into account the first order slip and thermal jump conditions at the walls, the power of the viscous stress at the wall, the pressure work, the viscous dissipation and the thermal creep term. In the simulated cases reported in Table 2, the analytical and numerical solutions agree well for a wide range of flow parameters and flow types.

Finally, a thorough analysis of the streamwise distribution of the different contributions to the thermal and mechanical energy balances and to the total heat flux is carried out in the cases of non slipping or slipping, incompressible and compressible flows in isothermal wall micro-channels. It is shown that the contribution of the power of the viscous stress at the walls must not be neglected because it is opposite to and of the order of magnitude of the diffusive flux at the walls. Furthermore, the total wall heat flux and total Nusselt number tend towards zero all the more so as the Knudsen number is large (significant rarefaction effects) and the Reynolds number is small (short thermal entrance zone). This is coherent with the Nusselt number values obtained in the experiments by Demsis et al. [23,24].

Appendix A: order of magnitude of the different terms linked with heat transfer in long micro channels

The order of magnitude of the different terms linked with heat transfer in the conservation equations and boundary conditions are evaluated as a function of the rarefaction and compressibility intensities, represented by Kn_w and Ma_w , respectively. The study is limited to subsonic low rarefied gas flows: $Pr \sim 1$, $Ma_w < 1$ and $10^{-3} \lesssim Kn_w \lesssim 0.1$. The typical micro-flows considered concern gas flows through long micro channels of hydraulic diameter $D_h \sim 1$ to $10 \mu m$ and length $L \sim 0.1$ to $10 mm$. The inverse of the longitudinal aspect ratio is therefore a small parameter: $10^{-4} \lesssim \epsilon = \frac{D_h}{L} \lesssim 0.1$.

Using Eqs. (49), (50) and (51), the order of magnitude of Re_w , $\frac{1}{Pr_w Re_w} \frac{\bar{u}_{ref}}{u_{ref}}$, $\epsilon Pr_w Kn_w^2 Re_w \frac{u_{ref}}{\bar{u}_{ref}}$, $\frac{\Delta T_{ref}}{T_w}$ and $\frac{\Delta p_{ref}}{p_{av}}$ that appear in the conservation equations (39)-(41), state equation (43) and boundary conditions (44)-(47) can be computed with respect to the orders of magnitude of Ma_w and Kn_w . The orders of magnitude of all these linked parameters are given in Table 6. They are expressed as a function of ϵ only. To this end, it was considered that $\frac{\bar{u}_{ref}}{u_{ref}} \sim \epsilon^{1/2}$ for $10^{-3} \lesssim \epsilon \lesssim 10^{-2}$ since, from Eq. (48), $\frac{1}{48} \leq \bar{u}_{ref}/u_{ref} \leq \frac{1}{22}$ for $0 \leq Kn_w \leq 0.1$ and $\sigma_\mu = 1$.

		Ma_w		
		ϵ^2	ϵ	1
Kn_w	Re_w	ϵ^2	1	ϵ^{-1}
	$\frac{1}{Pr_w Re_w} \frac{\bar{u}_{ref}}{u_{ref}}$	1	ϵ^{-1}	ϵ^{-2}
	$\epsilon Pr_w Kn_w^2 Re_w \frac{u_{ref}}{\bar{u}_{ref}}$	ϵ	1	ϵ^{-1}
		$\epsilon^{1/2}$	$\epsilon^{1.5}$	$\epsilon^{0.5}$
			$\epsilon^{-0.5}$	$\epsilon^{-0.5}$

(a) $Re_w = \sqrt{\frac{\pi\gamma}{2}} Ma_w / Kn_w \sim Ma_w / Kn_w$ (Eq. (49))

		Ma_w		
		ϵ^2	ϵ	1
Kn_w	$\frac{1}{Pr_w Re_w} \frac{\bar{u}_{ref}}{u_{ref}}$	ϵ^2	$\epsilon^{0.5}$	$\epsilon^{1.5}$
	$\epsilon Pr_w Kn_w^2 Re_w \frac{u_{ref}}{\bar{u}_{ref}}$	ϵ^2	$\epsilon^{1.5}$	$\epsilon^{2.5}$
	$\frac{1}{Pr_w Re_w} \frac{\bar{u}_{ref}}{u_{ref}}$	ϵ	$\epsilon^{-0.5}$	$\epsilon^{0.5}$
		$\epsilon^{1/2}$	ϵ^{-1}	1
			1	ϵ

(b) Order of magnitude of PW and VD in Eq. (41):

$$\frac{1}{Pr_w Re_w} \frac{\bar{u}_{ref}}{u_{ref}} = \sqrt{\frac{2}{\pi\gamma}} \frac{Kn_w}{Ma_w} \frac{\bar{u}_{ref}}{u_{ref}} \sim \frac{Kn_w}{Ma_w} \epsilon^{1/2}$$

		Ma_w		
		ϵ^2	ϵ	1
Kn_w	$\epsilon Pr_w Kn_w^2 Re_w \frac{u_{ref}}{\bar{u}_{ref}}$	ϵ^2	$\epsilon^{4.5}$	$\epsilon^{3.5}$
	$\frac{1}{Pr_w Re_w} \frac{\bar{u}_{ref}}{u_{ref}}$	ϵ	$\epsilon^{3.5}$	$\epsilon^{2.5}$
	$\epsilon Pr_w Kn_w^2 Re_w \frac{u_{ref}}{\bar{u}_{ref}}$	$\epsilon^{1/2}$	ϵ^3	ϵ^2
			ϵ	$\epsilon^{1.5}$
			ϵ	ϵ

(c) Order of magnitude of 5 to $10 \times TC$ in Eq. (44):

$$\epsilon Pr_w Kn_w^2 Re_w \frac{u_{ref}}{\bar{u}_{ref}} \sim \epsilon^{1/2} Ma_w Kn_w$$

		Ma_w		
		ϵ^2	ϵ	1
Kn_w	$\frac{\Delta T_{ref}}{T_w}$	ϵ^2	ϵ^3	ϵ
	$\frac{\Delta p_{ref}}{p_{av}}$	ϵ^2	ϵ^3	ϵ
	$\frac{\Delta T_{ref}}{T_w}$	ϵ	ϵ^3	ϵ
		$\epsilon^{1/2}$	ϵ^3	ϵ
			ϵ	ϵ^{-1}

(d) $\frac{\Delta T_{ref}}{T_w} = (\gamma - 1) Pr_w \left(Ma_w \frac{u_{ref}}{\bar{u}_{ref}} \right)^2 \sim \epsilon^{-1} Ma_w^2$ (Eq. (51))

		Ma_w		
		ϵ^2	ϵ	1
Kn_w	$\frac{\Delta p_{ref}}{p_{av}}$	ϵ^2	$\epsilon^{2.5}$	$\epsilon^{1.5}$
	$\frac{\Delta T_{ref}}{T_w}$	ϵ^2	$\epsilon^{2.5}$	$\epsilon^{1.5}$
	$\frac{\Delta p_{ref}}{p_{av}}$	ϵ	$\epsilon^{1.5}$	$\epsilon^{0.5}$
		$\epsilon^{1/2}$	ϵ	1
			1	ϵ^{-1}

(e) $\frac{\Delta p_{ref}}{p_{av}} = \frac{\gamma}{\epsilon} \frac{Ma_w^2}{Re_w} \frac{u_{ref}}{\bar{u}_{ref}} \sim \epsilon^{-3/2} Ma_w Kn_w$ (Eq. (50))

Tab. 6: Orders of magnitude of the dimensionless groups that appear in the equations and boundary conditions of the model, with respect to Ma_w and Kn_w expressed as a function of ϵ .

First, in the momentum and energy conservation equations (39)-(41), the advection and transport terms are of the order of $O(\epsilon)$. Furthermore, it can be checked from Tables 6a and 6b that all the other terms of these equations are at least of the order of $O(\epsilon^{0.5})$ when $Re_w \lesssim O(1)$ and $Ma_w < O(1)$. Therefore the advection and transport terms are negligible with respect to the other terms in this parameter range. Since the analytical solutions are established by neglecting these terms, they are thus valid for $Re_w \lesssim O(1)$ and $Ma_w < O(1)$. In this parameter range, Tables 6d and 6e indicate that the relative temperature and pressure variations keep small : $\frac{\Delta T_{ref}}{T_w} \lesssim O(\epsilon)$ and $\frac{\Delta p_{ref}}{p_{av}} \lesssim O(1)$.

The pressure work (PW) and the viscous dissipation (VD) in the energy equation (41) are of the order of $\frac{1}{Pr_w Re_w} \frac{\bar{u}_{ref}}{u_{ref}} \sim \frac{Kn_w}{Ma_w} \epsilon^{1/2}$ (see Table 6b). As a consequence PW and VD cannot be neglected in the energy equation whatever the values of Ma_w and Kn_w . They are indeed at least of the order of the transverse diffusion term ($\sim \frac{1}{Pr_w Re_w} \frac{\bar{u}_{ref}}{u_{ref}}$) or of the transport term ($\sim \epsilon$). Similarly, the power of the viscous stress in the expression of the wall heat flux (second R.H.S term in Eq. (47)) is of the order of the diffusive term (first R.H.S term), independently of Kn_w and Ma_w . Consequently, Nu_{VSP} in Eqs. (64) and (93) is never negligible.

The thermal creep (TC) term (second R.H.S term in Eq. (44)) is of the order of $\epsilon Pr_w Kn_w^2 Re_w \frac{u_{ref}}{\bar{u}_{ref}}$ with a quite small factor ahead: $\sigma_\theta \frac{2(\gamma-1)}{\pi\gamma} \sim 0.1$ to 0.2 . As for the velocity slip term (first R.H.S term of (44)), it is of the order of Kn_w . Therefore, from Table 6c, the thermal creep term appears to be always negligible, whatever Ma_w and Kn_w , for the developed micro-flows considered here. This conclusion is obviously not valid when the entrance effects are important: in this case, the scale for the temperature difference should be taken as $\Delta T_{ref} = T_w - T_{in}$. Then it can be shown that the TC term must be taken into account at small Mach numbers ($Ma_w \lesssim \epsilon^2$) for $\frac{\Delta T_{ref}}{T_{in}} \sim 1$, which corresponds to small Reynolds numbers ($Re_w \lesssim 1$).

Appendix B: asymptotic solutions for long micro channels with isothermal walls

Following the asymptotic analyzes by Arkilic et al. [62] and Cai et al. [63], considering weakly rarefied flows with small temperature variations in long micro-channels ($\epsilon = D_h/L \ll 1$), the dimensionless quantities can be written as asymptotic expansions in ϵ :

$$U = U_0 + \epsilon U_1 + \epsilon^2 U_2 + \dots ; \quad V = \epsilon V_1 + \epsilon^2 V_2 + \dots ; \quad P = P_0 + \epsilon P_1 + \epsilon^2 P_2 + \dots$$

$$\theta = \epsilon \theta_1 + \epsilon^2 \theta_2 + \dots ; \quad \rho^* = \rho_0^* + \epsilon \rho_1^* + \epsilon^2 \rho_2^* + \dots ; \quad \mu^* = \mu_0^* + \epsilon \mu_1^* + \epsilon^2 \mu_2^* + \dots ; \quad etc.$$

Note that $V_0 = 0$ because the continuity equation (38) gives $\frac{\partial \rho_0^* V_0}{\partial Y} = 0$ at the zero order with ϵ and $V_0 = 0$ at the wall. At the zero order with ϵ , the dimensionless temperature is also supposed constant, equal to $\theta_0 = 0$, i.e. $T = T_w$. The temperature expansion is thus compatible with walls at constant or weakly varying temperature.

Then, the dimensionless conservation equations (38)-(41) can be written at the zero or first order with ϵ accounting for the ranges of variations of the dimensionless parameters expressed as a function of ϵ in Table 6 of Appendix A. When $Re_w \lesssim O(\epsilon^{-2})$ (maximum Reynolds number in the present study; see Table 6a), the inertial and viscous terms of the spanwise momentum equation (40) are negligible and the lowest order with ϵ gives:

$$\frac{\partial P_0}{\partial Y} = 0 \iff P_0 = P_0(X) \quad (73)$$

For $Re_w \lesssim O(\epsilon^{-1})$, we also get $P_1 = P_1(X)$. At first order, the continuity equation (38) is:

$$\frac{\partial \rho_0^* U_0}{\partial X} + \frac{\partial \rho_0^* V_1}{\partial Y} = 0 \quad (74)$$

and by noting $P_0^*(X) = 1 + \frac{\Delta p_{ref}}{p_{av}} P_0(X)$, the ideal gas law (43) at the zero order with ϵ reads:

$$\rho_0^*(X) = 1 + \frac{\Delta p_{ref}}{p_{av}} P_0(X) = P_0^*(X) \quad (75)$$

Then Eq. (74) at first order with ϵ can also be written:

$$\frac{\partial P_0^* U_0}{\partial X} + \frac{\partial P_0^* V_1}{\partial Y} = 0 \quad (76)$$

For $Re_w \lesssim O(1)$ and $Ma_w < O(1)$, at the lowest order with ϵ , the streamwise momentum equation (39) becomes:

$$-\frac{\partial P_0}{\partial X} + \frac{\partial}{\partial Y} \left(\mu_0^* \frac{\partial U_0}{\partial Y} \right) = 0 \quad (77)$$

and, taking into account the continuity equation (74), the energy equation (41) writes:

$$\frac{\partial}{\partial Y} \left(k_0^* \frac{\partial \theta_1}{\partial Y} \right) = -\frac{1}{\epsilon} \left[U_0 \frac{\partial P_0}{\partial X} + \mu_0^* \left(\frac{\partial U_0}{\partial Y} \right)^2 \right] \quad (78)$$

Thus the analytical solutions of micro-flows at $Re_w \lesssim O(1)$ and $Ma_w < O(1)$ can be computed from the asymptotic continuity equation (76), streamwise Navier-Stokes equation (77) and energy equation (78). The boundary conditions for U_0, V_0, V_1, P_0 and θ_1 are the same as for U, V, P and θ , with a negligible thermal creep term in Eq. (44) (see Appendix A):

- on the entrance, at $X = 0, \forall Y \in [0, \frac{1}{4}]$, $P_{0,in} = \frac{1}{2}$, $\frac{\partial U_0}{\partial X} = 0$, $V_{1,in} = 0$ and $\theta_{1,in} = \frac{T_{in} - T_w}{\epsilon \Delta T_{ref}}$;
- on the outlet, at $X = 1, \forall Y \in [0, \frac{1}{4}]$, $P_{0,out} = -\frac{1}{2}$, $\frac{\partial U_0}{\partial X} = \frac{\partial V_1}{\partial X} = \frac{\partial \theta_1}{\partial X} = 0$;
- on the channel axis, at $Y = 0, \forall X \in [0, 1]$, $\frac{\partial U_0}{\partial Y} = V_1 = \frac{\partial \theta_1}{\partial Y} = 0$;
- on the wall, at $Y = \frac{1}{4}, \forall X \in [0, 1]$, the velocity boundary conditions are:

$$U_{0,g} = \frac{-\sigma_\mu K n_w \mu_{0,g}^*}{1 + \frac{\Delta p_{ref}}{p_{av}} P_{0,g}} \frac{\partial U_0}{\partial Y} \Big|_g \quad (79)$$

$$V_{1,g} = 0 \quad (80)$$

The thermal boundary conditions in the case of a uniform wall temperature T_w read:

$$\theta_{1,g} = \frac{-\xi_T K n_w \mu_{0,g}^*}{1 + \frac{\Delta p_{ref}}{p_{in}} P_{0,g}} \frac{\partial \theta_1}{\partial Y} \Big|_g \quad (81)$$

and, from Eq. (47), the dimensionless total wall heat flux writes:

$$q_{t,w}^* = \frac{q_w D_h}{k_w \Delta T_{ref}} = k_{0,g}^* \epsilon \left. \frac{\partial \theta_1}{\partial Y} \right|_g + \mu_{0,g}^* U_{0,g} \left. \frac{\partial U_0}{\partial Y} \right|_g \quad (82)$$

The analytical solution of this asymptotic model can easily be computed if the physical properties are considered constant and equal to their values at T_w : $\mu_0^* = 1$ and $k_0^* = 1$. In this case, for a uniform wall temperature T_w , this analytical solution, adapted from Arkilic et al. [62] and Cai et al. [63], is given by the equations (54)-(62) in §4.

Temperature jump at the wall: $\epsilon \theta_{1,g}(X)$

The temperature jump at the wall is equal to $\theta_g \approx \epsilon \theta_{1,g}$ with:

$$\epsilon \theta_{1,g}(X) = \epsilon \theta_1(X, Y = \frac{1}{4}) = \frac{-A.B}{16} \frac{F(X)}{P_0^{*2}(X)} = -\frac{\sigma_\mu \xi_T}{16} Kn_w^2 \left(\frac{1}{P_0^*(X)} \frac{dP_0(X)}{dX} \right)^2 \quad (83)$$

The fluid temperature close to the wall is thus different from the wall temperature ($\theta_{1,g} \neq 0$) when $Kn_w \neq 0$.

Average streamwise velocity: $\overline{U_0}(X)$

The average velocity is defined by:

$$\overline{U_0}(X) = 4 \int_{Y=0}^{1/4} U_0(X, Y) dY = -2 \frac{dP_0}{dX} \int_{Y=0}^{1/4} [b(X) - Y^2] dY \quad (84)$$

Therefore:

$$\overline{U_0}(X) = \frac{1 - 48b(X)}{96} \frac{dP_0(X)}{dX} \quad (85)$$

$$\frac{U_0(X, Y)}{\overline{U_0}(X)} = \frac{b(X) - Y^2}{b(X) - \frac{1}{48}} \quad (86)$$

Mass flow rate: \dot{m}

The inlet and outlet pressures, p_{in} and p_{out} , being inputs of the problem formulation, the mass flow rate, \dot{m} , is an output of the calculations. An approximate analytical expression of \dot{m} as a function of p_{in} and p_{out} can be established, at zero order with ϵ , starting from its definition:

$$\dot{m} = 2 \int_{y=0}^{H/2} \rho(x, y) u(x, y) dy = 4 \rho_w u_{ref} H \int_{Y=0}^{1/4} \rho^*(X, Y) U(X, Y) dY \quad (87)$$

Then, using Eq. (75), \dot{m} can be written:

$$\dot{m} = 4\rho_w u_{ref} H \rho_0^*(X) \int_{Y=0}^{1/4} U_0(X, Y) dY = \rho_w u_{ref} H P_0^*(X) \overline{U}_0(X) \quad (88)$$

From Eqs. (35), (56) and (85), the following expression is derived:

$$\dot{m} = \frac{H^3}{24L\mu_w rT_w} (p_{in}^2 - p_{out}^2) [1 + 12\sigma_\mu Kn_w] = \rho_w u_{ref} H \frac{1 + 12\sigma_\mu Kn_w}{48} \quad (89)$$

The expression (89) is similar to that derived by Arkilic et al. [62] for an isothermal weakly compressible flow. It can be deduced from Eqs. (88) and (89) that:

$$4\rho_0^*(X) \int_{Y=0}^{1/4} U_0(X, Y) dY = \rho_0^*(X) \overline{U}_0(X) = P_0^*(X) \overline{U}_0(X) = \frac{1 + 12\sigma_\mu Kn_w}{48} \quad (90)$$

Wall Nusselt number: $Nu_t(X)$

For a constant wall temperature T_w , the total wall Nusselt number can be defined as:

$$Nu_t(x) = \frac{q_{t,w} D_h}{k_w (T_w - \overline{T}(x))} = \frac{-q_{t,w} D_h}{k_w \Delta T_{ref} \overline{\theta}(X)} \quad (91)$$

where $q_{t,w}$ is given by Eq. (19). The dimensionless form of the bulk temperature (Eq. (65)) reads:

$$\overline{\theta}(x) = \frac{\overline{T}(x) - T_w}{\Delta T_{ref}} = \frac{2}{\dot{m}} \int_0^{H/2} \rho(x, y) u(x, y) \theta(x, y) dy \quad (92)$$

At the first order of the temperature, $\overline{\theta}(X) \approx \epsilon \overline{\theta}_1(X)$. Then, using Eq. (82) with constant physical properties, the total local Nusselt number, defined by Eq. (64), also writes:

$$Nu_t(x) = Nu_{diff} + Nu_{VSP} = \frac{-1}{\epsilon \overline{\theta}_1(X)} \left(\epsilon \left. \frac{\partial \theta_1}{\partial Y} \right|_g + U_{0,g} \left. \frac{\partial U_0}{\partial Y} \right|_g \right) \quad (93)$$

The three terms involved in Eq. (93) are calculated below:

- The temperature first derivative at the walls is obtained from Eq. (57) for $\theta_1(X, Y)$. Thus, using Eq. (59), the conductive heat flux close to the walls is:

$$\epsilon \left. \frac{\partial \theta_1}{\partial Y} \right|_g = F(X) \frac{16b(x) - 1}{2} = \frac{F(X)}{2} \frac{A}{P_0^*(X)} \quad (94)$$

- The viscous stress power at the walls is computed from Eq. (54) for $U_0(X, Y)$:

$$U_{0,g} \left. \frac{\partial U_0}{\partial Y} \right|_g = \frac{-1}{2} \left(\frac{dP_0(X)}{dX} \right)^2 [b(X) - Y^2] Y \Big|_g = \frac{-1}{128} \left(\frac{dP_0(X)}{dX} \right)^2 [16b(X) - 1]$$

From the definitions of $F(X)$ and $b(X)$ (Eqs. (58) and (59)), we obtain:

$$U_{0,g} \left. \frac{\partial U_0}{\partial Y} \right|_g = -\frac{F(X)}{2} \frac{A}{P_0^*(X)} \quad (95)$$

- From the definition (92) of the average bulk temperature, using Eqs. (86) and (90), the first order approximation of $\bar{\theta}(X)$ becomes:

$$\bar{\theta}_1(X) = \frac{4}{\bar{U}_0(X)} \int_{Y=0}^{1/4} U_0(X) \theta_1(X, Y) dY = \frac{192}{48b(X) - 1} \int_{Y=0}^{1/4} (b(X) - Y^2) \theta_1(X, Y) dY \quad (96)$$

Using Eq. (57) for $\theta_1(X, Y)$ leads to:

$$\bar{\theta}_1(X) = \frac{-192}{48b(X) - 1} \frac{F(X)}{\epsilon} \int_{Y=0}^{1/4} (b(X) - Y^2) \{8Y^4 - 16b(X)Y^2 + J(X)\} dY \quad (97)$$

and, after integration, we get:

$$\epsilon \bar{\theta}_1(X) = F(X) \frac{17920b(X)^2 - 1008b(X) + 15 + J(X) (1120 - 53760b(X))}{1120(48b(X) - 1)} \quad (98)$$

For $Kn_w = 0$ (no slip and no rarefaction case), $b = \frac{1}{16}$, $J = \frac{1}{32}$ and $\epsilon \bar{\theta}_1(X) = \frac{3F(X)}{140}$.

The viscous stress power at the wall (Eq. (95)) is thus the opposite of the conduction heat flux at the wall (Eq. (94)). Consequently, using Eq. (98), the total wall Nusselt number (93) is:

$$Nu_t(X) = \frac{-560(48b(X) - 1)}{17920b(X)^2 - 1008b(X) + 15 + J(X) (1120 - 53760b(X))} \left(\frac{A}{P_0^*(X)} - \frac{A}{P_0^*(X)} \right) = 0 \quad (99)$$

Since $b(X) > 0$ and $J(X) > 0$ whatever $X \in [0, 1]$, the Nusselt number denominator never tends towards zero and $Nu_t(X) = 0$ everywhere at the first order with ϵ .

From Eqs. (94) and (95), it appears that the conduction heat flux and the viscous stress power at the walls both vanish when $Kn_w = 0$ since then $A = 0$ (see Eq. (61)). On the other hand, for $Kn_w \neq 0$, the conduction heat flux at the walls is different from zero but the total heat flux at the walls and thus the total Nusselt number tend everywhere towards zero, at least at the first order with ϵ . These results are linked to the approximations used in deriving the analytical solution: the dynamical and thermal entrance effects are indeed neglected. In particular, the convective term and the streamwise variation of the temperature from inlet are not accounted for in the energy equation (78). This approximation is justified in the case of long micro-channel because the Reynolds number is generally small and the thermal entrance length is thus very short.

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Nomenclature

Br	Brinkman number, $Br = \frac{\mu \bar{u}^2}{k \Delta T_{ref}}$
C_p, C_v	specific heat at constant pressure and volume respectively [$J.kg^{-1}.K^{-1}$]
$CONV$	integral mean of the convective term on a channel slice
d	mean molecule diameter [m]
D_h	hydraulic diameter, $D_h = 2H$ [m]
$DIFF$	integral mean of the diffusive term on a channel slice
e_c	kinetic energy per mass unit, $e_c = \vec{v}^2/2$ [$J.kg^{-3}$]
Ec	Eckert number, $Ec = \frac{\bar{u}^2}{C_p \Delta T_{ref}}$
\vec{f}	body force vector [$N.m^{-3}$]
\vec{g}	gravity acceleration vector [$m.s^{-2}$]
h	enthalpy per mass unit [$J.kg^{-1}$]
h	heat transfer coefficient [$W.m^{-2}.K^{-1}$]
H	channel height [m]
k	thermal conductivity [$W.m^{-1}.K^{-1}$]
k_B	Boltzmann constant, $k_B = 1.38065 \times 10^{-23}$ [$J.K^{-1}$]
Kn	Knudsen number, $Kn = \frac{\lambda}{D_h}$
$\bar{\bar{I}}$	second order unit tensor
L	channel length [m]
L_s	slip length [m]
\dot{m}	flow rate per depth unit, $\dot{m} = \rho \bar{u} H$ [$kg.s^{-1}.m^{-1}$]
M	molar mass [$kg.mol^{-1}$]
Ma	Mach number, $Ma = \frac{\bar{u}}{\sqrt{\gamma r T}}$
n	normal direction [m]
\vec{n}	inward normal unit vector
N_A	Avogadro number, $N_A = 6.02214 \times 10^{23}$ [mol^{-1}]
N_x, N_y	cell number in the streamwise and transverse directions respectively
Nu	Nusselt number, $Nu = \frac{h D_h}{k}$
p	pressure [Pa]
P	dimensionless pressure, $P = \frac{p - p_{in}}{\Delta p_{ref}}$
Pe	Péclet number, $Pe = Re Pr = \frac{\bar{u} D_h}{\alpha}$
Pr	Prandtl number, $Pr = \frac{\mu C_p}{k}$
PW	Pressure Work, $\vec{v} \cdot \nabla p$ [$W.m^{-3}$], or integral of this term
q_w	heat flux density at the wall [$W.m^{-2}$]
r	specific gas constant, $r = \frac{R}{M}$ [$J.kg^{-1}.K^{-1}$]
R	ideal gas constant, $R = N_A k_B = 8.31446$ [$J.mol^{-1}.K^{-1}$]
Re	Reynolds number, $Re = \frac{\rho \bar{u} D_h}{\mu} = \frac{2 \dot{m}}{\mu}$
S	surface [m^2]
t	time [s] or tangential direction to the wall [m]
T	temperature [K]

u	streamwise velocity component along x -direction [$m.s^{-1}$]
\bar{u}_b	bulk streamwise velocity [$m.s^{-1}$]
u_{ref}	reference velocity, $u_{ref} = \frac{\epsilon D_h \Delta p_{ref}}{\mu_w}$ [$m.s^{-1}$]
U	dimensionless streamwise velocity component, $U = u/\bar{u}$
v	transverse velocity component along y -direction [$m.s^{-1}$]
\vec{v}	velocity vector
v_m	molecule speed [$m.s^{-1}$]
V	dimensionless transverse velocity component, $V = v/\bar{u}$
VD	Viscous Dissipation, $\bar{\tau} : \nabla \vec{v}$ [$W.m^{-3}$], or integral of this term
VSP	Viscous Stress Power at the wall, $(\bar{\tau} \cdot \vec{v}) \cdot \vec{n} _g$ [$W.m^{-2}$], or integral of this term
x	streamwise coordinate [m]
X	dimensionless streamwise coordinate, $X = x/L$
y	transverse coordinate [m]
Y	dimensionless transverse coordinate, $Y = y/D_h$

Greek symbols

α	thermal diffusivity [m^2/s]
β	thermal expansion coefficient [K^{-1}]
γ	isentropic coefficient, $\gamma = \frac{C_p}{C_v}$
$\delta\Omega, \delta\Sigma$	volume and surface of a channel slice
ϵ	small parameter of the asymptotic analysis, $\frac{D_h}{L} = 2\frac{H}{L}$
θ	dimensionless temperature, $\theta = \frac{T-T_w}{\Delta T_{ref}}$
λ	mean free path, $\lambda = \frac{\mu}{p} \sqrt{\frac{\pi r T}{2}}$ [m]
μ	dynamic viscosity [$Pa.s$]
ν	kinematic viscosity [$m^2.s^{-1}$]
ξ_T	temperature jump coefficient
ρ	gas density [$kg.m^{-3}$]
σ_T	thermal accommodation coefficient
σ_u	Tangential Momentum Accommodation coefficient (TMAC)
σ_μ	viscous slip coefficient
σ_θ	thermal slip coefficient
$\bar{\tau}$	shear stress tensor [$N.m^{-2}$]
Δp_{ref}	reference pressure, difference between the inlet and outlet pressures, $\Delta p_{ref} = p_{in} - p_{out}$ [Pa]
ΔT_{ref}	reference temperature difference, $\Delta T_{ref} = \frac{\mu_w \bar{u}^2}{k_w}$ [K]
Δx	space step in x -direction
Φ_v	dimensionless viscous dissipation

Subscripts

av	arithmetic average quantity
$conv$	relative to the convective term

<i>diff</i>	relative to the diffusive term
<i>g</i>	slip-related quantity on the gas side of the wall
<i>in</i>	quantity at the inlet
<i>imp</i>	imposed quantity
<i>max</i>	maximum quantity
<i>out</i>	quantity at the outlet
<i>ref</i>	reference quantity
<i>s</i>	quantity on the symmetry axis
<i>t</i>	relative to the total heat flux or Nusselt number
<i>VSP</i>	relative to the viscous stress power term
<i>w</i>	quantity evaluated at T_w or on the solid side of the wall
0, 1, 2	terms of the zero, first and second orders in the ϵ asymptotic expansion
∞	quantity at infinity

Superscripts

*	dimensionless quantity
—	bulk or integral average quantity