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Watershed of a continuous function

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Abstract

The notion of watershed, used in morphological segmentation, has only a digital definition. In this paper, we propose to extend this definition to the continuous plane. Using this continuous definition, we present the watershed differences with classical edge detectors. We then present a metric in the plane for which the watershed is a skeleton by influence zones and show the lower semicontinuous behaviour of the associated skeleton. This theoretical approach suggests an algorithm for solving the eikonal equation: $\|\nabla f\| = g$. Finally, we end with some new watershed algorithms, which present the advantage of allowing the use of markers and/or anchor points, thus opening the way towards grey-tone skeletons.

Résumé

La notion de ligne de partage des eaux, utilisée en segmentation morphologique dispose uniquement d'une définition digitale. Dans cet article, nous proposons d'étendre la définition de la ligne de partage des eaux au plan continu. En utilisant cette définition continue, nous comparons la ligne de partage des eaux avec les extracteurs de contours classiques, et montrons leurs différences. Nous introduisons ensuite une métrique pour laquelle la ligne de partage des eaux est un squelette par zones d'influence, ce qui nous permet de montrer son comportement semi-continu. Cette approche théorique nous suggère un nouvel algorithme pour résoudre l'équation d'eikonal : trouver f telle que $\|\nabla f\| = g$. Nous terminons enfin sur de nouveaux algorithmes de ligne de partage des eaux, présentant l'avantage de pouvoir inclure des

marqueurs et des points d'ancrages, ouvrant ainsi la voie aux squelettes à teintes de gris.

1 Introduction

One of the most intuitive notions of morphological segmentation is the notion of watershed [3, 13, 22, 2, 28]. The algorithms proposed in the literature have only a formal link with the various definitions of the watershed. Intuitively, the idea is that the watershed is a skeleton by influence zones with respect to a special distance, but all the previous theoretical definitions are in the general case a kind of skeleton, *i.e.* the previous watersheds do have barbs.

In this paper, we propose a proof of the convergence of the algorithm of Beucher and Lantuéjoul [3]. This proof allows us to give a meaning to a continuous definition of the watershed, and to show the very link between the watershed and the skeleton. Using this continuous definition, we are able to compare the watershed with the classical second order differential operators used to detect edges. This theoretical work leads us to new algorithms, one to solve the eikonal equation, and the other one to compute a watershed, with the advantage of allowing the use of markers and the use of anchor points.

2 The watershed: from discrete to continuum

2.1 Classical digital algorithm

We follow here the presentation of L. Vincent [28].

In mathematical morphology, it is usual to consider that an image is a topographical surface. It is done by considering the grey level (the image intensity) as an altitude. Places of high variation in the intensity are then a good set in which one can search for contour lines. It is then rather straightforward to estimate the variation from the gradient of the image. For the purpose of segmentation, we are then looking for the **crest lines of the gradient image**. A way of doing this operation is to apply the watershed algorithm to the gradient image.

The idea of the watershed is to attribute an **influence zone** to each of the **regional minima** of an image (connected plateau from which it is impossible to reach a point of lower grey level by an always descending path). We then define the watershed as the boundaries of those influence zones.

Numerous techniques have been proposed to compute the watershed. The majors ones are rewied in [28, 30]. The classical idea for building the watershed is simple to describe in one dimension (fig. 1). We begin by piercing the

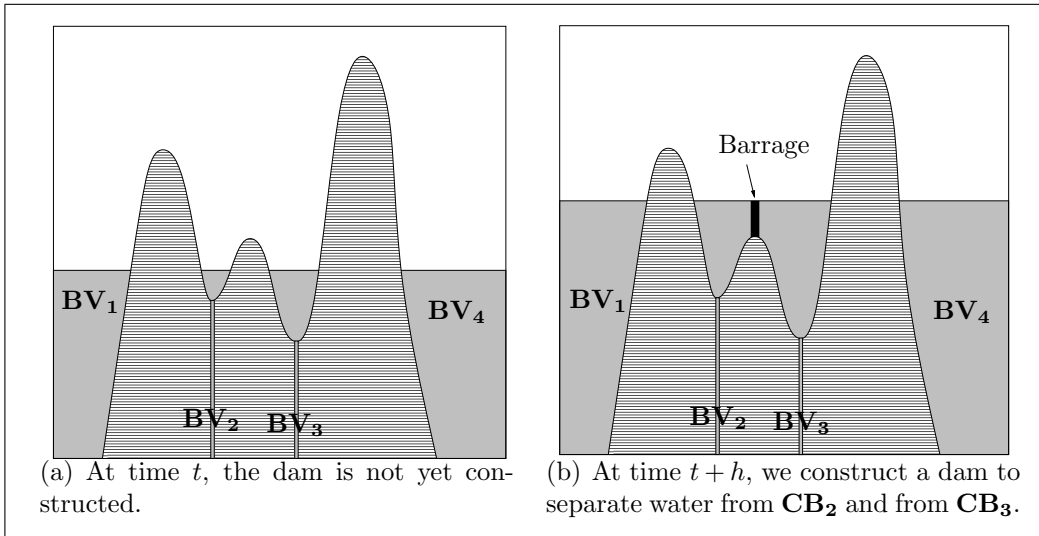


Figure 1: Building of the watershed: one-dimensional approach

regional minima of the surface. Then, we slowly immerse the image into a lake. The water progressively floods the basins corresponding to the various minima (fig. 1.a). To prevent the merging of two different waters originating from two different minima, we erect a dam (fig. 1.b). Once the surface is totally immersed, the set of the dams thus built is the watershed of the image. In one dimension, the location of the watershed is straightforward. In two dimension (which is the case of the classical images) this characterization is not so easy. One can say in an informal way that the watershed is the **crest lines** of the image.

We give here the classical algorithm allowing the computation of the watershed. The most powerful implantation described in the literature [30, 29, 4, 21] uses FIFO breadth-first scanning techniques for the actual flooding.

Following the ideas mentioned above, the algorithm consists in flooding the water in the various basins, and in keeping as the watershed the set of contact points between two different basins. In the case where this contact is on a plateau, we keep the (geodesic) middle line of this plateau. The watershed thus defined is of thickness one on the grid.

To compute the geodesic middle on the contact plateaus, we use the geodesic distance.

Definition 2.1: *Let A be a set, a and b two points of A . We call geodesic distance in A $d_A(a, b)$ the lower bound of the lengths of the paths γ in A linking a and b .*

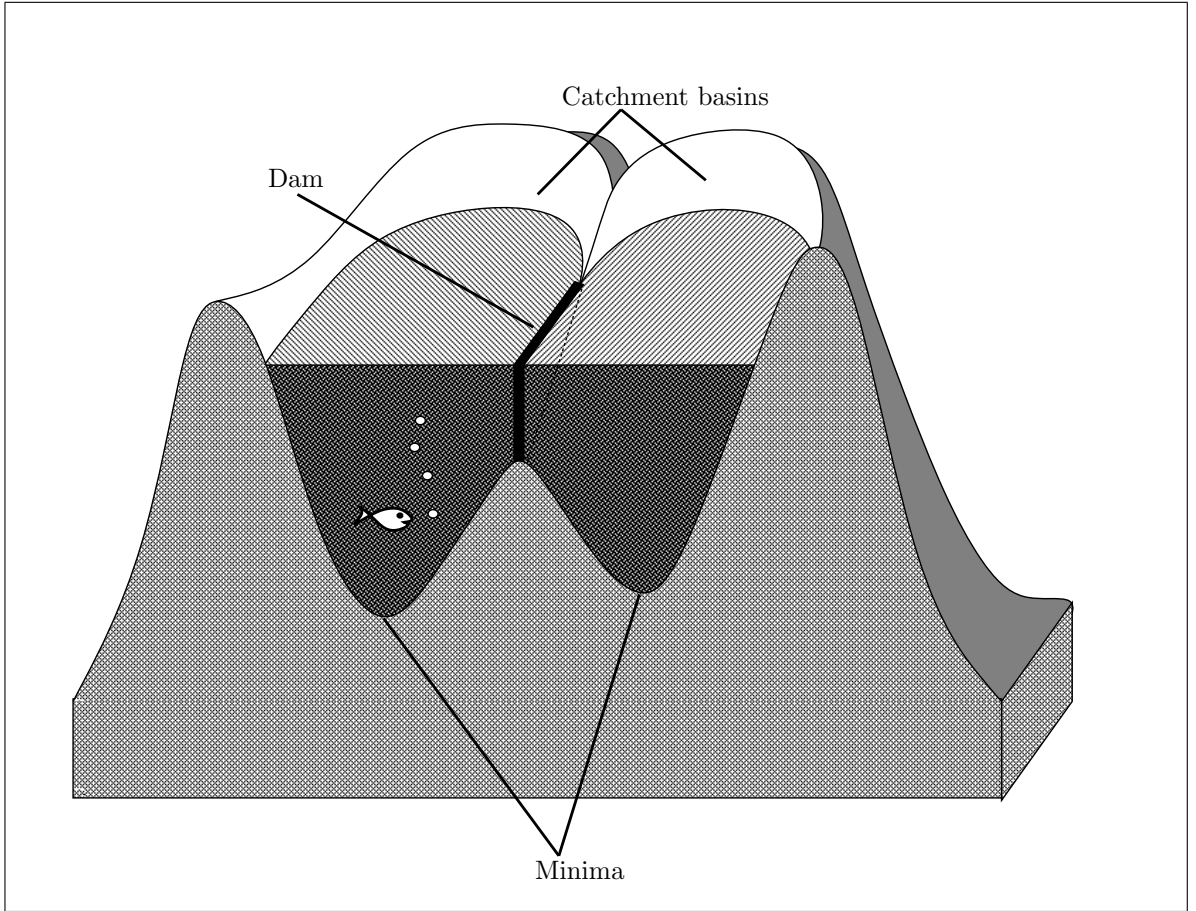


Figure 2: Building of the watershed in 2D

In the digital case, the distance d_A is deduced from the one on the grid [20].

Let $B = \cup B_i \subset A$, where B_i are the connected components of B .

Definition 2.2: *The geodesic influence zone $iz_A(B_i)$ of a connected component B_i of B in A is the set of the points of A for which the geodesic distance to B_i is smaller than the geodesic distance to other connected components of B .*

$$iz_A(B_i) = \{p \in A, \forall j \in [1, k] \setminus \{i\}, d_A(p, B_i) < d_A(p, B_j)\}. \quad (1)$$

The points of A which do not belong to any influence zone make up the skeleton by influence zones of B in A , noted $SKIZ_A(B)$:

$$SKIZ_A(B) = A \setminus IZ_A(B) \quad (2)$$

where $IZ_A(B) = \cup_{i \in [1, k]} iz_A(B_i)$.

The watershed algorithm on digital images by recurrence on grey level is [3]:

Definition 2.3: *The set of the catchment basins of the numerical image I is the set $X_{h_{\max}}$ obtained after the following recurrence:*

$$\begin{aligned} (i) \quad & X_{h_{\min}} = T_{h_{\min}}(I) \\ (ii) \quad & \forall h \in [h_{\min}, h_{\max} - 1], X_{h+1} = \text{Min}_{h+1} \cup IZ_{T_{h+1}(I)}(X_h). \end{aligned} \quad (3)$$

where:

- $h_{\min} \in \mathbb{Z}$ (resp. h_{\max}) is the lowest (resp. the greatest) grey level of image I .

- $T_h(I)$ is the threshold of the image I at height h : $T_h(I) = \{p \mid I(p) \leq h\}$

- Min_h is the set of the regional minima of I at the height h .

The watershed of the image I is the complement of this set.

Note that this algorithm works only for step functions.

2.2 Continuous generalization

From now on, the image f is supposed to be regular enough (\mathcal{C}^2) to allow the use of differential operators. We use classical tools of differential geometry [24]. The gradient ∇f is the plane vector of the first order derivatives of f , and the Hessian H_f is the real symmetric matrix of the second order derivatives.

The definitions of watershed are based on the notion of path of greatest slope. Intuitively, a path of greatest slope is a path parallel to the gradient of f . If we begin on a point a where $\nabla f(a) \neq 0$, we can easily follow the gradient line backward (*i.e.* the grey-levels are decreasing along the line) until we reach a point b where $\nabla f(b) = 0$. But b is not necessarily a regional minima of f and thus there is an ambiguity to continue the path after b . We propose to formalize this notion by using the notion of maximal line of the gradient. A path of greatest slope will then be an union of maximal lines of the gradient.

Definition 2.4: *A path $\gamma :] - \infty, +\infty[\rightarrow \mathbb{R}^2$ is called a maximal line of the gradient if*

$$\begin{aligned} \forall s \in] - \infty, +\infty[, \dot{\gamma}(s) = \pm \nabla f(\gamma(s)) \neq 0 \\ \text{and } \lim_{s \rightarrow -\infty} \dot{\gamma}(s) = \lim_{s \rightarrow +\infty} \dot{\gamma}(s) = 0 \end{aligned} \quad (4)$$

We shall say that a maximal line of the gradient is descending if

$$\forall s \in] - \infty, +\infty[, \dot{\gamma}(s) = -\nabla f(\gamma(s)) \quad (5)$$

The maximal lines of the gradient are defined on $] - \infty, +\infty[$ for one cannot reach a point with a zero speed. This is due to the parametrisation (the speed at which we run on the path) we have chosen. The magnitude of this speed is equal to the gradient modulus. We could have chosen another parametrisation of the path, but we use it as it expresses the fact that we cannot clearly extend a maximal line of the gradient. Note that the union of all maximal lines of the gradient of a continuous function covers the whole domain of the function (as an example, see fig.4).

We recall that a is a critical point if $\nabla f(a) = 0$. We need to link the maximal lines of the gradient if we want them to end in a regional minima. We are going to define a partial ordering relation which will allow us to do so.

Definition 2.5: *Let a and b be two critical points of f . We shall say that b is above a if there exists a maximal descending line of the gradient linking b to a . We can extend this notion by saying that b is above a if there exists a set (a_i) of critical points such that $a_0 = b$ and $a_n = a$, satisfying a_i is above a_{i+1} . We then obtain the partial ordering relation “above”.*

This ordering relation allows to distinguish three kinds of critical points:

- regional minima,
- points above an unique minima
- points above several minima

The last ones should clearly belong to the definition of the watershed of a continuous function.

Definition 2.6: *We denote by $\mathcal{P}(f)$ the subset of the critical points a of f which are above several regional minima of f .*

Fig. 3 shows some examples of points of $\mathcal{P}(f)$.

We have the following convergence theorem which will be used in the following as our definition of the continuous watershed:

Theorem 2.7: *[17] Let f be a \mathcal{C}^2 function, with a compact connected domain. Suppose that f has only isolated critical points, and that, on the critical points, the Hessian has two non zero eigenvalues. We construct a sequence f_n of step functions which converges pointwise towards f . More precisely, we put $f_n(a) = \frac{E(2^n f(a))}{2^n}$, where $E(x)$ is the integer part of x . Then, the watershed of f , seen as the limit of the watershed of f_n , is the set of the maximal lines of the gradient linking two points of $\mathcal{P}(f)$.*

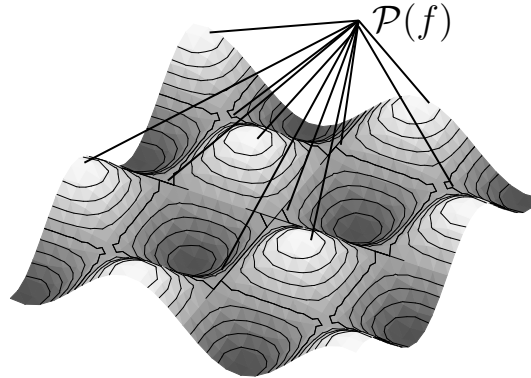


Figure 3: Some examples of points of $\mathcal{P}(f)$

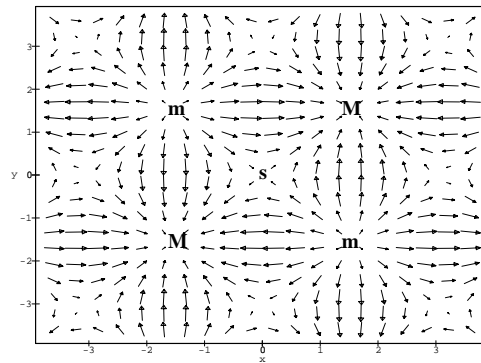


Figure 4: Gradient field of $\sin(x)\sin(y)$ (M : maxima - m: minima - s: saddle point)

Note that the limit function f does not have plateaus. In fact, we will show in the next section that the choice of a line of watershed on a plateau is arbitrary.

So the watershed lines are parallel to the gradient, which, as far as we know, has not been pointed out by other authors. Note that we can use theorem 2.7 as a **definition** of the watershed of a continuous function. One should be very careful with the hypothesis we put on f . If Hessian H_f has one zero eigenvalue, the watershed can have a barb (a branch with an endpoint), which is never the case with the algorithm. As an example, one can look at a monkey saddle (fig. 5). Two branches of the monkey saddle belong to the contour of a basin. The other one direct itself towards a local maximum of the image which belongs to the interior of a basin. Moreover, the watershed of a continuous function can be thick. As an example, we

can look for what Beucher [2] calls a buttonhole (see fig. 6). Choosing a particular line in the buttonhole is, anyway, fully arbitrary. Note that, on the buttonhole, $\nabla f(a) = 0$ yields $H_f(a) = 0$. Nevertheless, we can always assume that the images satisfy the hypothesis of the theorem 2.7: if f does not satisfy it, then an arbitrary small perturbation of f makes f an adequate function (obviously the perturbation must not vanish on the critical points). Such functions are called **Morse functions** [16], and are (uniformly) dense in the set of smooth functions.

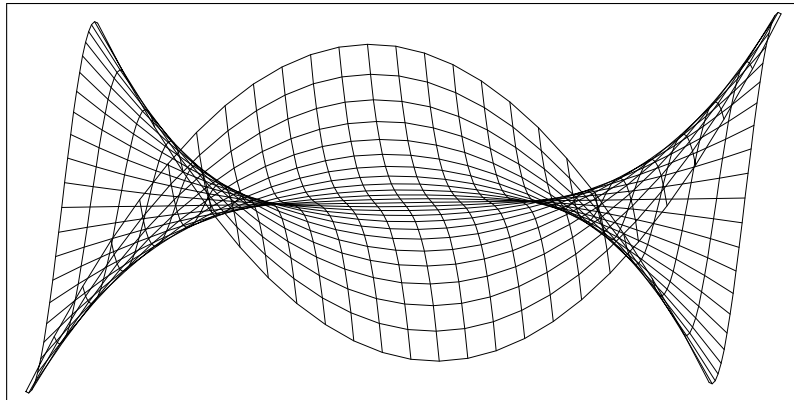


Figure 5: Monkey saddle

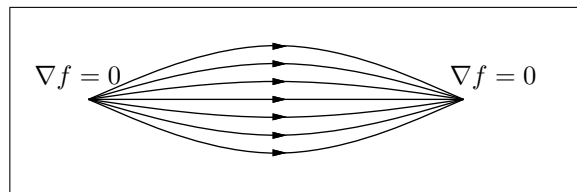


Figure 6: Gradient field of a buttonhole

The fact that at each point of a \mathcal{C}^2 function there exist at least 2 lines of greatest slope descending towards two minima is true only on points of $\mathcal{P}(f)$ (see fig. 4).

The theorem 2.7 suggests that we can add some lines to the watershed by adding points to $\mathcal{P}(f)$. If we carefully choose these new points, the result exhibits end points and is a way to introduce the notion of grey-tone skeleton. In the last part of this paper we give an algorithm which allows to do such an operation.

To define the watershed as a subset of the maximal lines of the gradient is a local notion, to which boundary conditions add a global aspect. More precisely, we have the following proposition:

Proposition 2.8: [17] *Let a be a point of the domain of f such that $\nabla f(a) \neq 0$. Let \mathcal{V}_a be a neighbourhood of a which does not contain any critical point. Let γ be a path containing a and parallel to the gradient of f on \mathcal{V}_a . Then there exists a function f_0 , equal to f on \mathcal{V}_a , such as γ is in the watershed of f_0 .*

In other words, there is no local characterization of the watershed. This is due to the \mathcal{C}^2 regularity of f . If f is less regular, there exists in some cases a local characterization. The best example is the watershed of $f(a) = d(a, X)$ where X is a binary image. In this case the watershed of f is equal to the skeleton by influence zones of X . As shown by Matheron [23], it is locally characterized by the set of the points of non differentiability of f : the watershed is included in this set of points but not always equal to it.

2.3 The problem of the plateaus

Real images often possess plateaus. When they belong to the interior of a catchment basin, there is no problem. From a theoretical point of view, it is easy to slope the plateaus towards crest lines without modifying the watershed.

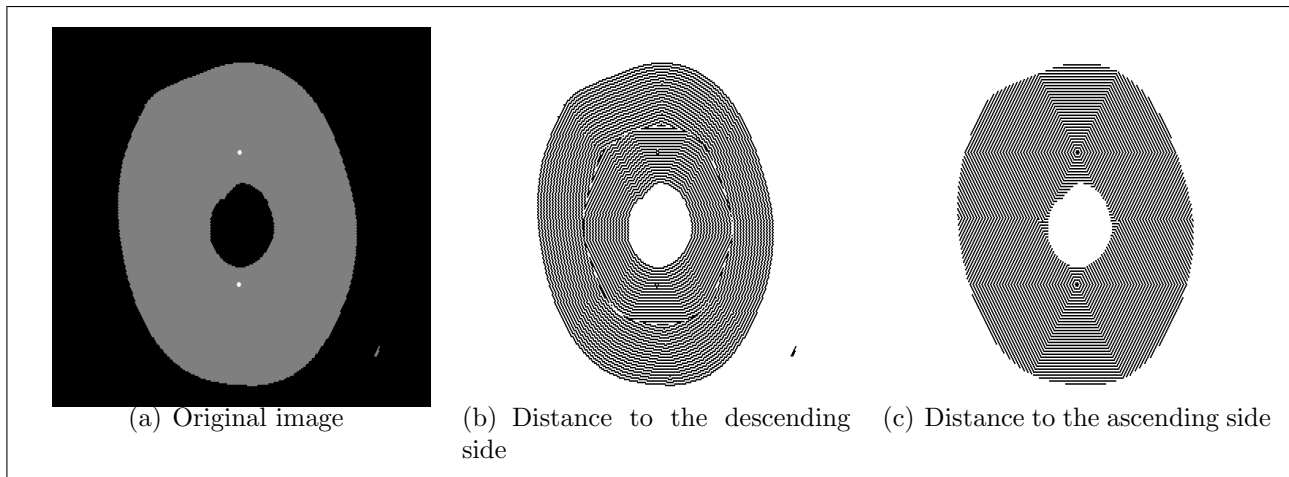


Figure 7: Distances to the sides of a ring with two elevated points near the interior side

On the contrary, if we want a thin watershed, we have to make a choice on the plateaus. The immersion algorithm 2.3 chooses a line by computing the “geodesic middle” of the plateaus. The principle is to compute the geodesic distance to the descending side of each plateau (fig. 7.b). There exists another

possibility: it is possible to compute the geodesic distance to the ascending side of each plateau (fig. 7.c). These two possibilities give different results, and the choice of one rather than the other is arbitrary and depends on the application. We give here some examples illustrating the choice of the distance.

Let us take the image of a ring, corresponding to the contours of an object. The watershed of this image reduces the ring to the middle line, thus creating two equal parts, which seems reasonable. Nevertheless, there are some situations where we want the segmentation to pass through another place.

Let us consider the figure 8.a and the figure 8.d. They are the ring image on which we have added some new contour points through which we want the watershed to pass. In the first case (fig. 8.a), these points are near the exterior side, and on the second case (fig. 8.d), these points are near the interior side. The watershed immersion algorithm floods the image of the distance to the descending side (fig. 8.c and fig. 8.f), which do not seem a judicious choice.

If we transform the image by computing the distance to the ascending side (the distance to the added points), the watershed result then conforms to the intuition on the figure 8.b. There exists no reasonable criterion which allows a choice between the figures 8.e and 8.f: there are not enough points to correctly place the contour.

These examples are a good illustration that the implicit choice of the distance to the descending side is fully arbitrary, for it can be judicious to choose the distance to the ascending side. The distance to the descending side is nevertheless the right choice in the case where we want the watershed to pass exactly at the middle of the plateaus.

Let us notice that the use of the distance to the descending side is unstable: it can add some new local minima, thus modifying the topology of the watershed. As an example, there exists a tendency to close arcs of circle (fig. 9). On the other side, the distance to the descending side creates new local maxima, but this does not disturb the watershed.

3 Comparison with the edge detectors

Let f be a smooth function. Two second order differential operators are commonly used to detect edges. The first one is the Laplacian [14] $\Delta f = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2}$ and the second one is the non-linear Canny's detector [5] which looks for the maximum of the gradient in the direction of the gradient. The Canny's

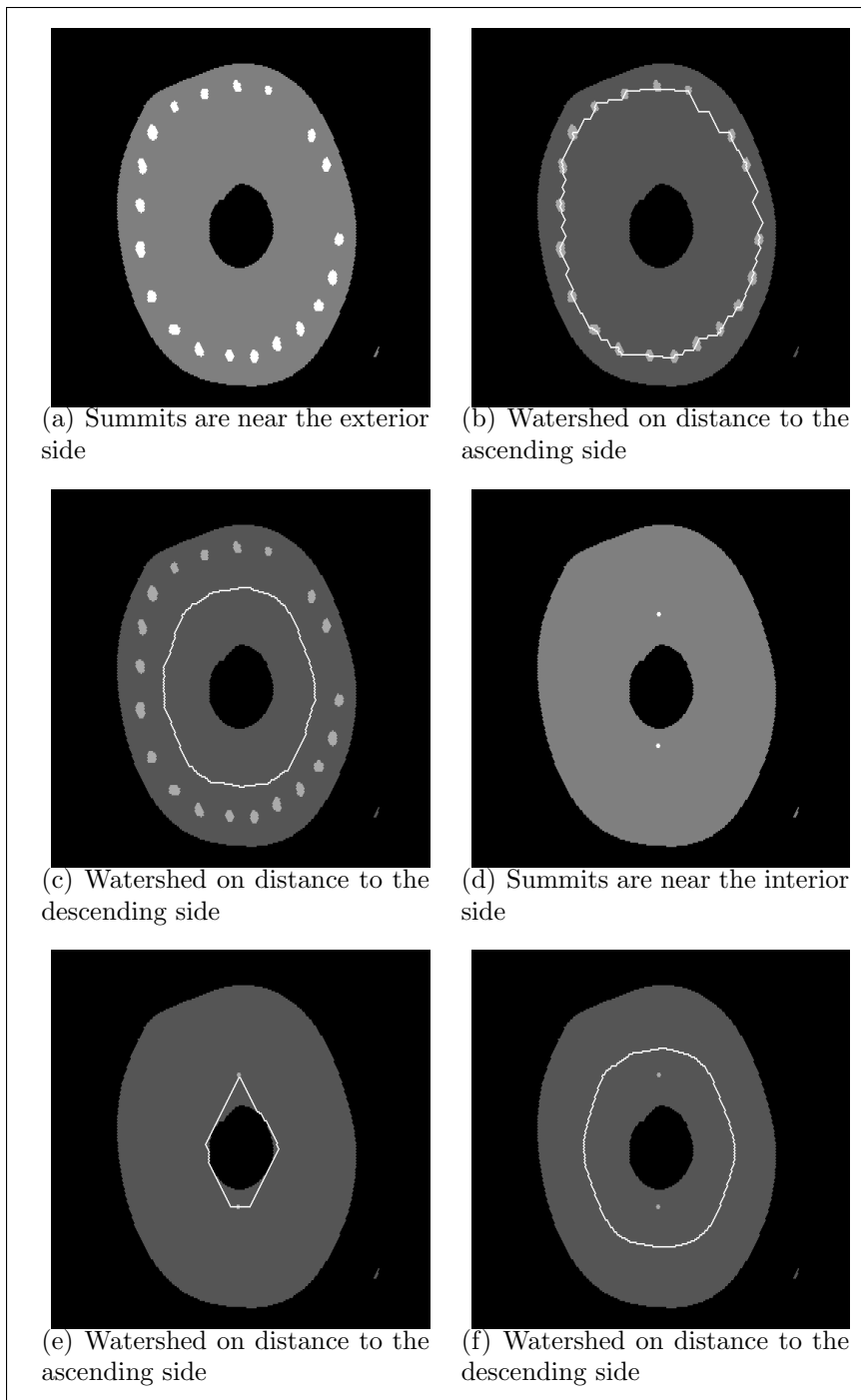


Figure 8: plateaus' problem: the choice of a well-placed contour

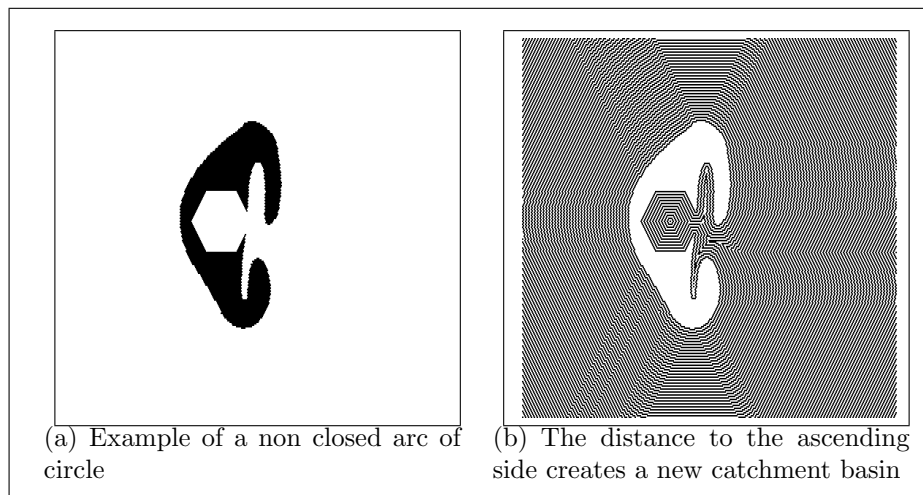


Figure 9: Example of creation of a new catchment basin through the distance to the ascending side

detector, or more exactly the extrema of the gradient in the direction of the gradient, finds the zero crossings of $Q(f) = \langle H_f \nabla f, \nabla f \rangle$. On the other hand, mathematical morphology uses the watershed of the norm of the gradient of f in order to extract edges. The links between the two differential operators are well known [25] and we focus our attention on the link between Canny's detector and the watershed of the gradient.

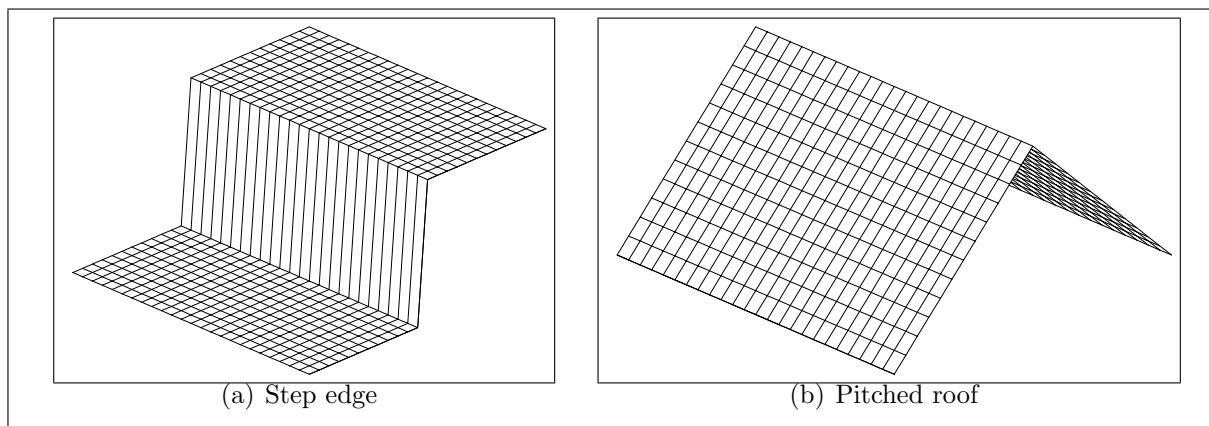


Figure 10: Structural archetype detected by Canny's operator

In [11] the authors exhibit a characterization of lines extracted by Canny's detector. Their results are useful to point out the differences between the watershed of the gradient and the second order differential operators. There are two archetypes of structure on which Q vanishes: the step edge and the

pitched roof. These two objects are represented on figure 10, before the gaussian convolution which makes them smooth.

The idea behind edge detection is that an edge is a path where the change in the intensity f is maximum in the direction normal to this path. As the intensity is computed by the modulus of the gradient, we can write

$$\frac{d}{dt} \|\nabla f(a + t n)\| = \langle H_f \nabla f, n \rangle = 0 \quad (6)$$

where n is the normal to the path at point a . This equation gives an implicit differential equation for the edge path: $\dot{\gamma} = H_f \nabla f$. As we have seen before, the union of all the paths which are solutions to this differential equation covers the whole domain of f , and we have to make a choice to find the edges. The watershed chooses the paths by imposing boundary conditions. On the other hand, Canny solves the problem by **estimating** the normal n from the gradient direction, i.e. by setting $n = \nabla f$ (which is true on a step edge).

We made a comparison of the action of these operators on the image

$$I(x, y) = \delta_1 \chi_{\{x>0\}}(x, y) + \delta_2 \chi_{\{y>0\}}(x, y) \quad (7)$$

where χ_A is the characteristic function of the set A : $\chi_A(a) = 1$ if $a \in A$ and $\chi_A(a) = 0$ if not. I is regularised by a gaussian kernel G and we obtain

$$f = G * I = \delta_1 \Psi(x) + \delta_2 \Psi(y) \quad (8)$$

where $\Psi(x) = \frac{1}{\sqrt{\pi}} \int_{-\infty}^x e^{-s^2} ds$.

Fig. 11 shows the comparison of the segmentation algorithms. We see that Canny's detector finds the multiple point only if $\delta_1 = \delta_2$ (fig. 11.f).

Fig. 12 shows the results: the second order operators cannot find the multiple point, while the watershed of the gradient modulus can. This is due to the geometric behavior of Canny's detector and zeros of the Laplacian. Both are the intersection of a function $z = g(x, y)$ with $\{z = 0\}$. So, they have very few multiple points. On the other hand, the watershed has multiple points which are necessarily in $\mathcal{P}(\|\nabla f\|)$.

Several technics [7, 8, Giraudon & Deriche] have been recently developed to detect the multiple points. They are based on second-order differential measures and scale-space approach. In fact, we propose a simpler method: if we are interested in finding multiple points, the classical differential crest extractor (local maxima of the modulus of the gradient in the direction of the gradient) has to be replaced by a watershed procedure on the image of gradient modulus.

4 Metrical approach of the watershed

The aim of this section is to exhibit the strong link between the skeleton, one of the notions of the binary mathematical morphology, and the watershed, notion of the grey-level mathematical morphology.

Definition 4.1: *The image distance on a \mathcal{C}^1 function f with a connected domain $Dom(f)$, is defined by: $\forall(a, b) \in Dom(f)^2$,*

$$d_f(a, b) = \inf_{\gamma_{ab}} \left| \int_{\gamma_{ab}} \|\nabla f(\gamma_{ab}(s))\| ds \right| \quad (9)$$

Note that the shortest d_f -path between a and b is a path of greatest slope if it exists.

We restrain the choice of f to the \mathcal{C}^2 functions which have only isolated critical points. d_f is then a distance. For technical reasons, but without loss of generality, we suppose that the minima of f are on the same level. Moreover, we suppose that on the critical points, the Hessian has two non zero eigenvalues. We then have the following result:

Theorem 4.2: [17] *The set of points which are at equal d_f -distance of two distinct minima of f is the set of the maximal lines of the gradient linking two points of $\mathcal{P}(f)$, and thus coincides with the watershed of f .*

A similar result has been stated in [19, 18, Preteux&Merlet], but with a much more complex metric used as a definition for the continuous watershed, and this complex metric is very far from the usual euclidean distance. Note that the watershed defined by Lantuéjoul and Beucher [3], the one defined by Maisonneuve [13], the one defined by Preteux and Merlet, and the d_f -skeleton by influence zones do have barbs if f does not verify the hypothesis we put on it.

The advantage of our metric is to allow the statement of new results we present hereafter. Moreover, note that if we put $\|\nabla f\| = 1$ a.e., d_f (or f) is the usual euclidean distance function to a set, and the d_f -skeleton by influence zones is the usual one.

With the results of the previous theorem, we can expect that the watershed has properties similar to those of the skeleton. We state one of these properties.

We denote by \mathcal{M} the set of minima of f and by $Dom(f)$ the domain of f .

Definition 4.3: *We define the skeletal structure of f as the set of centers of the maximal open d_f -balls contained in $Dom(f) \setminus \mathcal{M}$.*

Obviously, the watershed is contained in the skeletal structure.

Theorem 4.4: [17] *The mapping $f \rightarrow \mathcal{S}(f)$, where $\mathcal{S}(f)$ is the skeletal structure of f , is lower semicontinuous, if we use the \mathcal{C}^2 convergence on the set of functions and the induced hit or miss topology [15] on the set of watersheds.*

This result shows why the watershed is very sensitive to noise, and justifies in a way the various smoothing [9] and marking [28] techniques.

5 The eikonal equation

As a side effect, the algorithm of the watershed can be adapted to solve an equation widely used in Shape from Shading, the eikonal equation [10]:

$$\text{finding } f \text{ such as } \|\nabla f\| = g \tag{10}$$

The idea is that, on each catchment basin of f , we have $f(a) = d_f(a, b) + f(b)$, where b is the minima of the catchment basin. As determining $d_f(a, b)$ only depends on g (see formula 9), we can generalize this result: let $\{b_i\}$ be a set of points with their associated values $f(b_i)$. A continuous solution to the eikonal equation is given by

$$f(a) = \inf_i \left\{ \inf_{\gamma_{ab_i}} \int_{\gamma_{ab_i}} g(\gamma_{ab_i}(s)) ds + f(b_i) \right\} \tag{11}$$

One can show [12] that the function f given by equation 11 is the unique viscosity solution satisfying $\|\nabla f\| = g$ on an open domain Ω , which is a viscosity supersolution on $\partial\Omega$.

The algorithm proposed by Vincent [28] can be adapted to compute this solution. In fact, Vincent's algorithm splits up in a first stage of sorting the pixels by increasing grey level, and a second stage of flooding propagation threshold by threshold. In our case, we can't make the first stage, but we can do the sorting **during** the flooding. This is easy to do if we use an heapsort algorithm [1]. We then obtain a kind of algorithm similar to the one developed by Verwer and Verbeek [27, 26].

Note that this technique can also be used to compute the watershed by flooding from selected sources, an efficient tool to prevent the oversegmentation problem.

of gradient image of size 10, which is a useful technique to suppress a lot of unimportant local minima [9]. The fourth and last one (fig. 14.e) is obtained by the new watershed algorithm applied to a geodesical reconstruction of gradient image of size 10, using the Canny-Deriche edges as anchor points.

7 Conclusion

This paper is mainly devoted to the convergence and adaptation to the continuous case of an algorithm defined for step functions. This gives us a mathematical tool which links the watershed to the notion of line of greatest slope (maximal line of the gradient), and to the notion of skeleton by influence zones. The associated skeleton is then lower semicontinuous.

The watershed is compared with the classical edge detectors, and we showed that the watershed can extract multiple points, an operation that second order differential operators are unable to perform.

On the algorithmic side, the watershed characterization gives an original interpretation of the eikonal equation, and opens the path towards grey-tone skeletons. The powerful watershed-by-flooding algorithm offers efficient adaptations to these various notions.

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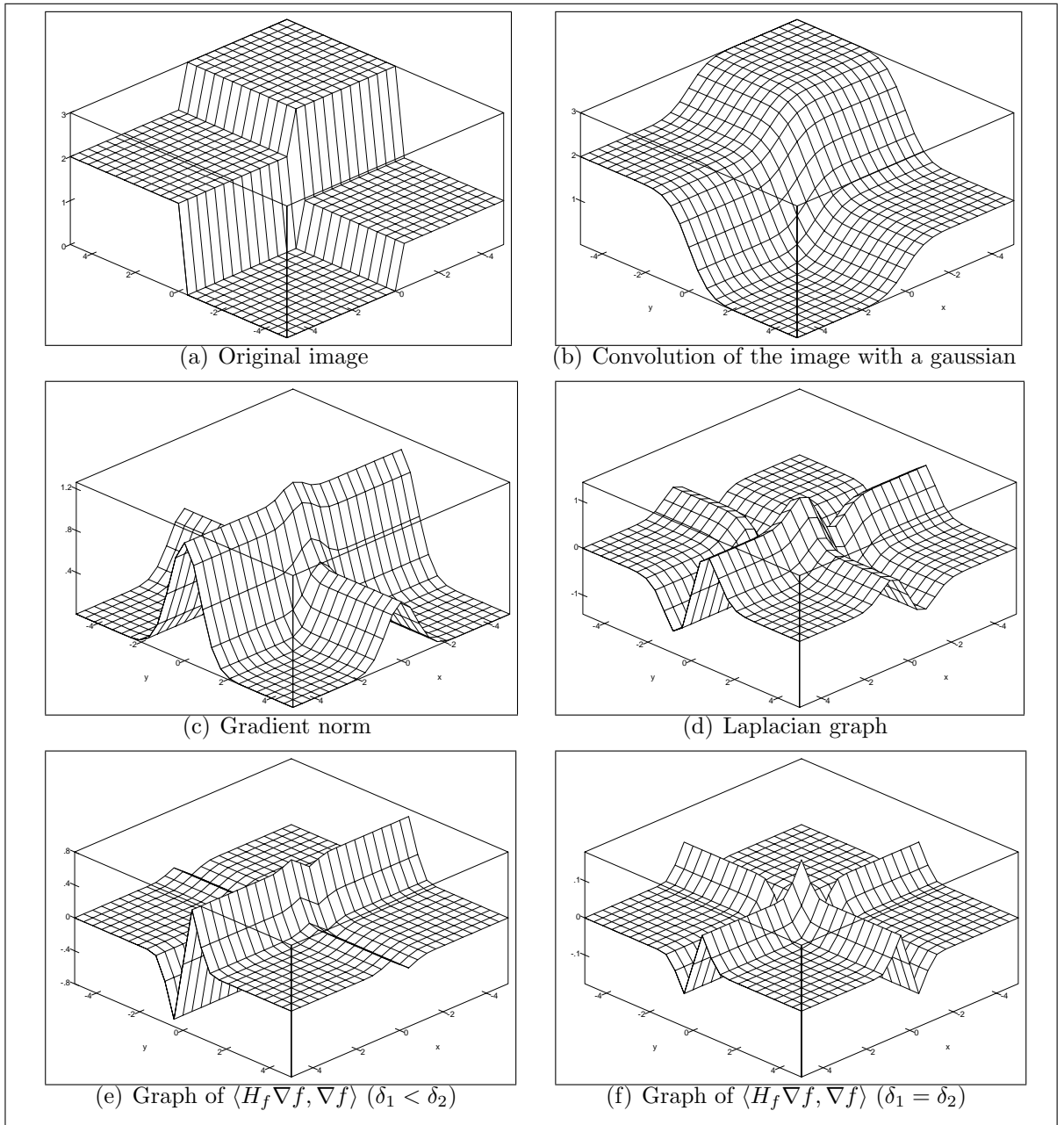


Figure 11: Comparison of the segmentation algorithms

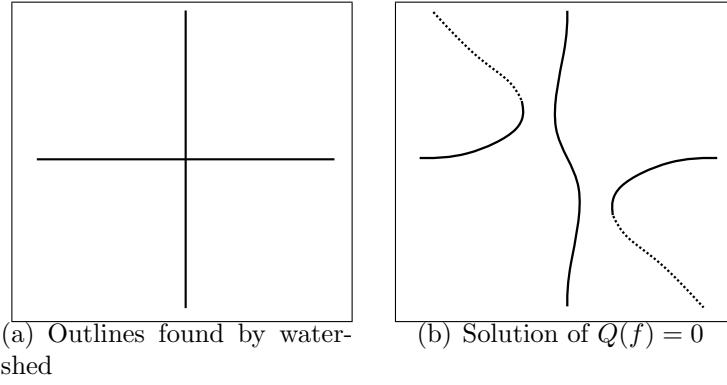


Figure 12: Outlines found by the algorithms

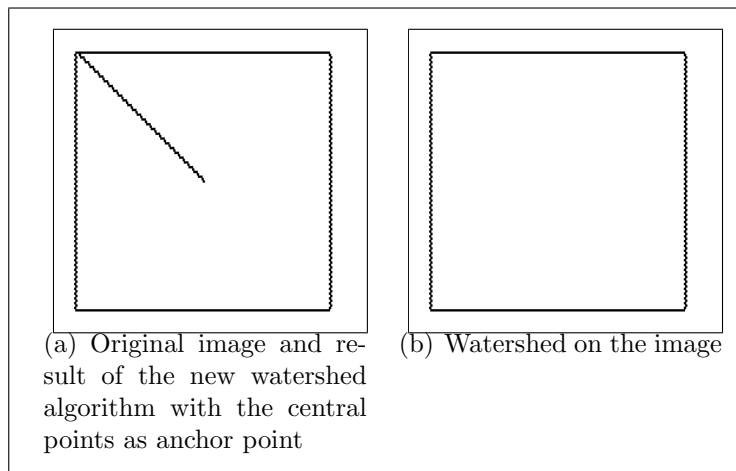


Figure 13: An example of application of the new watershed algorithm

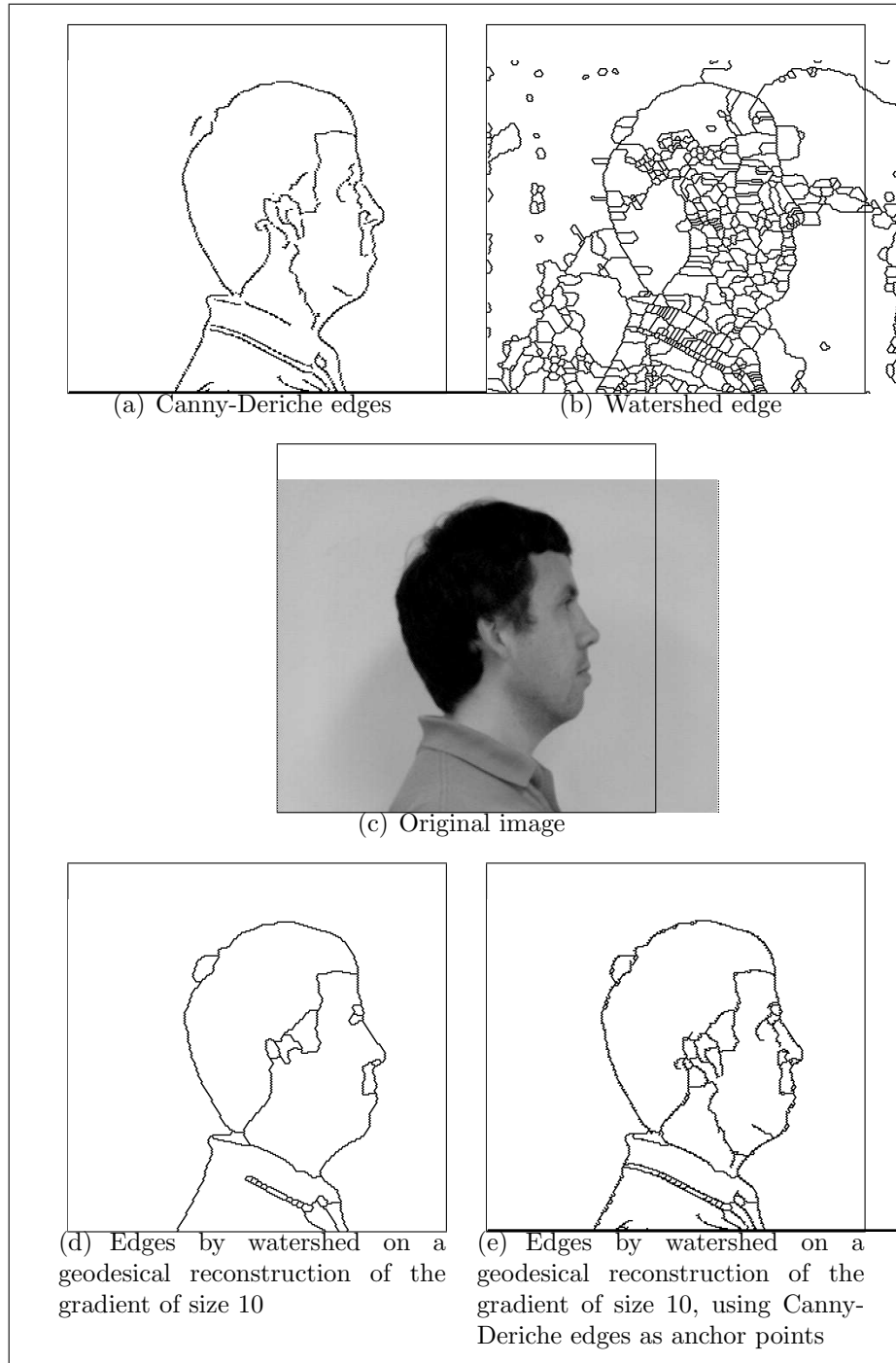


Figure 14: Comparison of various possibilities of segmentation